U.S. Landfalling and North Atlantic Hurricanes: Statistical Modeling of Their Frequencies and Ratios

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ABSTRACT

Time series of U.S. landfalling and North Atlantic hurricane counts and their ratios over the period 1878–2008 are modeled using tropical Atlantic sea surface temperature (SST), tropical mean SST, the North Atlantic Oscillation (NAO), and the Southern Oscillation index (SOI). Two SST input datasets are employed to examine the uncertainties in the reconstructed SST data on the modeling results. Because of the likely undercount of recorded hurricanes in the earliest part of the record, both the uncorrected hurricane dataset (HURDAT) and a time series with a recently proposed undercount correction are considered.

Modeling of the count data is performed using a conditional Poisson regression model, in which the rate of occurrence can depend linearly or nonlinearly on the climate indexes. Model selection is performed following a stepwise approach and using two penalty criteria. These results do not allow one to identify a single ''best'' model because of the different model configurations (different SST data, corrected versus uncorrected datasets, and penalty criteria). Despite the lack of an objectively identified unique final model, the authors recommend a set of models in which the parameter of the Poisson distribution depends linearly on tropical Atlantic and tropical mean SSTs.

Modeling of the fractions of North Atlantic hurricanes making U.S. landfall is performed using a binomial regression model. Similar to the count data, it is not possible to identify a single best model, but different model configurations are obtained depending on the SST data, undercount correction, and penalty criteria These results suggest that these fractions are controlled by local (related to the NAO) and remote (SOI and tropical mean SST) effects.

1. Introduction

North Atlantic hurricanes claim a large toll in terms of fatalities and economic damage every year (e.g., Pielke and Landsea 1998, 1999; Rappaport 2000; Arguez and Elsner 2001; Negri et al. 2005; Ashley and Ashley 2008a; Pielke et al. 2008; Derrig et al. 2008; Saunders and Lea 2005; Ashley and Ashley 2008b; Changnon 2009; Villarini and Smith 2010). Therefore, our improved understanding of the physical mechanisms responsible for their genesis, development, and tracking is not only of interest from a scientific standpoint, but has important societal and economic repercussions as well.

It is currently unclear what the possible changes in North Atlantic hurricane frequency would be in a warmer climate [e.g., Shepherd and Knutson 2007; Vecchi et al. 2008b; Villarini et al. 2011b; the interested reader is pointed to Knutson et al. (2010) for a recent review], with contradicting results in the sign of these changes, in addition to their magnitudes (e.g., Bengtsson et al. 1996; Knutson et al. 1998; Emanuel 2005; Mann and Emanuel

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2006; Oouchi et al. 2006; Holland and Webster 2007; Bengtsson et al. 2007; Knutson et al. 2008; Gualdi et al. 2008; Emanuel et al. 2008; Sugi et al. 2009; Zhao et al. 2009; Bender et al. 2010). Our capability of predicting future changes in hurricane frequency lays its foundation on our capability to understand and represent the physical processes responsible for the variability exhibited by the existing record at various time scales, from intra- and interannual to multidecadal. An important element of this process is examining the dominant factors that explain the variations in frequency of North Atlantic and U.S. landfalling hurricanes.

Several studies have explored the relationship of different climate indexes on the North Atlantic tropical storm and hurricane frequency. Among the most commonly used indexes, we find Atlantic and tropical sea surface temperatures (SSTs; e.g., Shapiro and Goldenberg 1998; Landsea et al. 1999; Vitart and Anderson 2001; Emanuel 2005; Jagger and Elsner 2006; Bell and Chelliah 2006; Hoyos et al. 2006; Latif et al. 2007; Vecchi and Soden 2007; Saunders and Lea 2008; Swanson 2008; Knutson et al. 2008; Vecchi et al. 2008b; Villarini et al. 2010), El Niño–Southern Oscillation (ENSO; Gray 1984b; Wu and Lau 1992; Bove et al. 1998; Elsner et al. 2001; Jagger et al. 2001; Tartaglione et al. 2003; Elsner et al. 2004; Bell and Chelliah 2006; Camargo et al. 2007b; Donnelly and Woodruff 2007), North Atlantic Oscillation (NAO; Elsner et al. 2000b; Elsner and Kocher 2000; Elsner et al. 2000a; Jagger et al. 2001; Elsner et al. 2004; Elsner and Jagger 2004; Pinto et al. 2009), West African monsoon (e.g., Gray 1990; Landsea and Gray 1992; Goldenberg and Shapiro 1996; Bell and Chelliah 2006; Donnelly and Woodruff 2007), Atlantic multidecadal oscillation (AMO; e.g., Zhang and Delworth 2006; Goldenberg et al. 2001), Atlantic meridional mode (AMM; Vimont and Kossin 2007; Kossin and Vimont 2007), Madden–Julian oscillation (MJO; Maloney and Hartmann 2000; Barrett and Leslie 2009; Camargo et al. 2009), quasi-biennial oscillation (e.g., Shapiro 1982; Gray 1984b), and solar cycle (Elsner and Jagger 2008).

No agreement exists regarding which of these climate variables should be included in a model describing North Atlantic and U.S. landfalling hurricane frequencies. Bove et al. (1998) examined the effects of El Niño on U.S. landfalling hurricanes and found that the probability of two or more U.S. hurricane strikes increased from 28% during an El Niño year to 66% during a La Niña year. Elsner et al. (2001) used a Poisson regression model to examine the relation between U.S. landfalling hurricane data and ENSO and NAO [see also Elsner (2003), Elsner et al. (2004), and Elsner and Jagger (2006) for additional models of U.S. landfalling hurricane counts]. Parisi and Lund (2008) found that NAO and the Bivariate El Niño–Southern Oscillation [an index computed from the Southern Oscillation index (SOI) and El Niño-3.4] can be used to model the U.S. landfalling hurricane strike count. Dailey et al. (2009) examined the relation between Atlantic SST and U.S. landfalling hurricanes. Vecchi et al. (2011) built a Poisson regression model from 212 yr of global atmospheric simulations from the High-Resolution Atmospheric Model [HiRAM-C180; C180 refers to a model with a cubed-sphere dynamical core (Putman and Lin 2007) with 180 × 180 grid points on each face of the cube, resulting in grid sizes ranging from 43.5 to 61.6 km] model (Zhao et al. 2009, 2010) and assumed that both tropical Atlantic and tropical mean sea surface temperatures were important predictors, finding that the former exerted a positive impact (increasing frequency of hurricanes with increasing tropical Atlantic SST) and the latter a negative impact (decreasing frequency of hurricanes with increasing tropical mean SST). Kossin et al. (2010) divided the North Atlantic tropical storms and hurricanes into four clusters and investigated their frequency in terms of ENSO, AMM, NAO, and MJO.

Modeling of the North Atlantic hurricanes is complicated by the uncertainties associated with the Hurricane dataset (HURDAT; Jarvinen et al. 1984; Neumann et al. 1993; MacAdie et al. 2009), which is maintained by the National Hurricane Center (NHC). For all the recorded storms starting from 1851, HURDAT provides information about the latitude, longitude, minimum pressure, and maximum wind speed at the center of circulation at the 6-hourly scale. The homogeneity of this record has been a subject of research. Statements about the presence of increasing linear trends are unavoidably affected by the large uncertainties in the record, especially considering the large leverage that the data at the beginning of the time series would exert. There is, therefore, a trade-off between the availability of the longest possible record and having results that are affected by significant uncertainties. To address this issue, several corrections for possible undercounts have been proposed, each of them based on different assumptions and methodologies (e.g., Landsea et al. 2004; Landsea 2007; Mann et al. 2007; Chang and Guo 2007; Chenoweth and Divine 2008; Vecchi and Knutson 2008; Landsea et al. 2010; Vecchi and Knutson 2011). In addition, efforts are underway to "reanalyze" the record using historical meteorological observations (e.g., Landsea et al. 2004, 2008). Even though it will never be possible to know with complete certainty the exact number of hurricanes over the entire record, the use of corrections for possible undercounts would mitigate the impact of these errors and allow for making more meaningful statements about the results of these studies.

In this work we examine the relation between climate indexes and counts of U.S. landfalling and North

Atlantic hurricanes by means of a Poisson regression model. We take the lead from prior studies (e.g., Elsner and Schmertmann 1993; McDonnell and Holbrook 2004a,b; Elsner et al. 2004; Elsner and Jagger 2004; Sabbatelli and Mann 2007; Chu and Zhao 2007; Elsner et al. 2008; Mestre and Hallegatte 2009; Chu et al. 2010; Villarini et al. 2010) and build on them. We consider five different predictors (tropical Atlantic SST, tropical mean SST, NAO averaged over two different periods, and SOI), reflecting our current understanding of the physical processes responsible for the frequency of North Atlantic hurricanes. In particular, the use of both tropical Atlantic and tropical mean SSTs is partly motivated by the broad evidence in support of the concept that tropical Atlantic SST relative to SST of the global tropics is a more significant predictor for the conditions that impact cyclone frequency than absolute tropical Atlantic SST (e.g., Sobel et al. 2002; Tang and Neelin 2004; Latif et al. 2007; Vecchi and Soden 2007; Swanson 2008; Knutson et al. 2008; Vecchi et al. 2008b; Zhao et al. 2009, 2010; Villarini et al. 2010, 2011b). Rather than assuming a linear relation between covariates and parameter of the Poisson regression model by means of an appropriate link function, we allow for nonlinear dependencies as well by means of cubic splines. Moreover, the selection of the most appropriate predictors is performed using two different selection criteria. Villarini et al. (2010) showed that there is not a ''single best'' statistical model when modeling North Atlantic and U.S. landfalling tropical storms, but different final models result from different selection criteria. To account for likely undercounts in the number of North Atlantic hurricanes in the presatellite era (pre-1966), we model both the original HURDAT record as well as the HURDAT time series after correcting for undercounts using the approach recently described in Vecchi and Knutson (2011). Finally, we do not restrict ourselves to one single SST dataset, but examine the impact of different SST input data (e.g., Vecchi et al. 2008a; Bunge and Clarke 2009) by employing two different SST records.

Modeling the number of hurricanes in the North Atlantic basin and making landfall in the United States has been the object of prior studies. Examination of the temporal changes in the fractions of North Atlantic hurricanes making U.S. landfall, however, has received much less attention. Landsea (2007) explored the ratio of landfalling to total tropical storms, and argued that the notable increase over time was evidence for an inhomogeneity of the tropical storm record. Coughlin et al. (2009) examined these ratios, applying different statistical tests. They found that these fractions were different between the first and second half of the twentieth century (most likely due to inhomogeneities in the record), but could be considered constant over the most recent part of the record. After applying a correction to the North Atlantic basinwide hurricane record, Vecchi and Knutson (2011) found that the 1878–2008 record of the U.S. landfalling hurricane fraction became more stationary. To the best of our knowledge there are no studies attempting to describe the fraction of North Atlantic hurricanes making U.S. landfall in terms of climate variables. Improved understanding of the physical mechanisms responsible for the hurricane landfall would improve our capability of predicting and understanding landfalling hurricanes, with implications for decision makers and for the insurance and reinsurance industry (e.g., Lonfat et al. 2007). In particular, a model able to represent the fraction of hurricanes making landfall in terms of climate indexes could be coupled with predictive models of the overall North Atlantic hurricane activity [e.g., Gray 1984a; Elsner and Jagger 2006; Vitart 2006; Vecchi et al. 2011; consult Camargo et al. (2007a) for a review]. From a statistical standpoint, the appropriate model to describe the proportion of hurricanes making landfall is a binomial model, in which the number of landfalling hurricanes has a binomial distribution given the total number of storms.

The main questions we address in this study can be summarized as follows:

1) What are the important climate indexes to describe the frequency of U.S. landfalling and North Atlantic hurricanes?
2) What are the important covariates to describe the fractions of North Atlantic hurricanes making landfall in the United States?
3) What is the sensitivity of these models to hurricane undercounts, SST input data, and criteria for model selection?

The paper is organized in the following way: in section 2 we describe the data and the climate indexes, followed by section 3 in which we describe the Poisson regression model and the binomial regression model used to model the frequency of U.S. landfalling and North Atlantic hurricanes and their ratios. The results of this study are presented in section 4. Finally, in section 5 we discuss some of the issues with this study and summarize the main points of this work.

2. Data

a. Hurricane data

The number of North Atlantic hurricanes (Saffir–Simpson category 1–5) is derived from HURDAT (Jarvinen et al. 1984; Neumann et al. 1993; MacAdie et al. 2009), which contains the number of hurricanes since 1851. This dataset, however, is not homogeneous and becomes more prone to missed hurricanes the farther back we go. Until 1943, the number of recorded storms

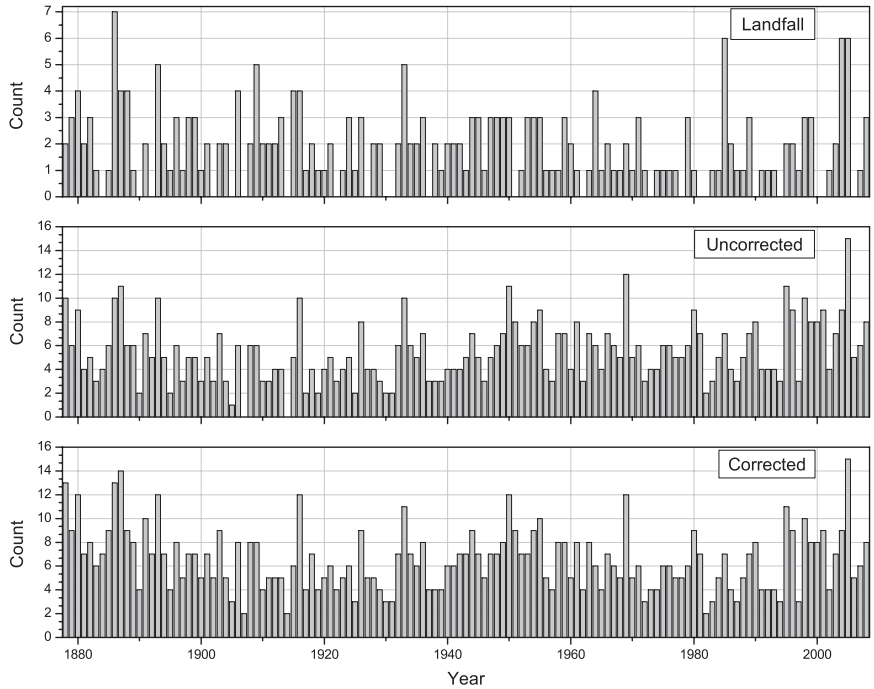

FIG. 1. Time series of the count (top) of U.S. landfalling hurricanes, (middle) of the North Atlantic hurricanes using the original HURDAT, and (bottom) after applying the correction in Vecchi and Knutson (2011).

relies on ship observations (not homogeneous themselves and affected by changes in the ship tracks; Vecchi and Knutson 2008) and landfall recordings. Organized aircraft reconnaissance flights started in 1944 and complemented the ship accounts. The hurricane record from 1966 is largely based on satellite observations.

These changes in the observation system raised questions about the accuracy of the HURDAT record, in particular regarding the earliest parts (pre-1944). Several different corrections have been proposed to account for likely storm undercounts, each of them based on a different hypothesis (e.g., Landsea et al. 2004; Landsea 2007; Chang and Guo 2007; Mann et al. 2007; Vecchi and Knutson 2008; Landsea et al. 2010). These corrections, however, were not specifically developed for hurricanes. Vecchi and Knutson (2011) recently proposed a correction for likely undercounts of hurricanes in the North Atlantic basin, following a methodology similar to the one described in Vecchi and Knutson (2008). As far as U.S. landfalling hurricane counts are concerned, we conditionally assume that the record is complete because of the devastating impact that these storms would have had.

In this study we model the yearly number of North Atlantic hurricanes and U.S. landfalling hurricanes over the period 1878–2008. When dealing with the overall North Atlantic hurricane activity, we consider two datasets: time series obtained from the original HURDAT (we will refer to this record as ''uncorrected'') and a time series in which the HURDAT is corrected for undercounts using the correction in Vecchi and Knutson (2011) (we will refer to this record as ''corrected''). These three time series are shown in Fig. 1. These data exhibit considerable interannual and interdecadal variability, with periods of higher activity alternating to periods of lower activity. Comparison between the uncorrected and corrected records highlights the largest discrepancies in the earliest parts of the records, in which the undercount correction was larger. These discrepancies become smaller as we move toward the satellite era. Note that modeling results of the corrected dataset are based on the work of Vecchi and Knutson (2011), and different corrections may lead to different results.

In addition to the modeling of the hurricane counts, we also focus on the statistical modeling of the fraction of North Atlantic hurricanes that made landfall in the United States (Fig. 2). These time series are bound between 0 (in a given year, no hurricane made landfall in the United States) and 1 (all of the hurricanes formed in the North Atlantic made landfall in the United States as hurricanes). While there have been years with no landfalling hurricanes, over 1878–2008 there are no years in which all of the North Atlantic hurricanes made landfall in the United States as hurricanes. Once again, we use both the corrected and uncorrected HURDAT for the

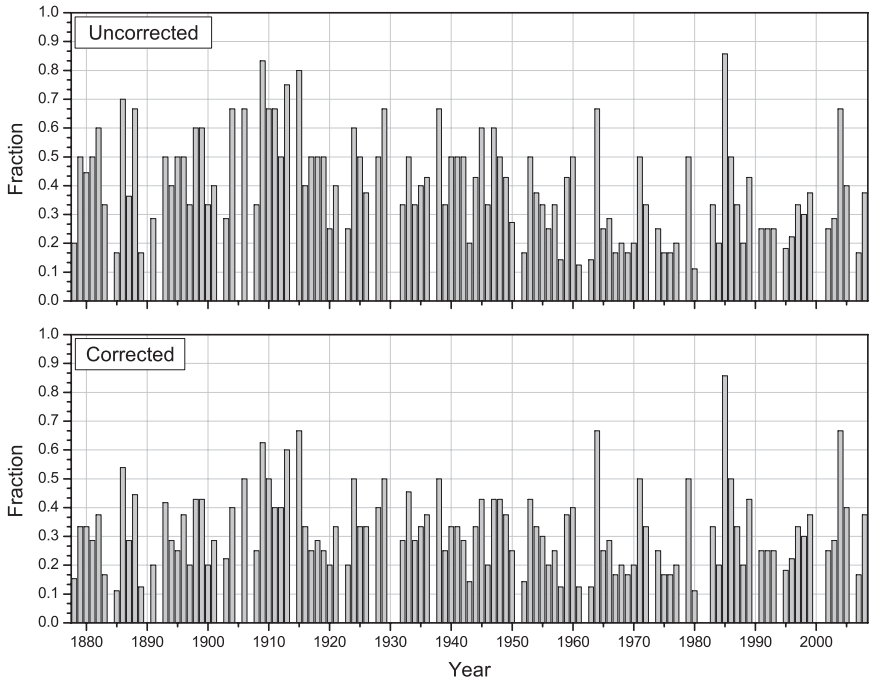

FIG. 2. Time series of the fraction of the North Atlantic hurricanes that made landfall in the United States (top) using the original HURDAT and (bottom) after correcting it as in Vecchi and Knutson (2011).

overall North Atlantic hurricane activity. There are considerable variations on a variety of time scales with periods of larger U.S. landfalling fractions alternating to periods of lower frequency. When using the uncorrected HURDAT, we observe larger fractions toward the beginning of our record because of the lower number of recorded North Atlantic hurricanes, similar to Landsea (2007) for tropical storms and Coughlin et al. (2009).

b. *Climate indexes*

We use as possible predictors to describe the frequency of North Atlantic hurricanes, U.S. landfalling hurricanes, and fractions of hurricanes making landfall in the United States four different climate indexes: tropical Atlantic SST (SST_{Atl}), tropical mean SST (SST_{Trop}), SOI, and the NAO. We have focused on these variables because of the availability of relatively high-quality data over our study period and for their relation to the physical factors that control the genesis, development, and tracking of North Atlantic hurricanes. A warm Atlantic is generally more conducive to increased hurricane activity (e.g., Emanuel 2005; Mann and Emanuel 2006; Vecchi and Soden 2007; Swanson 2008; Zhao et al. 2009; Villarini et al. 2010). We also include tropical mean SST because of its impact on wind shear (Latif et al. 2007), upper-tropospheric temperature (Sobel et al. 2002), and other measures of thermodynamic instability (e.g., Shen et al. 2000; Tang and Neelin 2004; Vecchi and Soden 2007; Ramsay and Sobel 2011) affecting hurricane frequency. Moreover, based on high-resolution atmospheric models, tropical Atlantic SST relative to tropical mean SST is found to be relevant in describing the impacts of changing climate on hurricane frequency (e.g., Knutson et al. 2008; Vecchi et al. 2008b; Zhao et al. 2009, 2010; Villarini et al. 2011b). Hurricane genesis and development are generally suppressed (favored) by increasing (decreasing) vertical shear of the upper-level horizontal winds during El Niño (La Niña) events (e.g., Gray 1984b; Wu and Lau 1992; DeMaria 1996). The strength of the trade winds and the position of the Bermuda high are indicated as the physical links between NAO and hurricane activity (e.g., Elsner et al. 2000b, 2001), with effects mostly associated with the steering of the hurricane tracks.

We compute the tropical Atlantic SST undetrended anomalies spatially averaged over a box 10°–25°N and 80°–20°W while the tropical mean SST is calculated over a box 30°S–30°N. Both of them are averaged over the period June–November. We use SST time series obtained from two datasets to examine the sensitivity of our results to different inputs. Similar to Villarini et al. (2010), we use both the Met Office's Hadley Centre Sea Ice and Sea Surface Temperature version 1 (HadISSTv1; Rayner et al. 2003) and the National Oceanic and Atmospheric Administration's (NOAA) Extended Reconstructed SST

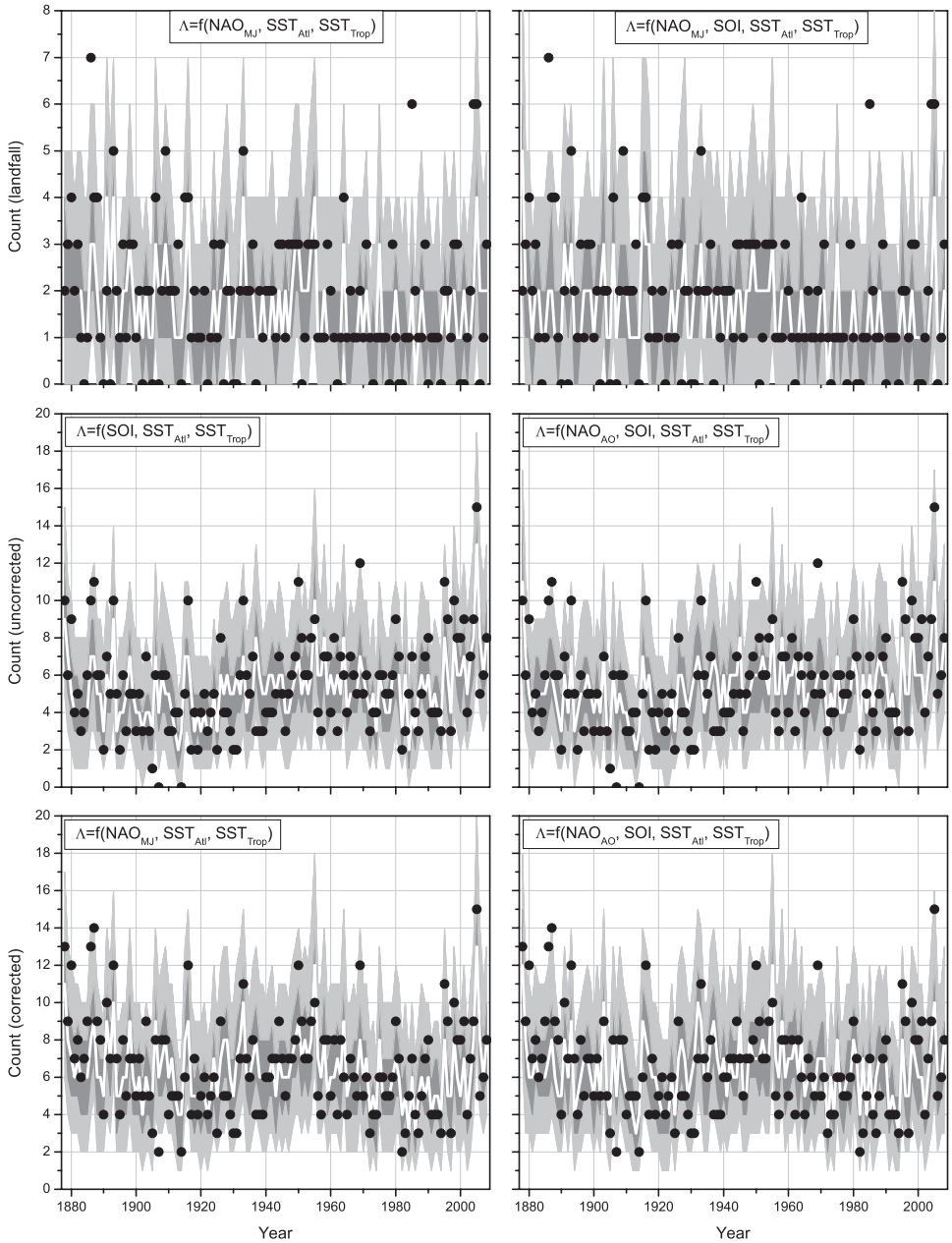

FIG. 3. Modeling the count data for (top) landfalling hurricanes, (middle) ''uncorrected'' HURDAT, and (bottom) the HURDAT with the Vecchi and Knutson (2011) correction using the climate indexes as predictors. Model selection is performed with respect to AIC. The results are obtained by using the (left) HadISSTv1 SST data and (right) ERSSTv3b SST data. The white line represents the median (50th percentile), the dark gray region the area between the 25th and 75th percentiles, and the light gray region the area between the 5th and 95th percentiles.

(ERSSTv3b; Smith et al. 2008). Despite measuring the same quantity (SST), they exhibit differences associated with different methods used to infill missing SST values, as well as different ways of correcting for data inhomogeneities and the use of the satellite record. The SOI time series is averaged over the August–October period and is computed as described in Trenberth (1984). The NAO time series is computed as in Jones et al. (1997) and averaged over two different periods [May–June (NAO_{MJ}) and August–October (NAO_{AO}); Elsner et al. 2000b, 2001; Elsner 2003; Elsner et al. 2004; Mestre and Hallegatte 2009; Villarini et al. 2010]. The selection of these two averaging periods is because of the fact that NAO is stronger during boreal winter

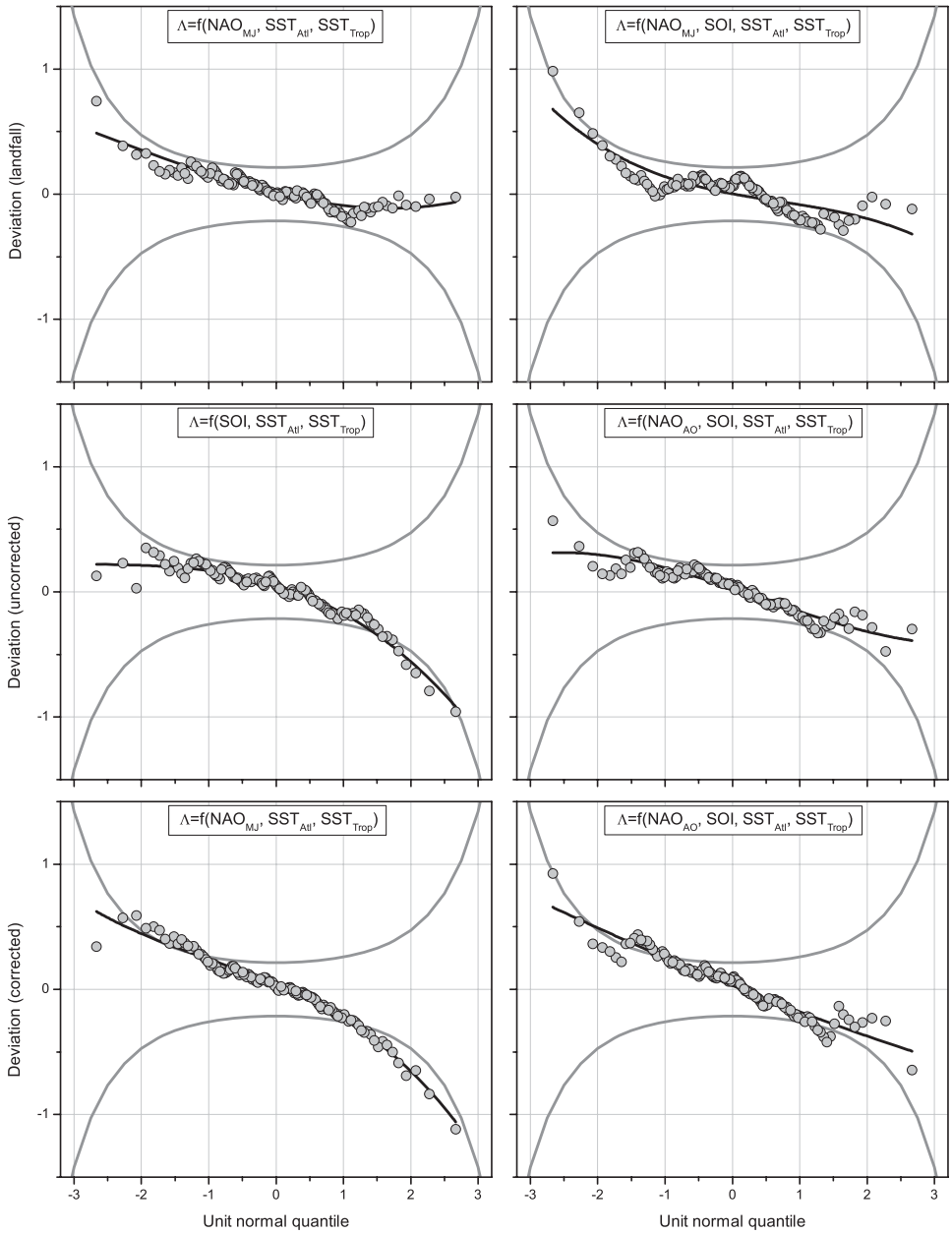

FIG. 4. Worm plots of the six models in Fig. 3.

and spring (e.g., Hurrell and Van Loon 1997) but we also want to have a period representative of the core of the hurricane season.

3. Statistical models

a. Poisson regression model

Poisson regression is a form of Generalized Additive Model (GAM; e.g., Hastie and Tibshirani 1990) in which the predictand is in the form of count data and follows a Poisson distribution. Let us define the number of North Atlantic and U.S. landfalling hurricanes in the ith year by N_i. We can write that N_i follows a conditional Poisson distribution with rate of occurrence Λ_i if

$$P(N_i = k|\Lambda_i) = \left(\frac{e^{-\Lambda_i}\Lambda_i^k}{k!}\right) \quad (k = 0, 1, 2, \ldots). \quad (1)$$

The parameter Λ_i can assume the following general formulation:

$$\Lambda_i = \exp[\beta_0 + \beta_1 h_1(z_{1i}) + \beta_2 h_2(z_{2i}) + \cdots + \beta_n h_n(z_{ni})], \quad (2)$$

where $\{z_{1i}, \ldots, z_{ni}\}$ is a vector of n observable covariate random variables for the ith year [see Smith and Karr (1983) and Karr (1991) for a more general formulation] and h_j (for $j = 1, \ldots, n$) is a synthetic way of indicating both linear and nonlinear dependencies. As discussed in the previous section, we consider five predictors (SST_{Atl}, SST_{Trop}, SOI, and NAO averaged over two different periods) as well as two-way interactions (e.g., Elsner and Jagger 2004; Mestre and Hallegatte 2009; Villarini et al. 2010).

As a special case of Eq. (2), we could have all the beta coefficients equal to zero, with $\Lambda_i = \exp(\beta_0)$ (standard Poisson random variable). Moreover, if $\ln(\Lambda_i)$ linearly depends on the covariates, we have a Generalized Linear Model (GLM; McCullagh and Nelder 1989; Dobson 2001) and we can write that $\Lambda_i = \exp(\beta_0 + \beta_1 z_{1i} + \beta_2 z_{2i} + \cdots + \beta_n z_{ni})$.

In this study, we do not limit the dependence of Λ_i on the covariates (via a logarithmic link function) to be only linear (e.g., Elsner and Schmertmann 1993; Elsner et al. 2000a; Elsner and Jagger 2004, 2006; Sabbatelli and Mann 2007). We also include the case in which the relation between predictand and predictors is by means of a cubic spline (e.g., Mestre and Hallegatte 2009; Villarini et al. 2010). Model selection (in terms of both covariates and their relation to the Poisson parameter) is performed using a stepwise approach that penalizes with respect to both the Akaike Information Criterion (AIC; Akaike 1974) and the Schwarz Bayesian Criterion (SBC; Schwarz 1978). The use of these criteria would help in avoiding model overfit and represents a trade-off between the complexity and the accuracy of the models. Because of our sample size (131 yr), SBC would apply a larger penalty compared to AIC, leading to a more parsimonious model. We, therefore, would expect the model selected according to SBC to be more parsimonious (both in terms of number of covariates and their relation to the rate of occurrence parameter) than the one based on AIC. Villarini et al. (2010) showed how the use of different penalty criteria results in different "best" models for the frequency of North Atlantic and U.S. landfalling tropical storms. Consult the appendix for a discussion about the impact of the correlation among predictors on the selected models.

Because AIC and SBC do not provide information about the quality of the fit (e.g., Hipel 1981), we evaluate the model performance by analyzing the model residuals, which should be independent and identically distributed, following a Gaussian distribution (e.g., Rigby and Stasinopoulos 2005). We examine the (normalized randomized quantile) residuals (Dunn and Smyth 1996) by computing the first four moments of their distribution (mean, variance, and coefficients of skewness and kurtosis) and their Filliben correlation coefficient (Filliben 1975). We also examine quantile–quantile (qq) and worm plots (van Buuren and Fredriks 2001).

All the calculations are performed in R (R Development Core Team, 2008) using the freely available Generalized Additive Models for Location Scale and Shape (GAMLSS) package (Stasinopoulos et al. 2007).

TABLE 1. Summary statistics for the Poisson modeling of hurricane counts using climate indexes as covariates. Model selection is performed with respect to AIC. The first value is the point estimate, while the one in parentheses is the standard error; "D. of F. for the fit" indicates the degrees of freedom used for the fit. In each cell, the values in the first (second) row refer to the model using HadISSTv1 (ERSSTv3b). When "cs" is present, it means that the dependence of Λ_i on that covariate is by means of a cubic spline and the coefficients and standard errors are for the linear fit that accompanies the cubic spline fit (otherwise, simple linear dependence is implied).

	Landfall	Uncorrected	Corrected
Intercept	0.50 (0.07)	1.67 (0.04)	1.84 (0.04)
	0.52 (0.07)	1.68 (0.04)	1.86 (0.04)
NAO_{MJ}	−0.18 (0.07)	—	−0.06 (0.03)
	−0.14 (0.07)	—	—
NAO_{AO}	—	—	—
	—	0.07 (0.04)	0.07 (0.04)
SOI	—	0.05 (0.03)	—
	0.09 (0.04)	0.09 (0.03)	0.05 (0.02)
SST_{Atl}	1.21 (0.34; cs)	1.15 (0.20; cs)	1.12 (0.18; cs)
	0.94 (0.31; cs)	1.03 (0.18)	1.01 (0.17)
SST_{Trop}	−1.93 (0.49; cs)	−0.75 (0.30; cs)	−1.37 (0.25; cs)
	−1.32 (0.44; cs)	−0.51 (0.25)	−0.97 (0.23)
D. of F. for	10	10	10
the fit	8	5	5
Mean	0.04	−0.00	0.01
(residuals)	0.03	0.02	0.04
Variance	0.78	0.67	0.55
(residuals)	0.76	0.70	0.62
Skewness	0.18	−0.36	−0.25
(residuals)	0.13	−0.06	−0.05
Kurtosis	2.99	2.92	2.72
(residuals)	2.77	3.00	2.92
Filliben	0.997	0.994	0.996
(residuals)	0.993	0.997	0.997
AIC	423.6	559.9	571.8
	425.9	560.4	573.2
SBC	452.3	588.6	600.5
	448.9	574.8	587.6

b. *Binomial regression*

Modeling of the fraction of North Atlantic hurricanes that made landfall in the United States is performed by means of binomial regression, which is another form of

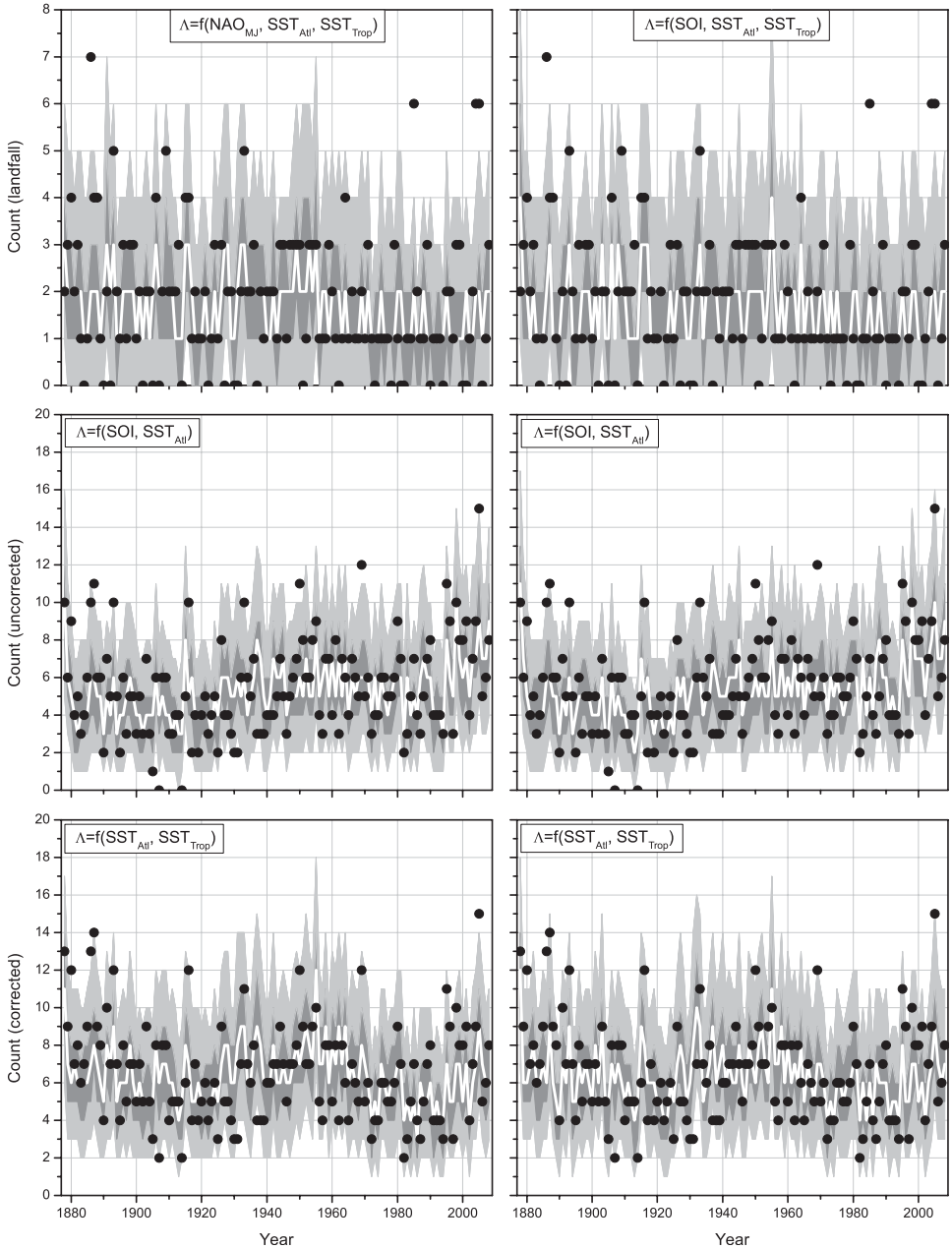

FIG. 5. Same as Fig. 3, but using SBC as penalty criterion.

GAM. Under this model the number of landfalling storms has a binomial distribution given the total number of storms. Following the notation in McCullagh and Nelder (1989), let us indicate with Y_1 and Y_2 two Poisson random variables with means of μ_1 and μ_2, respectively. Let us also indicate with m their sum ($m = Y_1 + Y_2$), which follows a Poisson distribution with mean equal to $\mu_1 + \mu_2$. In our case, m represents the basinwide number of hurricanes, while Y_1 the number of U.S. landfalling hurricanes. Given m, the distribution of Y_1 can then be written as

$$f(Y_1 = y|\mu) = \frac{\Gamma(m+1)}{\Gamma(y+1))\Gamma(m-y+1)}\mu^y(1-\mu)^{(m-y)}, \tag{3}$$

where $\mu = \mu_1/(\mu_1 + \mu_2)$. The mean and the variance of Y_1/m are μ and $\mu(1-\mu)$, respectively.

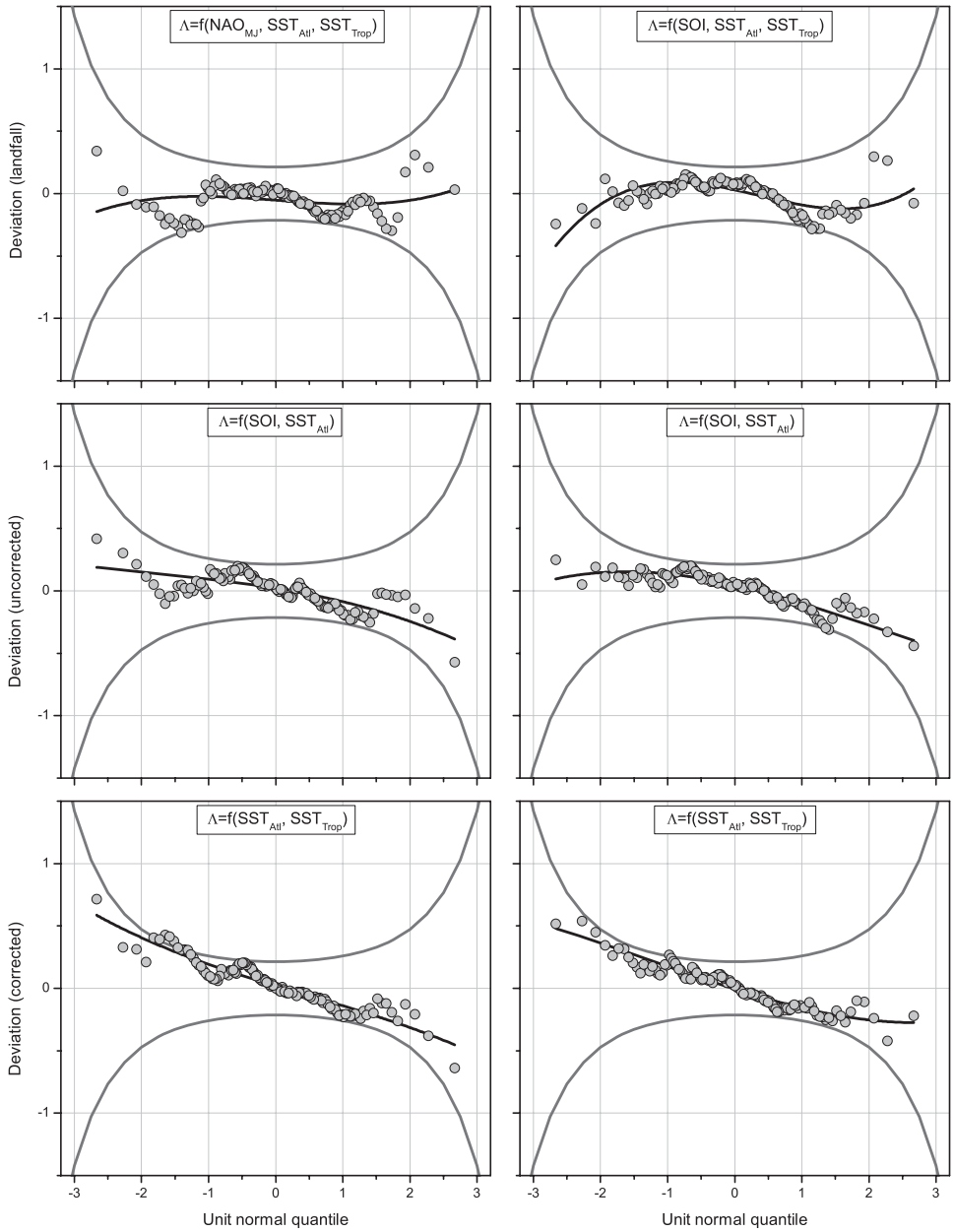

FIG. 6. Worm plots of the six models in Fig. 5.

Similar to what is described in Eq. (2), we can relate the parameter μ for the ith year to a vector of n covariates:

$$g(\mu_i) = \beta_0 + \beta_1 h_1(z_{1i}) + \beta_2 h_2(z_{2i}) + \cdots + \beta_n h_n(z_{ni}). \quad (4)$$

The link function $g(\cdot)$ ensures that $\mu \in [0, 1]$, and several link functions are available (e.g., logit, probit, and complementary log-log). We use the logit link, so that $g(\mu) = \log[\mu/(1 - \mu)]$. Therefore, we can explicitly write the dependence of μ on the covariates as

$$\mu_i = \frac{\exp[\beta_0 + \beta_1 h_1(z_{1i}) + \cdots + \beta_n h_n(z_{ni})]}{1 + \exp[\beta_0 + \beta_1 h_1(z_{1i}) + \cdots + \beta_n h_n(z_{ni})]}. \quad (5)$$

We consider the same five predictors as for the Poisson regression model (SST_{Atl}, SST_{Trop}, SOI, NAO_{MJ}, and NAO_{AO}). To the best of our knowledge, studies about the statistical modeling of the fraction of North

Atlantic hurricanes making landfall in the United States in terms of climate indexes are still lacking. Therefore, it is hard to predict what to expect a priori from model selection. We could expect NAO to be an important predictor because of its possible relation to the hurricane tracks (e.g., Elsner et al. 2000b, 2001). Model selection is performed with respect to both AIC and SBC.

Similar to the Poisson regression model, we evaluate the goodness of fit of our models by analyzing the residuals, which should be independent and identically distributed, following a Gaussian distribution. We examine the (normalized randomized quantile) residuals (Dunn and Smyth 1996) by computing the first four moments of their distribution (mean, variance, and coefficients of skewness and kurtosis) and their Filliben correlation coefficient (Filliben 1975). We also examine qq and worm plots (van Buuren and Fredriks 2001).

All the calculations are performed in R (R Development Core Team 2008) using the freely available GAMLSS package (Stasinopoulos et al. 2007).

4. Results

a. Poisson regression model

We start by focusing on the statistical modeling of the number of North Atlantic and U.S. landfalling hurricanes using a Poisson regression model in which the logarithm of the rate of occurrence is a function of SST_{Atl}, SST_{Trop}, NAO, and SOI. We consider both linear and smooth (by means of a cubic spline) dependence of the Poisson parameter on these covariates, and include two-way interaction terms. Model selection is performed using a stepwise approach, using both AIC and SBC as penalty criteria.

We start with the results obtained using AIC as the penalty criterion (Fig. 3) for the U.S. landfalling hurricanes (top panels) and the uncorrected (middle panels) and corrected (bottom panels) North Atlantic hurricane counts. The results for both of the SST datasets are shown (HadISSTv1: left panels; ERSSTv3b: right panels). We summarize the parameter estimates and the model fit performance in Fig. 4 and Table 1. In modeling the landfalling hurricanes (Fig. 3, top panel), different covariates and functional relations between predictors and the rate of occurrence parameter are identified depending on the SST input data. When using the HadISST data, NAO_{MJ}, SST_{Atl}, and SST_{Trop} are significant predictors. There is a linear relation between NAO_{MJ} and the logarithm of the rate of occurrence parameter, while the relation between SST_{Atl} and SST_{Trop} and $\ln(\Lambda)$ is by means of a cubic spline. When using ERSST data, SOI is added as a significant predictor. In this case, there is a linear relation between SST_{Trop} and SOI and $\ln(\Lambda)$. The number of degrees of freedom for the fit is larger when using HadISST than ERSST (10 versus 8) because of the use of cubic splines for tropical Atlantic and tropical mean SSTs. Similar to what was found for U.S. landfalling tropical storms (Villarini et al. 2010), tropical Atlantic and tropical mean SSTs are always important predictors. Moreover, the coefficients of SST_{Atl} and SST_{Trop} have opposite signs, pointing to relative SST as an important factor in describing U.S. landfalling hurricane frequency. Despite the complex patterns exhibited by the hurricane record, these models are able to describe its behavior. An assessment of the quality of the fit (Fig. 4; Table 1) does not highlight any significant problem with these models.

The time series of hurricane counts for the entire North Atlantic basin exhibit more marked multidecadal variations than observed in the U.S. landfalling hurricane count time series (Fig. 3, middle and bottom panels). When modeling the uncorrected data and using the HadISST data, SOI and tropical Atlantic and tropical mean SSTs are retained as important predictors. The relation between SOI and the rate of occurrence parameter is linear, while Λ is related to SST_{Atl} and SST_{Trop} by means of a cubic spline (via a logarithmic link function). The results obtained using the ERSST input data are slightly

TABLE 2. Same as Table 1, but using SBC as the penalty criterion.

	Landfall	Uncorrected	Corrected
Intercept	0.49 (0.08)	1.68 (0.04)	1.86 (0.03)
	0.57 (0.07)	1.68 (0.04)	1.85 (0.04)
NAO_{MJ}	−0.18 (0.07)	—	—
	—	—	—
NAO_{AO}	—	—	—
	—	—	—
SOI	—	0.10 (0.02)	—
	0.11 (0.04)	0.11 (0.02)	—
SST_{Atl}	1.18 (0.34)	0.73 (0.13)	1.11 (0.17)
	1.07 (0.30)	0.68 (0.11)	1.05 (0.16)
SST_{Trop}	−1.95 (0.49)	—	−1.33 (0.25)
	−1.41 (0.44)	—	−1.17 (0.22)
D. of F. for the fit	4	3	3
	4	3	3
Mean (residuals)	−0.05	0.00	0.03
	−0.00	0.02	0.01
Variance (residuals)	0.99	0.82	0.68
	0.94	0.79	0.71
Skewness (residuals)	−0.01	−0.10	0.04
	−0.17	−0.17	0.10
Kurtosis (residuals)	3.11	2.84	2.79
	3.42	2.93	2.94
Filliben (residuals)	0.993	0.995	0.997
	0.994	0.997	0.998
AIC	429.5	568.7	578.6
	429.7	563.6	577.1
SBC	441.0	577.3	587.3
	441.2	572.2	585.7

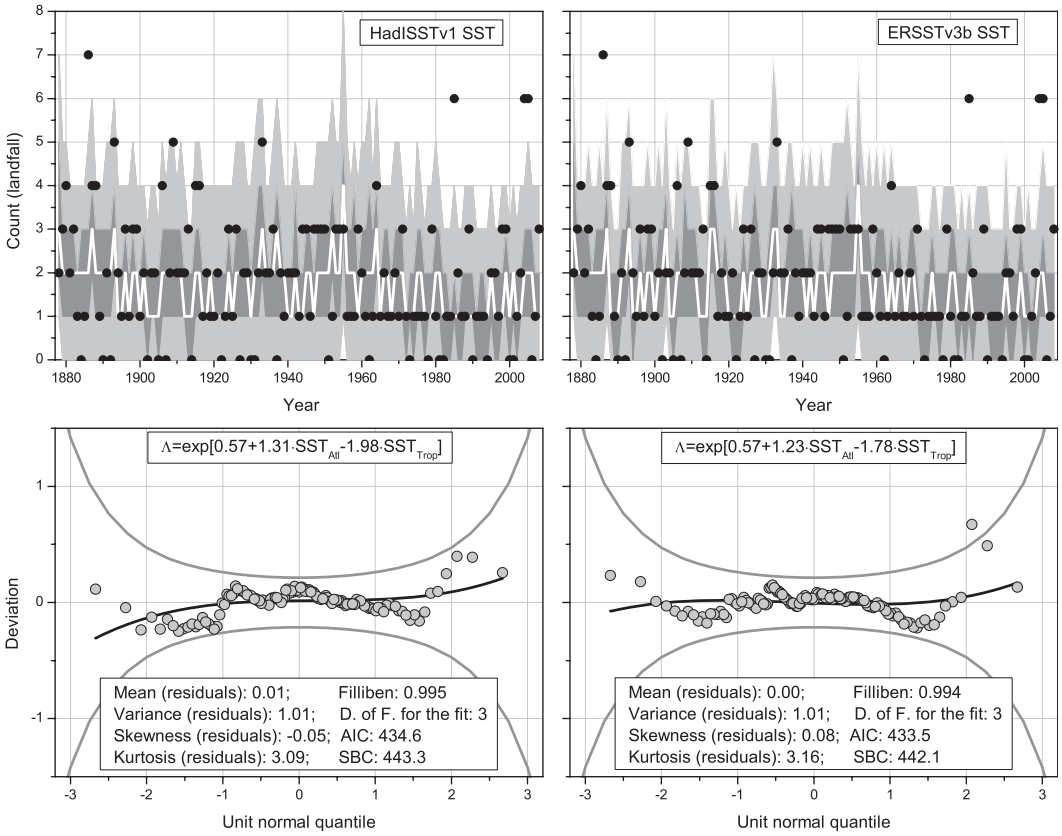

FIG. 7. (top) Modeling the U.S. landfalling hurricane count time series using tropical Atlantic and tropical mean SSTs as predictors. The white line represents the median (50th percentile), the dark gray region the area between the 25th and 75th percentiles, and the light gray region the area between the 5th and 95th percentiles. (bottom) Worm plots and summary statistics for these models are presented. The results are obtained by using the (left) HadISSTv1 SST data and (right) ERSSTv3b SST data.

different. Even in this case, both tropical Atlantic and tropical mean SSTs are retained as important predictors and, once again, they have opposite signs. However, their relation to the logarithm of the Poisson parameter is now linear. While SOI is included in the final model, NAO_{AO} is also included. Because the relation between tropical Atlantic and tropical mean SSTs and $\ln(\Lambda)$ is linear when using ERSST, the number of degrees of freedom used for the fit is smaller (5 versus 10). These models are able to reproduce well the behavior exhibited by the data, with decades of increased hurricane activity alternating to decades of lower activity. The fit diagnostics do not indicate any large problem with these models (Fig. 4; Table 1).

Similar to what was found for the uncorrected dataset, the models for the corrected time series always include tropical Atlantic and tropical mean SSTs as important predictors. In agreement with the idea that tropical Atlantic SST relative to the tropical mean SST is more important than tropical Atlantic SST alone, the coefficients of SST_{Atl} and SST_{Trop} have opposite signs (positive for the former and negative for the latter). These statements are valid independently of the SST data used. When using HadISST data, NAO is retained as an important predictor. The relation between $\ln(\Lambda)$ and NAO is linear, while it is by means of a cubic spline for tropical Atlantic and tropical mean SSTs. If we use ERSST data, the results (in terms of covariates and their relation to Λ) are similar to what was found for the uncorrected dataset. The logarithm of the rate of occurrence is linearly related to SOI, NAO_{AO}, SST_{Atl}, and SST_{Trop} (the number of degrees of freedom used for the fit is less than what was found using the HadISST data because of the simple linear dependence). These models are able to reproduce well the behavior exhibited by the data, with the alternation of periods of increased and decreased frequencies. The diagnostic measures used to assess the quality of the fit tend to support the modeling results.

So far we have been performing model selection using AIC as the penalty criterion. Similar to Villarini et al.

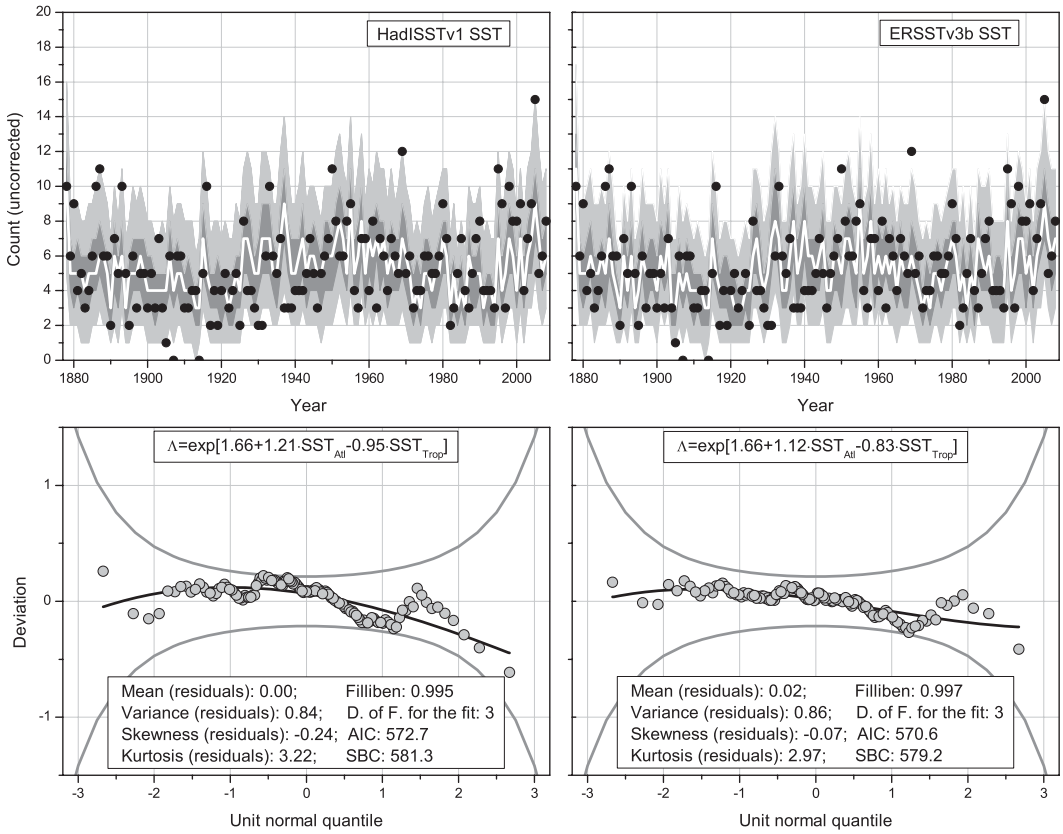

FIG. 8. Same as Fig. 7, but for the uncorrected HURDAT.

(2010), we also use SBC as the penalty criterion, expecting that these models would be more parsimonious in terms of both number of covariates and their relation to the rate of occurrence parameter (i.e., a smaller number of degrees of freedom used for the fit). We summarize the model results in Figs. 5 and 6 and Table 2. When modeling the U.S. landfalling hurricane counts and using HadISST data, we find that the same covariates we found for AIC are retained as important (NAO, SST_{Atl}, and SST_{Trop}). However, where the models based on AIC and SBC differ is in their relation to the rate of occurrence parameter. In this case, these three covariates are linearly related to $\ln(\Lambda)$, and 4 degrees of freedom are used for the fit. The results obtained using ERSSST suggest that SOI and tropical Atlantic and tropical mean SSTs are important predictors to describe the frequency of U.S. landfalling hurricanes. Once again, this is more parsimonious than the corresponding model based on AIC (4 versus 8 degrees of freedom used for the fit). These models are able to reproduce the behaviors exhibited by the data, and the fit diagnostics do not suggest any significant problem with these fits (Fig. 6; Table 2). Based on all these models, tropical Atlantic and tropical mean SSTs are always important predictors and their coefficients have opposite signs. These statements are valid independently of the input SST data and penalty criterion. The same is not true for NAO and SOI, because their inclusion in the final model depends on the selected penalty criterion and/or SST input data. These findings add supporting evidence to the key role of relative SST (tropical Atlantic minus tropical mean SSTs) in the frequency of U.S. landfalling hurricanes and tropical storms (see also Villarini et al. 2010).

The model for the uncorrected time series using SBC as the penalty criterion includes different covariates compared to what we found when using AIC. The only two covariates retained as important in the final model are SOI and tropical Atlantic SST, independently of the SST dataset. Both of them are linearly related to the rate of occurrence parameter via a logarithmic link function, resulting in only 3 degrees of freedom used for the fit. This is different from what we found using AIC as the penalty criterion, since tropical mean SST was always retained as an important predictor. The model based on ERSST has a smaller AIC and SBC value than the one based on HadISST (Table 2), suggesting that using ERSST results in a better agreement with the data than using HadISST. These models are able to capture the variability exhibited by

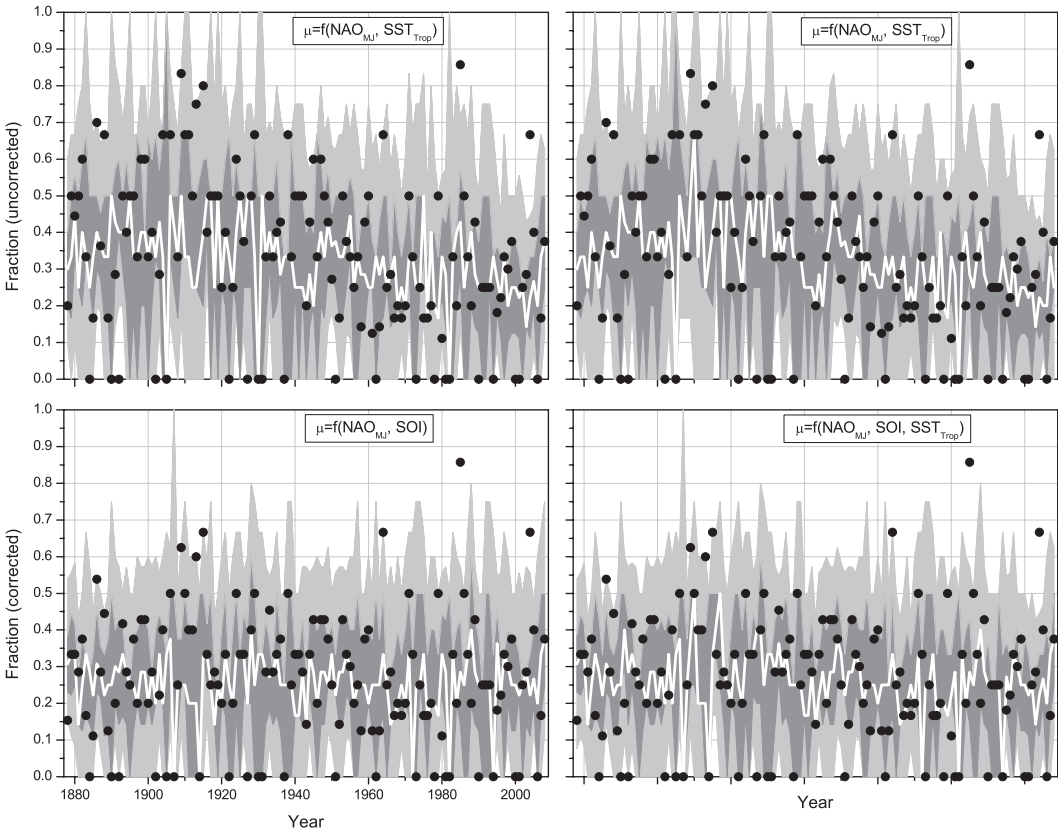

FIG. 9. Modeling the fraction of North Atlantic hurricanes making landfall in the United States based on the (top) uncorrected HURDAT and (bottom) HURDAT with the Landsea et al. (2010) correction using the climate indexes as predictors. Model selection is performed with respect to AIC. The results are obtained using the (left) HadISSTv1 SST data and (right) ERSSTv3b SST data. The white line represents the median (50th percentile), the dark gray region the area between the 25th and 75th percentiles, and the light gray region the area between the 5th and 95th percentiles.

the data, and the fit diagnostics do not indicate any problem with these models (Fig. 6; Table 2).

When modeling the corrected time series, we find that, independently of the SST input data, the only two predictors retained as important are tropical Atlantic and tropical mean SSTs. These covariates are linearly related to the logarithm of the rate of occurrence parameter. Despite being parsimonious (only 3 degrees of freedom are used for the fit), these models are able to reproduce well the variability exhibited by the data. An assessment of the model fit (Fig. 6; Table 2) does not indicate any significant problem with these models. The coefficients of these two covariates have opposite signs, with the absolute value of the coefficient of SST_{Trop} being slightly larger than the one for SST_{Atl}. The values of these coefficients are in agreement with what was found by Vecchi et al. (2011) (1.707 for the intercept, +1.388 for tropical Atlantic SST, and −1.521 for tropical mean SST), who built a Poisson regression model from 212 yr of model runs from the HiRAM-C180 model (Zhao et al. 2009, 2010). These results indicate that both tropical Atlantic and tropical mean SSTs are necessary to describe the temporal evolution of the North Atlantic hurricane counts. Moreover, a uniform increase in SST would result in a slight decrease in North Atlantic hurricane counts because the coefficient for SST_{Trop} is slightly larger in absolute value than the one for SST_{Atl}. The decrease in North Atlantic hurricane frequency implied by this statistical model is consistent with the sensitivity of the HiRAM-C180 dynamical model to a uniform SST increase (Held and Zhao 2011). These results are similar to those for observed North Atlantic tropical storm frequency (Villarini et al. 2010, 2011b).

All of these modeling results provide information about the sensitivity of the model selection to the selected penalty criterion and SST input data. Villarini et al. (2010) came to the similar conclusions when modeling the U.S. landfalling and North Atlantic tropical storm count time series. Among the different models, they also suggested using a parsimonious model in which the

logarithm of the rate of occurrence depends linearly on tropical Atlantic and tropical mean SSTs. This simple model was then used by Villarini et al. (2011b) to examine possible changes in U.S. landfalling and North Atlantic tropical storm frequency under different climate change scenarios and using several climate models. In this study, this parsimonious model was selected as the final model for the corrected hurricane count time series when penalizing with respect to SBC. For the sake of completeness, we include the results obtained by modeling the U.S. landfalling (Fig. 7) and uncorrected (Fig. 8) hurricane count time series with a Poisson regression model in which the logarithm of the rate of occurrence parameter is a linear function of both tropical Atlantic and tropical mean SSTs. The models for the U.S. landfalling hurricanes are able to reproduce the variability exhibited by the data, with no significant issues highlighted by the fit diagnostics. The values of the AIC are larger than what we found for the previous models, while the SBC values are close to those obtained by penalizing with respect to SBC and smaller than those obtained by penalizing with respect to AIC. When dealing with the uncorrected data, a model based on only tropical Atlantic and tropical mean SSTs is able to describe the variability exhibited by the data reasonably well (Fig. 8). The results concerning the quality of the fit do not point to any significant problem with these models. The values of AIC and SBC for these models are consistently larger than those obtained by the stepwise approach.

TABLE 3. Summary statistics for the binomial regression modeling of the fraction of hurricanes making landfall using climate indexes as covariates. The first value is the point estimate, while the one in parentheses is the standard error. In each cell, the values in the first (second) row refer to the model using the HadISSTv1 (ERSSTv3b).

	Uncorrected (AIC)	Uncorrected (SBC)	Corrected (AIC)
Intercept	−0.76 (0.09)	−0.76 (0.09)	−1.02 (0.09)
	−0.75 (0.09)	−0.67 (0.08)	−1.03 (0.09)
NAO_{MJ}	−0.19 (0.08)	−0.19 (0.08)	−0.16 (0.08)
	−0.17 (0.08)	—	−0.17 (0.08)
SOI	—	—	0.10 (0.05)
	—	—	0.08 (0.05)
SST_{Trop}	−1.39 (0.39)	−1.39 (0.39)	—
	−1.21 (0.32)	−1.18 (0.32)	−0.47 (0.32)
D. of F. for the fit	3	3	3
	3	2	4
Mean (residuals)	−0.09	−0.09	0.01
	0.06	0.04	−0.00
Variance (residuals)	1.03	1.03	0.93
	0.97	0.98	0.96
Skewness (residuals)	−0.13	−0.13	−0.02
	0.02	−0.07	0.00
Kurtosis (residuals)	3.27	3.27	2.92
	2.82	2.76	3.63
Filliben (residuals)	0.996	0.996	0.997
	0.997	0.996	0.991
AIC	376.4	376.4	381.3
	374.6	376.9	381.0
SBC	385.1	385.1	389.9
	383.2	382.7	392.6

Similar to what was found in Villarini et al. (2010), there is not a unique best model, but different final models are obtained depending on the penalty criterion and the SST input data. In general, we would suggest describing as linear the relation between covariates and the logarithm of the rate of occurrence parameter in agreement with the parsimony principle and because at this point there are no clear physical or statistical reasons indicating that this functional dependence should be of a more complicated form. When modeling the U.S. landfalling hurricane counts, the only covariates that are always included as important for any model configuration are tropical Atlantic and tropical mean SSTs. We, therefore, suggest using this parsimonious model. However, NAO_{MJ} is often included in the final models and it would be reasonable to include it as well in a slightly less parsimonious model.

It is harder to come up with recommendations for the best model for the uncorrected dataset. In this case, only SOI and tropical Atlantic SST are always included in the final models, while tropical SST is an important predictor only when performing model selection using AIC as the penalty criterion. We would have expected SST_{Trop} to be included as well, based on other studies on the sensitivity of tropical storms and hurricanes in dynamical models (e.g., Knutson et al. 2008; Zhao et al. 2009, 2010; Villarini et al. 2010; Vecchi et al. 2011; Ramsay and Sobel 2011; Tippett et al. 2011; Held and Zhao 2011; Villarini et al. 2011b). Rather than a real "climate" feature, these results are likely due to the large impact of hurricane undercounts. For this reason, we recommend not using the original (uncorrected) HURDAT without accounting for the undercount correction.

The results from the modeling of the corrected dataset are more consistent with our current understanding of the physical processes at play in the genesis and development of North Atlantic hurricanes. Tropical Atlantic and tropical mean SSTs are always retained as important predictors, independently of the penalty criterion and SST input dataset. When penalizing with respect to AIC, NAO is also included. However, when using SBC as the penalty criterion, only the two SST predictors are retained (when using both HadISST and ERSST data). To describe the frequency of North Atlantic hurricanes, we therefore recommend a parsimonious model in which the logarithm of the rate of occurrence parameter is a linear function of both SST_{Atl} and SST_{Trop}.

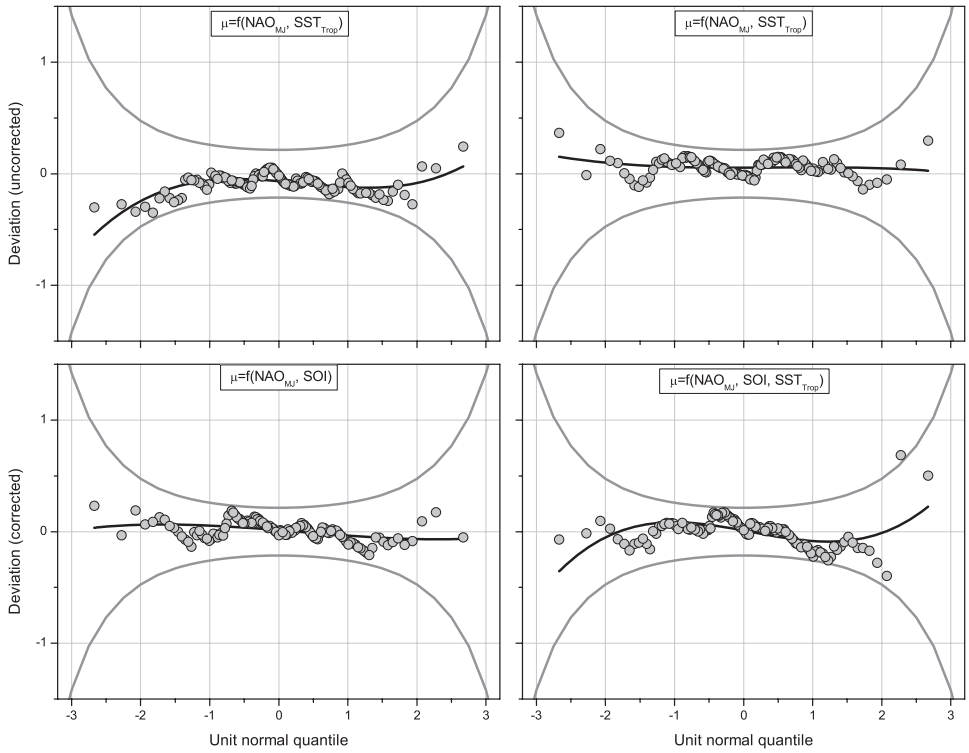

FIG. 10. Worm plots of the four models in Fig. 9.

b. *Binomial regression model*

We model the fraction of hurricanes making landfall in the United States using a binomial regression model. We consider both uncorrected and corrected time series, five covariates, two SST datasets, and two penalty criteria. In Fig. 9 we show the results obtained when using AIC as the penalty criterion for model selection. We summarize the values of the parameters of these models in Table 3. When we consider the fractions based on the uncorrected dataset, NAO_{MJ} and SST_{Trop} are selected as important predictors independently of the SST input data, and the parameter μ is a linear function of these two covariates via a logit link function. These parsimonious models (3 degrees of freedom used for the fit) are able to describe the complex behavior exhibited by the data, as also supported by the residuals' diagnostics (Table 3; Fig. 10).

In particular, up to the 1940s there is a tendency toward higher ratios compared to the more recent period. This behavior could be explained by considering the likely undercount of hurricanes in the presatellite era. Based on the covariates retained as important predictors during the model selection, we observe that both local (NAO) and remote (tropical mean SST) effects are important in describing these fractions. We would have expected NAO to be a significant covariate because of its possible link to storm steering (e.g., Elsner et al. 2000b, 2001). The sign of the coefficients for NAO is always negative, indicating that a small value of this index would correspond to a more negative NAO phase, with the Bermuda high moving more toward the eastern Atlantic, and a larger fraction of storms making U.S. landfall (keeping everything else constant). We obtain slightly different results if we use SBC as the penalty criterion, depending on the SST input data. Using HadISST data, the final model is the same as the one obtained penalizing with respect to AIC (the μ parameter depends on NAO_{MJ} and SST_{Trop}). On the other hand, SST_{Trop} is the only predictor included in the final model when we use the ERSST data (Fig. 11).

When we consider the fractions based on the corrected dataset and penalize with respect to AIC, we see some similarities but also some differences with the results obtained using the uncorrected dataset. The parameter μ depends on NAO_{MJ} independently of the SST data. On the other hand, SOI is an important covariate as well. The fact that this climate index is an important predictor in describing the probability of U.S. landfalling hurricanes was also discussed in Bove et al. (1998). Tropical mean SST is included in the final model only when using the ERSST data. Using the corrected record, we no longer have a more marked increased in the fraction of landfalling hurricanes in the earlier part of the record

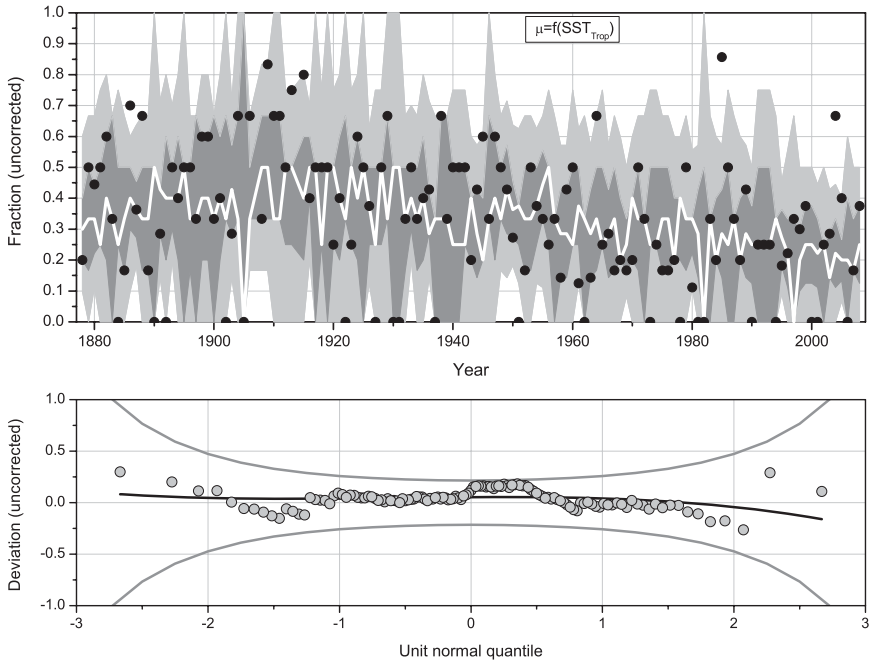

FIG. 11. (top) Modeling the fraction of North Atlantic hurricanes making landfall in the United States based on the uncorrected HURDAT using tropical mean SST from the ERSSTv3b SST data as a predictor. Model selection is performed with respect to SBC and the (bottom) worm plot is included. The white line represents the median (50th percentile), the dark gray region the area between the 25th and 75th percentiles, and the light gray region the area between the 5th and 95th percentiles.

because of the undercount correction. There is still year-to-year variability, but the multidecadal variability exhibited by the hurricane frequency (Fig. 1) is no longer clearly visible (see also Coughlin et al. 2009). The diagnostics used to assess the goodness of fit of these models do not point to any significant problem (Table 3; Fig. 10). When penalizing with respect to SBC, no covariate was retained in the final model.

These results suggest that there are important remote influences (SOI and/or tropical mean SST) in explaining the fraction of hurricanes making U.S. landfall. As far as local influences are concerned, NAO is an important predictor, while tropical Atlantic SST is not, possibly because it affects the genesis and development rather than the hurricane tracking.

5. Conclusions

We have performed statistical modeling of the North Atlantic and U.S. landfalling hurricane counts and the fraction of hurricanes making landfall into the United States over the period 1878–2008. The main findings of our study can be summarized as follows:

1) We considered two different hurricane datasets [original HURDAT and accounting for likely undercount with the correction described in Vecchi and Knutson (2011)], five different covariates (NAO averaged over the period May–June and August–October, SOI, tropical Atlantic SST, and tropical mean SST), and two different SST datasets (HadISSTv1 and ERSSTv3b). The selection of important covariates was performed by following a stepwise approach and using AIC and SBC as penalty criteria. Modeling of the count data is performed by means of a Poisson regression model, and modeling of the fraction of storms making landfall in the United States by means of the binomial regression model.
2) Depending on the penalty criterion and SST input data, we obtained different final models. These results indicate that there is not a unique ''best'' model from a statistical standpoint, and a Bayesian model averaging procedure could be a solution to overcome this issue (Jagger and Elsner 2010). The results of the statistical modeling effort should help in assessing what the important predictors are. The statistical analyses, however, should be complemented by physical reasoning.
3) When modeling U.S. landfalling and North Atlantic hurricane counts with the undercount correction by Vecchi and Knutson (2011), tropical Atlantic and tropical mean SSTs are always retained as important predictors in the final models, independently of the

penalty criterion and SST data. The coefficients of these two predictors tend to have a similar magnitude but opposite sign. Their values are very similar to those in Vecchi et al. (2011), who estimated them from 212 yr of model runs from the HiRAM-C180 model across a broad range of climates, and the decrease in North Atlantic hurricane frequency implied by the statistical model is consistent with the response of the HiRAM-C180 model to a uniform SST increase (Held and Zhao 2011). That is, the sensitivity of that dynamical model to SST forcing is consistent with the observed relationships between SST and Atlantic hurricane frequency. These results provide supporting evidence to the importance of relative rather than absolute Atlantic SST in describing the frequency of U.S. landfalling and North Atlantic tropical storms and hurricanes.

4) We used a binomial regression model to describe the fraction of North Atlantic tropical storms making landfall in the United States in terms of climate indexes. We found that the observations are influenced by both local and remote effects. In particular, the local effects are related to NAO, while remote effects are associated with tropical mean SST and/or SOI.
5) Previous studies investigated landfalling hurricanes by dividing the United States into subregions (e.g., Gulf of Mexico, East Coast, Florida Panhandle; e.g., Dailey et al. 2009; Brettschneider 2008; Smith et al. 2007; Nakamura et al. 2009; Kossin et al. 2010). Future studies examining the fractions of hurricanes making landfall in specific U.S. subareas could help to highlight features that may have been disguised when focusing on the entire North Atlantic basin and U.S. coastline.

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APPENDIX

Impact of Collinearity

To describe the relation between North Atlantic and U.S. landfalling hurricane frequencies and climate indexes we have used NAO, SOI, SST_{Atl}, and SST_{Trop} as predictors. Model selection was performed by means of a stepwise approach using AIC and SBC as penalty criteria. We have found that both tropical Atlantic and tropical mean SSTs are always retained as important predictors for U.S. landfalling and corrected data (for the uncorrected dataset, tropical mean SST is not included when penalizing with respect to SBC). This statement is valid independently of the selected penalty criterion and SST input data. One element that requires further discussion is the fact that tropical Atlantic and tropical mean SSTs are positively correlated (the value of the correlation coefficient between these two covariates is equal to 0.73 for HadISSTv1 and 0.78 for ERSSTv3b data), possibly affecting the outcome of our modeling efforts. Even though these values of correlation may seem large, they are smaller than what was found in other studies in which model selection was performed with respect to these penalty criteria (e.g., Burnham and Anderson 2004; Stasinopoulos and Rigby 2007). On this matter, Burnham and Anderson (2002) suggest not dropping a predictor unless the correlation coefficient is extremely high (near-collinearity problem). They indicate $|0.95|$ as a cutoff value for dropping a covariate. Nonetheless, to show that relative SST (tropical Atlantic SST minus tropical mean SST; SST_{rel}) is a key factor in explaining the frequency of North Atlantic and U.S. landfalling hurricanes, we use the variance inflation factor (VIF), a diagnostic tool routinely used to assess the impact of collinearity.

The VIF allows for quantifying the ''inflation'' of the sampling variance of an estimated coefficient due to collinearity. We compute the VIF using the vif function in the Design package (Harrell 2009) in R (R Development Core Team 2008), in which the methodology presented in Davis et al. (1986) is implemented (consult also Wax 1992). A VIF value of 1 indicates that the predictors are uncorrelated, while larger values reflect increasing degrees of correlation among covariates.

To evaluate whether collinearity could have an unacceptably high impact on the modeling results, different rules of thumb have been proposed, and a VIF cutoff value of 10 is generally adopted (e.g., O'Brien 2007). Davis et al. (1986) refer to a VIF value larger than 10 as ''indicating a modest amount of dependency among the variables.'' In this study, we set a VIF value of 10 to decide whether collinearity represents a substantial problem.

Let us start with U.S. landfalling hurricanes. If we use all five predictors and the HadISST data, the largest value of VIF we obtain is 2.81. This value slightly increases when we use the ERSST data (VIF = 2.87), reflecting the larger correlation between tropical Atlantic and tropical mean SSTs for this dataset. For the final models obtained

using AIC and SBC as penalty criteria and both of the SST data, the results are similar, with the largest value of VIF being smaller than 3. When dealing with the uncorrected and corrected records, we come to the same conclusion, independently of the model configuration and SST input data (the largest VIF values for the uncorrected and corrected records are smaller than 3). Based on these results (VIF much smaller than 10), we can conclude that the dependence among predictors does not have a significant effect on the outcome of this study (see also discussion in Villarini et al. 2011a).

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