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**Abstract.** We have developed a microfluidic device that enables the computation of three-dimensional (3-D) images of flowing samples. Using a microfluidic channel that is tilted along the optical axis, we record several progressively defocused images of the flowing sample as it passes across the focal plane. The resulting focal stack is then deconvolved to generate 3-D images. Experimental results on flowing yeast cells reveal both volume and surface profile information. The microfluidic channel eliminates the need for a precise translation stage to control defocusing and enables high sample throughput in an insulated, nontoxic, liquid environment. The experimental device can be implemented in all existing microscopes as a modified slide stage and is ideally suited for 3-D profiling in flow cytometers. © 2013 Society of Photo-Optical Instrumentation Engineers (SPIE) [DOI: 10.1117/1 .JBO.18.4.040503]

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### 1 Introduction

Microfluidic microscopy is a relatively new imaging technique based on guiding samples under the observation window by flowing them through a microfluidic channel. This includes conventional microscopes (e.g., objectives) with modified slide stages as well as lensless optofluidic microscopes. <sup>1-3</sup> The fluid displacement increases sample throughput, provides control over sample sorting, <sup>4</sup> and gives an additional degree of freedom for imaging. To date, in-plane flow has been used to generate two-dimensional (2-D) images by displacing the target over a one-dimensional (1-D) array of detectors, <sup>1</sup> or to slightly displace the sample and generate subpixel-resolution images<sup>2</sup> and holograms. <sup>3</sup> Three-dimensional (3-D) imaging microfluidic devices have also been explored, but they have relied on multiple observation axes<sup>3,5</sup> or multiple illumination angles. <sup>6</sup>

Here, we keep the well-known configuration for white light microscopy, with a single illumination source and objective lens, and reduce the experimental device to a straight microfluidic channel tilted along the optical axis (a lensless device is possible, but using an objective simplifies the design, facilitates integration with existing systems, and significantly improves

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image quality<sup>7</sup>). By taking successive images as the sample flows along the channel axis, we collect a set of intensity measurements at different propagation planes. There are two complementary uses for such data: phase retrieval in the focal plane via a transport-of-intensity equation<sup>8,9</sup> and volume imaging by assembly of the optical slices.<sup>10,11</sup> In the former case, the tilt should be shallow, to reduce large variations in the phase and better approximate the diffraction derivatives using two spatially separated images. In the latter case, a steeper tilt is necessary, so that the bulk of the sample falls within the focal region.

### 2 Experimental Setup

The experimental setup is shown in Fig. 1. A 500- $\mu$ m-wide, 50- $\mu$ m-deep microfluidic channel is etched on a glass slide and located at the focal plane of a standard wide-field microscope. The slide is tilted at a 15-deg angle,  $\alpha$ , with respect to the optical axis of the microscope objective, chosen to represent a good compromise between magnification and axial defocusing. The channel is illuminated with incoherent white light, and a 25× magnified image is recorded by a video camera operating at a constant frame rate of 30 frames/s. As a test case, a suspension of 15  $\mu$ m yeast cells in glycerol is flowed through the microfluidic channel.

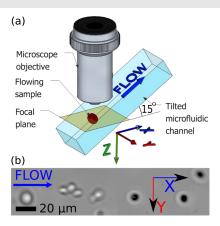
The frame rate and flow speed are adjusted so that each sample will be recorded in 100 consecutive frames as it passes from one end of the window of observation to the other. A constant flow is maintained using a fixed pressure difference (a 50-cm hydrostatic water column) between the channel input and output, and the exposure time is adjusted to reduce the flow-induced blur below the resolution limit of the imaging system. Narrow channel depth and high fluid viscosity guarantee a Hagen–Poiseuille type laminar flow. <sup>12</sup> In addition, the concentration of particles is lower than 250  $\mu$ L<sup>-1</sup> for easy separation and to reduce interactions between flowing particles.

### **3 Principles of Operation**

The parabolic velocity flow ensures that particles flow at a constant velocity (v) along the channel axis but experience a shear-induced rotation at other points. As the acquisition of accurate focal stacks relies on the absence of rotation (or its compensation), the setup has been designed to minimize the effects of shear in all directions. Along the channel axis u, the absence of shear,  $\nabla v \cdot u = 0$ , is a property of the laminar flow. Along the y axis, shear-induced rotation effects are minimized by observing samples flowing in the middle part of a wide channel. Similarly, along the z axis, rotating objects are excluded by considering only particles flowing at the highest velocity in the middle of the channel, where the shear effects cancel. We note, however, that object rotation may be useful in other contexts, e.g., for multiple viewpoints, and is easily accessible by changing the injection point or imaging different parts of the flow.

Focal stacks are generated by tracking samples flowing into the channel, as shown in Fig. 2. The background noise is subtracted from the signal, and the zero value of the signal (in gray) corresponds to the nominal transparency of the free-running fluid. Let T be the frame recording period, and  $\delta = Tv$  the object displacement along the channel axis between two frames. Let  $I_n(x, y)$  be the intensity of frame n. The focal stack, S, is given by

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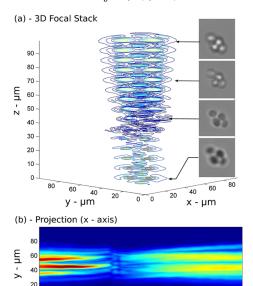


**Fig. 1** (a) Experimental setup. A microfluidic channel is located under the objective of a wide field microscope and tilted along the optical axis by an angle of 15 deg. The sample flows into the channel at a constant velocity. Multiple images are recorded by a camera operating at a constant frame rate. (b) Samples are observed as they flow along the channel axis and pass across the focal plane.

$$S(x, y, z_0 + n|\boldsymbol{\delta}|\sin(\alpha)) = I_n(x + n|\boldsymbol{\delta}|, y). \tag{1}$$

The extraction of focal stacks is based not only on the estimated position of the sample but also on the point spread function of the imaging system, P. In general, the function P can depend on position, which may vary due to fluctuations in the flow. We compensate for this by using a simple method of particle tracking. Assuming that P satisfies the symmetry condition P(x, y, z) = P(-x, -y, z), the first moment of the recorded image,

$$G_n = \frac{\int (x e_x + y e_y) I_n(x, y) dx dy}{\int I_n(x, y) dx dy},$$
 (2)



**Fig. 2** Focal stacks are generated by observing samples as they flow in the tilted microfluidic channel. Constant flow velocity and frame rate enable the accumulation of  $\approx 100$  frames progressively defocused along the z axis. An object-tracking algorithm based on defocusing invariant properties of the center of gravity of the image is used for better accuracy. (a) Measured iso-intensity contours of yeast cells through focus ( $\approx 36~\mu m$ ). Insets show direct images of cells. (b) Normalized intensity of focal stack with background subtracted.

z - um

becomes a defocusing invariant. <sup>13</sup> In our reconstruction algorithm, we compute the center of mass  $G_n$  for each frame in the focal stack. In the (x, y) plane, the position of  $G_n$  allows an accurate alignment of frames onto each other. Along the optical axis, the relation  $z \approx G_n \cdot e_x \tan(\alpha)$  allows a precise determination of the amount of defocusing.

The reconstruction of the volume absorption distribution of the object, O, relies on solving the well-known deconvolution problem using the experimentally measured focal stack, S, and point spread function, P, in 3-D space. O satisfies the volume integral

$$S(\mathbf{r}) = [O \otimes P](\mathbf{r}) = \int O(\mathbf{r} + \mathbf{r}') P(\mathbf{r}') d\mathbf{r}', \qquad (3)$$

where r = x.x + y.y + z.z. In the ideal treatment of this problem, the optical transfer function,  $\mathcal{F}[P]$ , has only nonzero terms, so that the solution of Eq. (3) is given by

$$O \approx \mathcal{F}^{-1} \left[ \frac{\mathcal{F}[S]}{\mathcal{F}[P]} \right].$$
 (4)

Unfortunately, this solution is known to be extremely sensitive to experimental noise.<sup>14</sup> A variety of noise-reducing techniques have been developed to recover the object if the point spread function is not known, e.g., maximum likelihood estimation<sup>15</sup> and blind deconvolution.<sup>16</sup> In these methods, which in general can be quite complex, the point spread function is guessed instead of measured. Adaptive measures, <sup>17</sup> which are particularly suited to flowing objects, or engineered point spread functions<sup>18</sup> can be used as well. In the experiment here, we directly measure the point spread function of the microscope by acquiring the focal stack of sub-micron-based reference particles. For this calibration, we use a suspension of 800 nm dyed polystyrene beads in glycerol, with flow velocity, frame rate, and exposure conditions identical to those of the samples. We record a focal stack as we track one of the flowing reference particles, align the defocused images using the defocusing invariant  $G_n$ , and check that the field of observation is clear of other flowing objects. The resulting stack, centered in the window of computation and normalized to unitary absorption, represents the point spread function of the microfluidic microscope for this particular tilt angle. Although not done here, these particles can be embedded in the flow with the samples themselves, acting as real-time reference points for changing conditions and/or shear compensation.

Even with a known point spread function, the inversion (4) is sensitive to zeros and noise in the measurement. To compensate for this, we use a Wiener deconvolution filter. To implement the filter, we return to Eq. (3) and consider explicitly an additive noise term, N, which we assume to be independent from the signal. Equation (3) becomes:

$$S(\mathbf{r}) = [O \otimes P](\mathbf{r}) + N(\mathbf{r}). \tag{5}$$

The principle of the Wiener filter is to find the best deconvolution operator, D, so that the retrieved object  $O_R = [D \otimes S(r)]$  minimizes the RMS reconstruction error  $E_R = \langle |O - O_R|^2 \rangle$ .

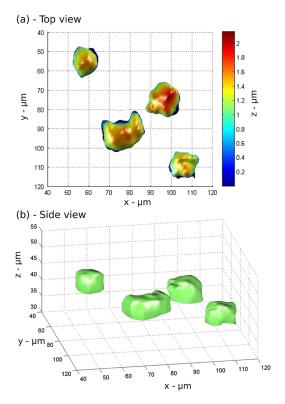
The Wiener solution, representing an optimal compromise between noise and resolution, is given by

$$O_{\rm R} \approx \mathcal{F}^{-1} \left[ \frac{\mathcal{F}[S]}{\mathcal{F}[P] + \epsilon} \right],$$
 (6)

where  $\epsilon = (\langle |N|^2 \rangle / \langle |S|^2 \rangle) = 1.2 * 10^{-3}$  is a regularization constant corresponding to the inverse value of the signal-to-noise ratio. To implement this regularization, the signal intensity is normalized to 1 and the root-mean-square value of the noise (background intensity) is measured in an empty area near the flowing object.

### 4 Results

Experimental results on flowing yeast cells are shown in Fig. 3. The focal stack, S, and the point spread function, P, are each processed using Eq. (6). 3-D data is retrieved, enabling the construction of iso-level surface contours. Figure 3(a) shows a projection of these contours along the optical axis, revealing small-scale surface features ( $\approx 1-2 \mu m$ ) which are clearly resolved (though smoothed somewhat by the regularization process). Most likely, they are due to early-stage budding, though many other factors can contribute to their morphology. Figure 3(b) gives contours from the side, showing that all the cells lie in the same vertical plane (a result of the controlled injection) and that each cell has flat side walls. Such deformation is common in flowing cells<sup>21</sup> and is often used as a diagnostic.<sup>22</sup> As stated above, both the observed shapes and surface profiles are minimally affected by noise in the deconvolution process, as Wiener regularization optimally smooths the reconstructed profiles. We emphasize that many details that are hidden in standard imaging using 2-D projections, such as cell orientation, 3-D shape, and surface roughness, are readily apparent in the volume images here.



**Fig. 3** The three-dimensional (3-D) structure of flowing yeast cells is reconstructed digitally using Eq. (6). (a) An iso-level surface shows subcellular structures at the surface of the cellular membrane. (b) A three-quarter view of the samples, showing smooth structure of the cell walls and relative height in the channel.

### 5 Conclusions

In conclusion, we have introduced a new 3-D imaging technique based on recording a focal stack from samples flowing through a tilted microfluidic channel. As an example, we presented in-flow volume imaging of aggregated yeast cells, showing their size, position, orientation, and subcellular surface features. The method can be implemented on any existing microfluidic microscope and is ideally suited for 3-D profiling in flow cytometers.

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