# DEEP THERMAL INFRARED IMAGING OF HR 8799 bcde: NEW ATMOSPHERIC CONSTRAINTS AND LIMITS ON A FIFTH PLANET

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#### **ABSTRACT**

We present new L' (3.8  $\mu$ m) and Br $\alpha$  (4.05  $\mu$ m) data and reprocessed archival L' data for the young, planet-hosting star HR 8799 obtained with Keck/NIRC2, VLT/NaCo, and Subaru/IRCS. We detect all four HR 8799 planets in each data set at a moderate to high signal-to-noise ratio (S/N  $\gtrsim$  6–15). We fail to identify a fifth planet, "HR 8799 f," at r < 15 AU at a  $5\sigma$  confidence level: one suggestive, marginally significant residual at 0."2 is most likely a point-spread function artifact. Assuming companion ages of 30 Myr and the Baraffe planet cooling models, we rule out an HR 8799 f with a mass of 5  $M_J$  (7  $M_J$ ), 7  $M_J$  (10  $M_J$ ), or 12  $M_J$  (13  $M_J$ ) at  $r_{\text{proj}} \sim$  12 AU, 9 AU, and 5 AU, respectively. All four HR 8799 planets have red early T dwarf-like L' = [4.05] colors, suggesting that their spectral energy distributions peak in between the L' and M' broadband filters. We find no statistically significant difference in HR 8799 cde's color. Atmosphere models assuming thick, patchy clouds appear to better match HR 8799 bcde's photometry than models assuming a uniform cloud layer. While non-equilibrium carbon chemistry is required to explain HR 8799 b and c's photometry/spectra, evidence for it from HR 8799 d and e's photometry is weaker. Future, deep-IR spectroscopy/spectrophotometry with the Gemini Planet Imager, SCExAO/CHARIS, and other facilities may clarify whether the planets are chemically similar or heterogeneous.

Key words: planetary systems – planets and satellites: atmospheres – planets and satellites: composition – stars: early-type – stars: individual (HR 8799) – techniques: high angular resolution – techniques: image processing

Online-only material: color figures

## 1. INTRODUCTION

The four directly imaged planets around the young A-type star HR 8799 (HR 8799 bcde; Marois et al. 2008b, 2010b) provide a crucial reference point for understanding the physical properties of gas giants soon after their formation. HR 8799 bcde have best-estimated masses of  $\sim 5$ –7  $M_J$  (Marois et al. 2010b; Currie et al. 2011a; Sudol & Haghighipour 2012) and are located at projected separations of  $r_{\rm proj} \approx 15$ –70 AU between a warm, inner dust belt ( $r_{\rm inner} \approx 6$ –12 AU) and an outer, Kuiper-belt-like structure at  $r_{\rm outer} \approx 90$  AU (Su et al. 2009). Due to HR 8799's higher luminosity, the planets receive about as much energy as the gas/ice giant planets in our solar system receive from the Sun. Thus, the HR 8799 planetary system may resemble a young, scaled-up version of our own solar system (Marois et al. 2010b).

HR 8799 bcde highlight key, ubiquitous features of young gas giants several times the mass of Jupiter. Compared to the locus of field brown dwarfs, the planets appear "red" or "under luminous" at the shortest infrared wavelengths (i.e.,  $1-1.6~\mu m$ ; Marois et al. 2008b; Currie et al. 2011a). This trend is due to the planets being cloudier/dustier than field substellar objects at the same effective temperatures (i.e.,  $T_{\rm eff} \sim 800-1200~{\rm K}$ ; Currie

et al. 2011a). The clouds may not be uniformly distributed but instead are "patchy" (Currie et al. 2011a) with 10%–50% of the visible surface covered by thinner clouds (see also Skemer et al. 2012, 2014b). At longer wavelengths, some planets show evidence for non-equilibrium carbon chemistry, exhibiting weak to negligible methane absorption at 3.3  $\mu$ m and enhanced CO absorption at 5  $\mu$ m (Hinz et al. 2010; Galicher et al. 2011; Skemer et al. 2012). Near-infrared spectra for HR 8799 b and c reveal molecular species in the planets' atmospheres and additional evidence for non-equilibrium carbon chemistry (Bowler et al. 2010; Oppenheimer et al. 2013; Konopacky et al. 2013).

Both thick clouds and non-equilibrium carbon chemistry likely have the same physical origin: the planets' low surface gravities. Such low gravities ( $\log(g) \sim 4$  instead of  $\sim 5$ ; Currie et al. 2011a; Madhusudhan et al. 2011; Konopacky et al. 2013) yield temperature-pressure profiles more characteristic of hotter, cloudier dwarfs and enhance vertical mixing in the planets' atmospheres (e.g., Madhusudhan et al. 2011; Marley et al. 2012). Other young, directly imaged planets/planet-mass companions (e.g., HD 95086 b,  $\beta$  Pic b, ROXs 42Bb, and 2M 1207 B) also show evidence for these trends (Galicher et al. 2014; Currie et al. 2013a, 2014b; Barman et al. 2011; Skemer et al. 2011).

However, HR 8799 bcde may yet differ in important ways. HR 8799 b has a lower luminosity and, by inference, a lower mass than the inner three planets. Best-fit atmosphere models adopting physically realistic radii (i.e.,  $1-1.4\ R_J$ ) and yielding estimated masses consistent with dynamical stability limits ( $M_{\rm bcde} \leqslant 7\ M_J$ ) imply that HR 8799 b's photosphere is  $\sim 100-200\ {\rm K}$  cooler than HR 8799 c, d, and e (Currie et al. 2011a; Madhusudhan et al. 2011).

Recent spectroscopy of multiple HR 8799 planets from Project 1640 imply that HR 8799 cde may have different chemistries (Oppenheimer et al. 2013). They fail to identify methane in HR 8799 c but tentatively identify it in HR 8799 de's spectra. Conversely, they argue that HR 8799 c's spectrum shows evidence for ammonia, whereas de lack ammonia features. In contrast, Skemer et al. (2012) find that HR 8799 cde are of roughly the same brightness in the 3.3  $\mu$ m filter probing the presence/absence of methane. New, high signal-to-noise ratio (S/N) data at wavelengths diagnostic of HR 8799's atmospheric chemistry are needed to further clarify if/how HR 8799 bcde's atmospheres differ.

New data may also help clarify whether our inventory of super-jovian mass planets orbiting HR 8799 is complete. Dynamical simulations by Gozdziewski and Migaszewski (2014) suggest that an additional, fifth planet ("HR 8799 f") could be located at smaller separations. While HR 8799 e is located close to the inner warm belt, the exact outer location of the belt is uncertain and the 2:1 resonance position with HR 8799 e may place a fifth planet slightly exterior to it. Additionally, the truncation of the warm belt at  $\approx$ 6–10 AU likely requires planet-mass bodies at slightly smaller separations. Current LBTI H-band detection limits preclude the existence of another 7  $M_J$  companion ("HR 8799 f") exterior to  $r_{\rm proj} \sim 0'.24$  (9.5 AU) but rapidly lose sensitivity at smaller separations (Skemer et al. 2012). Sparse aperture masking observations (SAM) are, so far, only able to rule out companions more massive than  $11-12 M_J$  at r < 10 AU (Hinkley et al. 2011).

Detecting HR 8799 e with conventional AO systems like those available on Keck and Subaru, not "extreme" AO systems like the LBT's, requires employing advanced point-spread function (PSF) subtraction methods (e.g., Lafrenière et al. 2007b; Marois et al. 2010b, 2014; Currie et al. 2011a, 2012b); reaching contrast limits characteristic of massive planets (e.g.,  $5-13\,M_J$ ) at smaller separations is even more challenging. However, the thermal infrared at  $3.5-4\,\mu\mathrm{m}$  may present the most favorable wavelength range to search for inner planets, as the achievable Strehl ratios are higher and the planet-to-star contrasts more favorable. Even compared to some extreme AO-assisted observations, L' on a conventional system coupled with advanced PSF subtraction methods may sometimes yield deeper detection limits (e.g., for HD 95086 Rameau et al. 2013; Galicher et al. 2014).

In this paper, we present new/archival, deep L' and [4.05] imaging observations of the HR 8799 system obtained with the Keck, VLT, and Subaru telescopes. Using advanced image processing methods, we detect all four planets in each data set. We use these data to search for a fifth planet located interior to HR 8799 e, compare the planets' atmospheric properties to those of other substellar objects, and investigate whether/how HR 8799 bcde's photometry show differences that may be due to variations in the planets' clouds or atmospheric chemistry.

#### 2. DATA

Table 1 summarizes our observations which we describe in detail below.

Table 1
Observing Log

UT Date	Telescope/ Instrument	Pixel Scale (mas pixel <sup>-1</sup> )	Filter	t <sub>int</sub> (s)	$N_{\rm images}$	ΔPA (deg)
New Data						
2012 Jul 29	Subaru/IRCS	20.43	L'	30	97	173.4
2012 Aug 25	VLT/NaCo	27.1	L'	40	188	61.5
2012 Aug 26	VLT/NaCo	27.1	[4.05]	40	238	56.6
2012 Oct 30	Keck/NIRC2	9.952	L'	21	171	171.1
Archival Data						
2010 Jul 21	Keck/NIRC2	9.952	L'	25	63	155.2

Note. The archival 2010 Keck data were published in Marois et al. (2010b).

# $2.1.\ 2012\ Subaru/IRCS\ Data\ (L')$

We targeted HR 8799 in L' on 2012 July 26 with the IRCS camera (Tokunaga et al. 1998) using the narrow (20.43 mas pixel<sup>-1</sup>) camera with angular differential imaging/ pupil tracking mode (Marois et al. 2006) and without a focal plane mask (Subaru Program S12A-051). We modified the IRCS software to record out 0.15 s short exposures and combine frames into data cubes every 200 frames for a cumulative integration time of 30 s. We "stared" at the star at a fixed position for four 10-20 minute sequences bracketed by a series of 5-10 dithered sky frames, sacrificing raw background-limited sensitivity for better PSF stability. In total, we recorded just over 48 minutes of data obtained with excellent field rotation (173°) in photometric conditions with average to above-average seeing. As the stellar PSF core was saturated in each science image, we obtained photometric calibration data before and after our sequence.

# 2.2. 2012 VLT/NaCo Data (L', and [4.05])

We observed HR 8799 in L'/[4.05] on 2014 August 25–26 with VLT/NaCo (Rousset et al. 2003; Lenzen et al. 2003) in angular differential imaging mode without a focal plane mask using the L27 (0″.027) camera (ESO Program 089.C-0528). For each data set, the exposures consist of 100–200 very short (0.1–0.5 s) co-added frames recorded in data cubes and taken in a four point dither pattern. Our observations cover 2.5–3 hr of integration time with a field rotation of  $56^{\circ}$ – $73^{\circ}$ .

The  $L^\prime$  data were taken under light cirrus turning to photometric conditions but with a turbulent atmosphere. The [4.05] data suffered periodic extinction from cirrus near the end of the sequence but benefited from a more stable atmosphere. For the  $L^\prime$  observations, we achieved flux calibration by imaging HR 8799 through a neutral density filter. HR 8799's PSF core was unsaturated in the [4.05] data, and so we obtained no additional calibration images.

## 2.3. 2012 Keck/NIRC2 Data (L')

We imaged HR 8799 on 2012 October 30 with the narrow camera (9.952 mas pixel<sup>-1</sup>; Yelda et al. 2010) using a "large hex" pupil plane mask but without a focal plane mask and in "vertical angle" or *angular differential imaging* mode (Program N087N2). We followed our IRCS observing strategy, dithering the telescope to obtain sky frames three times (once at transit, twice 0.5 hr from transit), but otherwise staring on target. Our science frames consisted of 172 21 s exposures ( $t_{\text{int}} = 0.3 \text{ s}$ , 70 coadds) for a total integration time of 3612 s, covering a field rotation of 171. Conditions were photometric throughout

the night with average to above-average seeing. As the PSF core was saturated in each science image, we obtained shorter, unsaturated images of HR 8799 before and after our science sequence.

# 2.4. Archival, 2010 Keck/NIRC2 Data (L')

Finally, we downloaded public Keck/NIRC2 L' data for HR 8799 taken on 2010 July 21, which were reported in the discovery paper for HR 8799 e (Marois et al. 2010b, shown in their Figure 1(a)). The star was positioned behind the 0'.4 diameter focal plane mask for a series of coadded exposures of 25 s each bracketed by dithered sky frames. We use  $\sim$ 26 minutes worth of science frames covering a field rotation of  $\sim$ 155°. Short, unocculted images of HR 8799 provided flux calibration. Because the (occulted) HR 8799 PSF core is unsaturated and visible through the coronagraphic mask, we normalized the throughput of all science frames to those obtained closest in time to the unsaturated images. As the rms of the initial star positions behind the mask was low ( $\Delta x$ ,  $y \sim$  0.3,0.5 pixels), our flux renormalization is safe against throughput variations between the mask center and edge.

## 3. DATA REDUCTION

#### 3.1. Basic Processing

For subsequent basic processing, we followed the steps outlined in Currie et al. (2010, 2011a, 2011b, 2014b), skysubtracting a given frame using the nearest (in time) available sky frames and correcting each image for bad pixels. For the NIRC2 data, we applied the non-linearity correction from Metchev and Hillenbrand (2009) prior to these steps; after sky subtraction, we applied distortion solutions to the NIRC2 and IRCS data. For the data obtained in cube mode (all NaCo data, IRCS L'), we improved PSF stability by realigning each coadd within the cube, removing frames with core-to-halo brightness ratios R lower than  $max(R) < 3\sigma_R$ , and collapsing the cube using a robust mean with a  $3\sigma$  outlier rejection. We registered each image to a common center using either the unsaturated PSF ([4.05], IRCS L') or the unsaturated PSF wings/diffraction spider (NIRC2/NaCo L'). Finally, we measured the coreto-halo-brightness ratio across our image sequence to assess the PSF/AO correction quality and removed poorly corrected frames.

# 3.2. Speckle Suppression/PSF Subtraction

#### 3.2.1. A-LOCI: Basic Formalism and Structure

To extract planet detections, we primarily used the adaptive, locally optimized combination of images (A-LOCI) approach described in Currie et al. (2012a, 2012b, 2013a), which builds on the LOCI least-squares PSF subtraction algorithm pioneered by Lafrenière et al. (2007b). (A-)LOCI subtracts speckles from a target image T over a subtraction zone  $S^T$  by finding a set of coefficients  $\sum_{k=0}^{n(K)} x^k$  for n(K) reference images that minimize residuals over a larger optimization region O composed of I pixels. Here,  $\mathbf{x} = \mathbf{A}^{-1}\mathbf{b}$ , where  $\mathbf{A}$  is a square matrix with elements  $A_{j,k} = \sum_i O_i^j O_i^k$  and  $\mathbf{b}$  is a column matrix with elements  $b_j = \sum_i O_i^j O_i^T$ . Previous A-LOCI components used here include the option of "subtraction zone centering" (Currie et al. 2012b), using a moving pixel mask to remove pixels within the subtraction zone from the optimization zone and increase point source throughput (Currie et al. 2012a), and singular value

decomposition/principle component analysis (SVD/PCA) to rewrite and then invert **A** (Currie et al. 2013a; see also Marois et al. 2010a).

### 3.2.2. New Modifications to A-LOCI

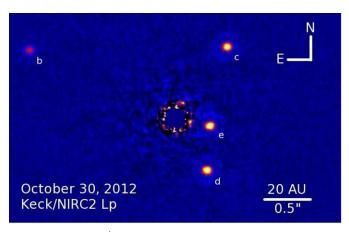
In addition to our SVD cutoff, we employ "speckle filtering"/frame selection to truncate our set of reference images by their degree of correlation with the target image. In previous versions, we performed this by computing the cross-correlation between image pixels across our reference image set within an annular area, defining our cutoff numerically as a  $corr_{lim}$  less than one. Here, we modify this approach by performing speckle filtering "on the fly" for pixels within each optimization region O, sorting the reference images by their degree of correlation with the target, and then truncating the set by  $n_{ref,t}$  elements.

Finally, after securing an initial detection with the above steps, we model and remove the planet signal contribution to the reference PSF following methods outlined in the SOSIE pipeline from Marois et al. (2010a). The planet PSF affects the determination of the LOCI coefficients in each reference PSF (e.g., Marois et al. 2010a; Brandt et al. 2013). Therefore, we perturb the reference image library by a noiseless planet PSF at the positions of the planets constructed from unsaturated stellar images:  $O^{R'} = O^R - \delta \times cPSF_{planet}$ , where  $\delta = 1$  at the planet location but zero otherwise. The coefficient c is the scaling applied to the empirical PSF needed to null the planets' signal in each reference image containing the planet (e.g., the planets' true brightnesses modulo rotational smearing over the course of an exposure). The covariance matrix **A** and column matrix **b** then transform to A' and b', respectively. Thus, the coefficients  $x^k$  are determined from a set of planet-free reference images. The resulting combined, processed image lacks the negative PSF footprints that flank planet positions in LOCI reductions (Marois et al. 2010a). By construction, the planets' throughputs after applying this step are 100%.

## 3.2.3. Additional Tests and Alternate Reduction Methods

We also explored alternate methods to solve the system of linear equations  $\mathbf{A}\mathbf{x} = \mathbf{b}$  and determine the coefficients  $x^k$ , including Cholesky decomposition, LU factorization, and Gauss–Seidel iteration. Cholesky decomposition yielded small (~5%) gains in S/N compared to a simple, double-precision matrix inversion. However, at least for our data sets, the flexibility enabled by the inversion of a truncated correlation matrix using SVD/PCA yielded comparable to slightly better performance. We will further explore these methods and matrix conditioning in a future paper.

As a point of reference, we also reduced our data using LOCI (Lafrenière et al. 2007b) with a weak SVD cutoff  $(10^{-6})$  as in Currie et al. (2013b) and the classical PSF subtraction method utilized by Marois et al. (2006, "sADI"). We did not further consider a separate, advanced approach using the Karhunen-Loéve Image Projection (KLIP) algorithm (Soummer et al. 2012). Our previous experience suggests that LOCI and KLIP achieve comparable performance under most circumstances, while A-LOCI usually yields deeper contrasts for point sources (see notes in Currie et al. 2013a) and KLIP may be preferable for extended source emission (e.g., disks). Although the two methods are often treated as separate, they are mathematically very similar: truncating the covariance matrix A by SVD in (A-)LOCI and performing eigenvector decomposition in KLIP are equivalent (Marois et al. 2014). An upcoming paper will explore these issues in detail as well.



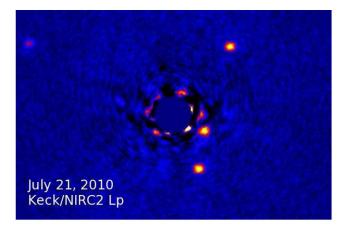


Figure 1. Keck/NIRC2 L' images of HR 8799 from 2012 data (left) and archival 2010 data (right) reduced using A-LOCI. HR 8799 bcde are all easily identifiable.

(A color version of this figure is available in the online journal.)

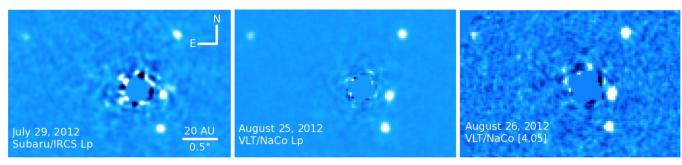


Figure 2. Subaru/IRCS (left), VLT/NaCo L' (middle), and [4.05] (right) detections of HR 8799 bcde. The image scales are the same in each figure panel. (A color version of this figure is available in the online journal.)

## 3.3. Detections

Figures 1 and 2 display our reduced Keck/NIRC2 and Subaru/IRCS images, and VLT/NaCo images. All four planets—HR 8799 bcde —are plainly visible in each data set. The planets are particularly well separated from residual speckle noise in the 2012 NIRC2  $L^\prime$  data, where the image is clean of bright residuals exterior to 0″.15–0″.2.

To compute the S/N for HR 8799 bcde, we convolve the image with a Gaussian with an aperture of one FWHM, and compare the (convolved) flux at each planet's position to the dispersion in convolved signal at the same separation but excluding the planet (e.g., Thalmann et al. 2009; Currie et al. 2013a). To be conservative, we estimate the S/N in the A-LOCI steps yielding an initial detection, *without* nulling the planets' signal in the reference PSF library.

Our reductions yield S/N  $\sim$ 6–15 for HR 8799 e and higher S/N for the other planets (Table 2, middle column). While our NaCo and IRCS L' detection significances for the innermost planet HR 8799 e are comparable to previous published detections, those for NIRC2 are significantly higher and comparable to that achieved with the (higher Strehl) LBTAO/Pisces H-band data presented in Skemer et al. (2012; S/N  $\sim$ 10; A. Skemer 2013, private communication).

For the [4.05] data, the narrow filter bandpass and high sky background limit our detections. For all other data sets, HR 8799 b's detection is limited by photon noise, whereas HR 8799 c, d, and especially e's detections are limited by our ability to suppress quasi-static speckles. Our inner working angle for all data sets is  $\sim$ 0′.13–0′.15, although only the 2012 NIRC2 data are sensitive to planet-mass companions interior to 0′.25.

**Table 2**Detections and Photometry

Planet Filter		Telescope/Instrument	S/N	$\Delta m$	Abs. Mag	
HR 8799 b	L'	Subaru/IRCS	8.7	$10.43 \pm 0.16$	$12.64 \pm 0.16$	
		Keck/NIRC2-2012	43	$10.26\pm0.10$	$12.50 \pm 0.10$	
		Keck/NIRC2-2010	22	$10.36\pm0.10$	$12.60 \pm 0.10$	
		VLT/NaCo	31	$10.30 \pm 0.11$	$12.54 \pm 0.11$	
	[4.05]	VLT/NaCo	7	$9.60 \pm 0.18$	$11.84 \pm 0.18$	
HR 8799 c	L'	Subaru/IRCS	12	$9.47 \pm 0.14$	$11.71 \pm 0.10$	
		Keck/NIRC2-2012	36	$9.44 \pm 0.08$	$11.68 \pm 0.08$	
		Keck/NIRC2-2010	34	$9.50 \pm 0.08$	$11.74 \pm 0.08$	
		VLT/NaCo	37	$9.43 \pm 0.11$	$11.67 \pm 0.11$	
	[4.05]	VLT/NaCo	13	$8.75 \pm 0.11$	$10.99 \pm 0.08$	
HR 8799 d	L'	Subaru/IRCS	10	$9.36 \pm 0.14$	$11.54 \pm 0.12$	
		Keck/NIRC2-2012	26	$9.29 \pm 0.09$	$11.53 \pm 0.09$	
		Keck/NIRC2-2010	19	$9.34 \pm 0.09$	$11.58 \pm 0.09$	
		VLT/NaCo	14	$9.33 \pm 0.14$	$11.57 \pm 0.14$	
	[4.05]	VLT/NaCo	8.7	$8.65\pm0.15$	$10.89 \pm 0.13$	
HR 8799 e	L'	Subaru/IRCS	5.5	$9.23 \pm 0.24$	$11.47 \pm 0.25$	
		Keck/NIRC2-2012	15	$9.27 \pm 0.15$	$11.51 \pm 0.15$	
		Keck/NIRC2-2010	10	$9.33 \pm 0.12$	$11.57 \pm 0.12$	
		VLT/NaCo	8.1	$9.27 \pm 0.21$	$11.51 \pm 0.21$	
	[4.05]	VLT/NaCo	6.3	$8.50 \pm 0.20$	$10.74 \pm 0.20$	

**Note.** The two Keck L' entries for each planet refer to new data (2012) and archival data (2010), respectively.

The detectability of HR 8799 bcde vary with the speckle suppression method used (Figure 3). Compared to a more standard LOCI speckle suppression, we achieve a 50%–100%

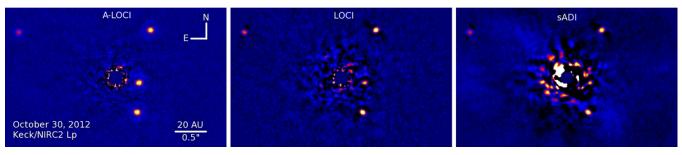


Figure 3. Comparing our reduced Keck/NIRC2 L' image using A-LOCI (left), LOCI (coupled with an SVD cutoff; middle), and sADI (i.e., classical, ADI-based PSF subtraction; right). While A-LOCI yields the highest throughput, highest signal-to-noise detections of HR 8799 bcde, LOCI likewise yields strong detections of all four planets (S/N > 9). HR 8799 e is undetectable at a statistically significant level using sADI.

gain in S/N for HR 8799 e, small to negligible S/N gains (0%–20%) for the other planets, but higher throughput for all planets. These S/N and throughput gains are due to A-LOCI's more aggressive SVD cutoff that reduces errors propagating through the covariance matrix inversion, reference image set truncation (likewise reducing propagated errors and forcing the algorithm toward a more optimal, lower residual solution), and moving pixel mask forcing the algorithm to favor removing speckles instead of the planet signal (see also Marois et al. 2010a; Currie et al. 2012a, 2012b, 2013a; Brandt et al. 2013).

A-LOCI and LOCI typically achieve factors of 2–3 gain in S/N compared to classical PSF subtraction for HR 8799 bcd and factors of 3–10 gain for HR 8799 e. We fail to detect any signal from HR 8799 e at all in the IRCS data from sADI; the planet is undetectable at S/N > 3 with classical PSF subtraction in all but the [4.05] data set (S/N<sub>e,sADI</sub> = 3.5).

Our results strongly disagree with the NICI campaign's claims, who argue that classical ADI-based PSF subtraction performs just as well as LOCI for Strehl ratios higher than those used to first test LOCI's performance (e.g., S.R.  $\gtrsim 40\%$  for NICI; Wahhaj et al. 2013) due to increased PSF stability. The PSF quality here is even higher than for NICI (S.R.  $\sim\!70\%\!-\!80\%$ ). A future paper will explore and explain the sensitivity gains from various PSF subtraction methods at moderate to high Strehl ratios (R. Cloutier & T. Currie 2014, in preparation).

### 3.4. Planet Photometry and Astrometry

To derive photometry for HR 8799 bcde, we measured the planets' brightnesses within apertures equal to the image FWHM in each case (see Currie et al. 2013a). We assessed and corrected for planet throughput losses due to processing in two ways. First, we compared the flux and position of synthetic point sources of known integrated flux before and after processing (e.g., Lafrenière et al. 2007b; Currie et al. 2013a). Second, we used the steps outlined in Section 3.2.2 (second paragraph) to determine the brightness and position of negative copies of the unsaturated stellar PSF that minimized the subtraction residuals. The true planet signals estimated by these methods typically agree to within ~5%; similarly, the astrometry derived from both methods show excellent agreement, within fractions of a pixel.

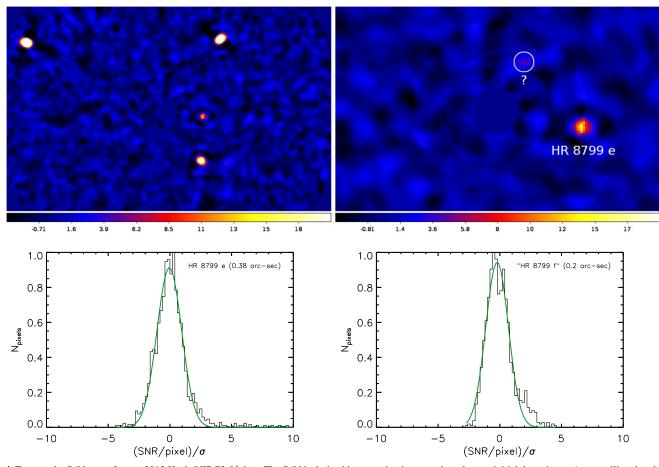
For photometric calibration, we relied on unsaturated images of the primary star either bracketing the observing sequence (Keck/NIRC2 L') or during it (VLT/NaCo [4.05]), images of HR 8799 obtained through the neutral density filter (VLT/NaCo L'), or separate photometric standard star observations (Subaru/IRCS). Following Marois et al. (2008b), we assumed an apparent magnitude of  $m_{L'} = 5.22 \pm 0.02$  for HR 8799. We adopted an identical magnitude at [4.05]:  $m_{[4.05]} = 5.22 \pm 0.02$ .

To derive photometric uncertainties for each measurement, we considered the S/N of our detection, the uncertainty in the planet throughput, and the uncertainty in absolute flux calibration (see Currie et al. 2013a).

The right two columns of Table 2 report our derived planet-tostar contrasts ( $\Delta m_{L',[4.05]}$ ) and absolute magnitudes along with uncertainties in these measurements. The L' photometry derived from different sources are consistent with one another within photometric errors. While the relative sources of photometric error vary, the throughput uncertainty typically dominates the error budget (see also Currie et al. 2013a).

Comparing the L' photometry from each source and to previously published measurements by Marois et al. (2008b) and Currie et al. (2011a), the 2012 Keck photometry appear to be systematically brighter by  $\approx 0.05$  mag. While this discrepancy could, in principle, be due to light cirrus during the photometric standard frames, detector non-linearity is another likely explanation. The non-linearity correction from Metchev and Hillenbrand (2009) was derived from flat-field lamps with high illumination: the linearity correction for fainter objects is weaker than derived, leading us to slightly underestimate the planet-tostar contrast. Indeed, with unsaturated images from the archival 2010  $\operatorname{Keck}/L'$  data, taken using shorter exposures (and thus deeper in the linear count regime), we derive planet photometry systematically fainter by ~2.5%-10%. The 2010 NIRC2 photometry also appear slightly more consistent with measurements we have obtained from archival NIRC2 data taken during 2008 September and 2010 October and reported in Marois et al. (2008b, 2010b; T. Currie, in preparation). While our data yield the first detections of HR 8799 bcde in the [4.05] filter, the flux density for HR 8799 c averaged over 4–4.1  $\mu$ m reported by Janson et al. (2010) agrees with our [4.05] photometry to within errors.

Table 3 lists our 2010 and 2012 Keck/NIRC2 astrometry. For HR 8799 cde, our centroiding uncertainty (0.5 pixels along either axis) dominates the astrometric error budget; for HR 8799 b, the north position angle uncertainty also becomes important. We do not derive astrometry for the NaCo or IRCS data sets because they require additional analyses to fine-tune their north position angles and pixel scales, although our measurements are consistent to first order. Between the NaCo/IRCS and 2012 NIRC2 data sets, HR 8799 bcde appear to exhibit slight counterclockwise motion. This motion is obvious between the 2010 and 2012 NIRC2 data sets. Compared to HR 8799 bcd astrometry from Marois et al. (2008b) and Currie et al. (2012a), in 2012 HR 8799 d appears to be increasing in projected separation. An upcoming paper will present revised orbital fits to all four planets (see also Pueyo et al. 2014).



**Figure 4.** Top panels: S/N maps for our 2012 Keck/NIRC2 L' data. The S/N is derived in our reduction steps based on an initial detection, *prior* to nulling the planet signals in the reference image library. The left panel shows the full image; the right panel shows a close-up view of the region interior to HR 8799 e with a  $4\sigma$  peak at  $r \sim 0''$ .2 highlighted. Bottom panels: pixel histogram distributions for our S/N maps at the location of HR 8799 e (left) and at 0''.2. The pixel distribution at 0''.2 shows a slightly positive skew.

Table 3
Astrometry

Planet	2010 Jul 21 ([E, N]")	2012 Oct 30 ([E, N]")
b	[ $1.547 \pm 0.006, 0.757 \pm 0.009$ ]	$[1.558 \pm 0.006, 0.729 \pm 0.009]$
c	$[-0.606 \pm 0.006, 0.725 \pm 0.006]$	$[-0.557 \pm 0.006, 0.763 \pm 0.006]$
d	$[-0.269 \pm 0.006, -0.580 \pm 0.006]$	$[-0.343 \pm 0.006, -0.555 \pm 0.006]$
e	$[-0.329 \pm 0.006, -0.178 \pm 0.006]$	$[-0.371 \pm 0.006, -0.080 \pm 0.006]$

Note. The astrometric errors assume a centroiding accuracy of 0.5 pixels ( $\sim$ 0.05 for Keck/NIRC2) and consider the intrinsic S/N of the detection and the uncertainty in the north position angle.

## 4. LIMITS ON THE PRESENCE OF A FIFTH PLANET, HR 8799 "F," AT SMALL ANGULAR SEPARATIONS

Figure 4 (top panels) displays the S/N map for our most sensitive data set, the 2012 Keck/NIRC2 L' data. As shown in the top left panel (full image), HR 8799 bcde are easily identifiable as bright peaks in the map. No other regions exhibit cluster peaks  $\gtrsim 5\sigma$ , which is consistent with there being only four detected planets at r > 0."3, in agreement with multiple independent recent results (e.g., Marois et al. 2010b; Currie et al. 2011a; Skemer et al. 2012).

A closer look at the map at separations interior to HR 8799 e (top right panel) reveals one suspicious  $4\sigma$  peak at  $r\sim0.0^{\circ}$ 2 separation ([-0.00],  $a_{\rm proj}\sim7.9\,{\rm AU}$ ). Besides the pixels identifying HR 8799 bcde, it is the most statistically significant

local maximum on our S/N map. Furthermore, the location is at roughly the same angular separation as and within  $\sim$ 5–10 pixels of the predicted location of an "HR 8799 f" from the Vd dynamical model proposed by Gozdziewski and Migaszewski (2014), which puts HR 8799 e in a 2:1 mean-motion resonance with this hypothetical planet.

However, while suggestive, this peak at  $r \sim 0''.2$  is far more likely to be a PSF subtraction artifact than a real point source. Deep H-band imaging from Skemer et al. (2012) fails to identify any peak residuals at slightly larger separations. We fail to identify it in any other HR 8799 data set. While Gozdziewski and Migaszewski (2014) posit the Vd dynamical setup with 5 HR 8799 planets, this configuration is disfavored compared to other, more stable models with "HR 8799 f" located elsewhere.

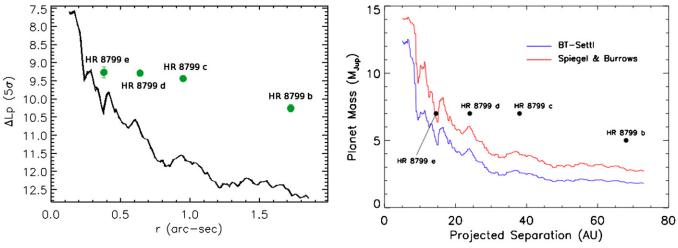


Figure 5. Left: planet-to-star contrast for our NIRC2 L' as a function of angular separation. The contrast curve exhibits a "sawtooth"-like pattern due to the NIRC2 PSF structure and variable planet throughput within each subtraction zone (see Marois et al. 2010a). Right: inferred mass detection limits assuming planet ages of 30 Myr and the Baraffe et al. (2003) luminosity evolution models + the BT-Settl atmosphere models (blue curve) and the Spiegel & Burrows (2012) luminosity evolution/atmosphere models (red).

Most importantly, while the noise statistics at the location of HR 8799 e are Gaussian (Figure 4, bottom left panel), the residuals  $r \sim 0\rlap.{''}2$  are slightly skewed toward positive values (Figure 4, bottom right panel). Thus, a " $4\sigma$ " detection in our map does not directly translate into a  $4\sigma$  confidence limit in Gaussian statistics (see discussion in Marois et al. 2008a). A  $5\sigma$  detection would be required to cleanly distinguish between a real point source and residual speckle noise.

We use our most sensitive data set (2012 Keck/NIRC2 L' data set) to derive a contrast curve and place limits on the presence of a fifth planet located interior to HR 8799 e, using the primary star for flux calibration, synthetic point sources to calibrate the point-source throughput versus angular separation, and our Gaussian-convolved image to measure  $\sigma_r$  (Figure 5).<sup>12</sup> The NIRC2 data reach contrasts at 1''-1''.5 of  $\sim 10^{-5}$ —typical of those routinely achieved in the near-IR with conventional AO systems (e.g., Lafrenière et al. 2007a)— $\sim$ 10<sup>-4</sup> at  $r \sim$ 0'.'35, and  $\sim 10^{-3}$  at our inner working angle of  $\approx 0'.'13$ . Keck employs a "conventional" adaptive optics system. Nevertheless, the advantageous observing setup for HR 8799 (excellent field rotation, stable atmosphere) and advanced PSF subtraction techniques yield contrasts at r < 0'.5 comparable to or slightly better than the preliminary, characteristic ones reported by Skemer et al. (2014b) for LBT/LMIRCam, which benefits from a much higher-actuator, extreme-AO system capable of yielding much higher Strehl ratios. 13

From Figure 5 (left panel), our NIRC2 data rule out the presence of any HR 8799 cde luminosity planets at separations greater than  $r \sim 0.22$ , although HR 8799 b-like companions would remain undetectable at the  $5\sigma$  level interior to  $\sim 0.23$ . At separations of  $r \sim 0.23$  a planet with a  $\beta$  Pic b-like contrast ( $\Delta L' \sim 7.8$ ; Currie et al. 2013a) would be detectable.

To convert our contrast limits into planet masses, we consider two sets of planet cooling models: hot-start models from Baraffe et al. (2003) and separate hot-start ones from Spiegel & Burrows (2012). The massive jovian planets imaged thus far may effectively be younger than their host stars by up to  $\sim$ 10 Myr if they formed near the end of the protoplanetary disk lifetime (see Hernandez et al. 2007; Currie et al. 2009; Cloutier et al. 2014). Thus, comparing the planet's luminosity to predictions from cooling models at the star's age may yield overestimated planet masses at young ages (e.g., 1-50 Myr; Currie et al. 2013a). Even these standard hot-start models may predict the masses of substellar objects (planets, brown dwarfs) larger (e.g.,  $\sim$ 25%) than dynamical mass estimates (Dupuy et al. 2009, 2014). Nevertheless, to be conservative, we assume that the planets are of the same age as the star and their luminosity evolution is described by standard hot-start models.

The right-hand panel of Figure 5 displays our limits for a fifth, hitherto undetected planet. Using the Baraffe et al. (2003) evolutionary models, our contrast limits rule out the existence of an HR 8799 b-like, 5  $M_J$  planet exterior to about 0.13 (~12 AU). At  $\sim$ 9 AU, within HR 8799's warm dust belt as calculated by Su et al. (2009) and similar to the outer of the two predicted locations for a hypothetical "HR 8799 f" from Gozdziewski and Migaszewski (2014;  $r \sim 7.5$ –9.7 AU or  $r_{\text{proj}} \sim 7$ –9 AU), our data still rule out an HR 8799 c-, d-, and e-mass companion. Just interior to the inner edge of the predicted warm dust belt (see Su et al. 2009) at 5–6 AU, our data rule out  $12 M_I$  companions. For the Spiegel & Burrows (2012) models, our limits systematically shift to higher masses:  $\sim 7 M_J$  at 12 AU, 10  $M_J$  at 9 AU, and 13  $M_J$  at 5-6 AU. If the HR 8799 planets formed late (e.g.,  $t_{\rm form} \sim 10$  Myr), then the mass limits derived from the Spiegel & Burrows (2012) models using these adjusted ages ( $\sim$ 20 Myr) are consistent with or slightly lower than those derived from the Baraffe et al. (2003) models assuming nominal ages.

<sup>&</sup>lt;sup>12</sup> As noted recently by Mawet et al. (2014), any contrast curve is sensitive to the noise properties (e.g., Gaussian, Rician) and is limited by the number of resolution elements available from which to estimate this noise and derive a contrast. They argue that the latter is usually neglected. We do not believe this is an issue for our data. First, the Keck L' PSF is very oversampled, containing many resolution elements at 1–3  $\lambda$ /D. Additionally, how  $\sigma$  in a S/N calculation is determined is critical, and our method is conservative. Based on visual inspection of their Figure 3, their injected 5 $\sigma$  planet at 1.5  $\lambda$ /D has pixel intensities barely larger than other residuals at the 7 o'clock and 10 o'clock positions. Our IRCS detection of HR 8799 e, barely over 5 $\sigma$ , appeared to stand out from the residual noise far more clearly. Based on our experience, we would likely find their injected companion to be a  $1\sigma$ –2 $\sigma$  perturbation, not a 5 $\sigma$  detection.

<sup>&</sup>lt;sup>13</sup> On the other hand, their limits at wide separations are far superior owing to the low-emission, adaptive secondary design of LBTAO. Further upgrades (i.e., advanced coronography) will improve their contrasts well over what is achievable in this work with Keck at small, HR 8799 e-like separations.

Table 4
Nominal HR 8799 bcde Photometry Considered Here

Filter λ (μm)	z 1.03	<i>J</i> 1.25	<i>Н</i> 1.633	<i>K</i> <sub>s</sub> 2.146	(3.3) 3.3	L' 3.776	[4.05] 4.051	<i>M'</i> 4.68
Planet								
b	$18.24 \pm 0.29$	$16.52 \pm 0.14$	$15.08 \pm 0.13$	$14.05 \pm 0.08$	$13.22 \pm 0.11$	$12.60 \pm 0.10$	$11.84 \pm 0.18$	$13.07 \pm 0.30$
c	>16.48	$14.65 \pm 0.17$	$14.18 \pm 0.17$	$13.13 \pm 0.08$	$12.22 \pm 0.11$	$11.74 \pm 0.08$	$10.99 \pm 0.08$	$12.05 \pm 0.14$
d	>15.03	$15.26 \pm 0.43$	$14.23 \pm 0.22$	$13.11 \pm 0.12$	$12.02 \pm 0.11$	$11.58 \pm 0.09$	$10.89 \pm 0.14$	$11.67 \pm 0.35$
e	• • •	>13.2	$13.88\pm0.22$	$12.89 \pm 0.26$	$12.02\pm0.21$	$11.57\pm0.12$	$10.74 \pm 0.20$	>10.09

**Notes.** The (nominal) HR 8799 bcde photometry considered here. The z band photometry come from Currie et al. (2011a), J band photometry for HR 8799 bcd come from Marois et al. (2008b) while upper limits come from Oppenheimer et al. (2013), the H band and [3.3] photometry come from Skemer et al. (2012), the  $K_s$  band photometry come from Marois et al. (2008b) for HR 8799 bcd and from Currie et al. (2011a) for HR 8799 e, the L' and [4.05] photometry come from this work and the M' photometry come from Galicher et al. (2011).

Different assumptions about planetary atmospheres may result in deeper detection limits. In particular, models including thicker clouds and more atmospheric dust than the BT-Settl models may better reproduce simultaneous photometry and spectra for young super-jovian companions (Currie et al. 2014a). Adopting instead the AMES-DUSTY models to map between contrast and planet mass, our mass limits are *more* sensitive in some cases:  $5.5 M_J$  at 9 AU and  $4.5 M_J$  at 12 AU. In contrast, dustier atmospheres render super-jovian planets fainter in the H band, and thus mass limits from platforms like Gemini Planet Imager (GPI), SPHERE, and LBT/Pisces can be significantly *less* sensitive. Likewise, detection limits in H relative to L' degrade if warm-start models, not hot-start models, describe the luminosity evolution of super-jovian planets (see Spiegel & Burrows 2012).

# 5. THERMAL IR CONSTRAINTS ON THE ATMOSPHERES OF HR 8799 bcde

In this section, we compare HR 8799 bcde's photometry to other substellar objects, to each other, and to atmosphere models. Table 4 lists our adopted photometry. Here, we consider the 2010 Keck/NIRC2  $L^\prime$  data instead of the 2012 photometry because the former were computed from data more in the linear count regime.

# 5.1. Comparisons to Field L and T Dwarfs

Combined with our updated L' photometry, our new [4.05] data allow us to more completely assess how HR 8799 bcde's broadband colors (and, by inference, atmospheric properties) compare to those for other imaged planetary companions and to field brown dwarfs. For brown dwarfs, we consider the Leggett et al. (2010) sample of field L/T substellar objects targeted in  $JHK_sL'$  broadband filters and the AKARI-selected sample of 3–5  $\mu$ m brown dwarf spectra from Sorahana and Yamamura (2012). We derive photometry for the Sorahana and Yamamura sample at L' and [3.3] by convolving each spectrum with the appropriate Mauna Kea Observatory filter functions.

We also include planetary companions  $\beta$  Pic b (Lagrange et al. 2010), ROXs 42Bb (Currie et al. 2014b), and HD 95086 b (Rameau et al. 2013), and other (candidate) planet-mass companions  $\kappa$  And b (Carson et al. 2013), 1RXJ 1609 B (Lafrenière et al. 2008), GSC 06214 B (Ireland et al. 2011), USco CTIO108 B (Bejar et al. 2008), 2M 1207 b (Chauvin et al. 2004), and 2M0103AB B (Delorme et al. 2013). 14 Photometry

for  $\beta$  Pic b and ROXs 42Bb draw from Currie et al. (2013a, 2014a, 2014b) while measurements for HD 95086 b derive from Galicher et al. (2014). Photometry for other companions draw from the compilation in Currie et al. (2013a).

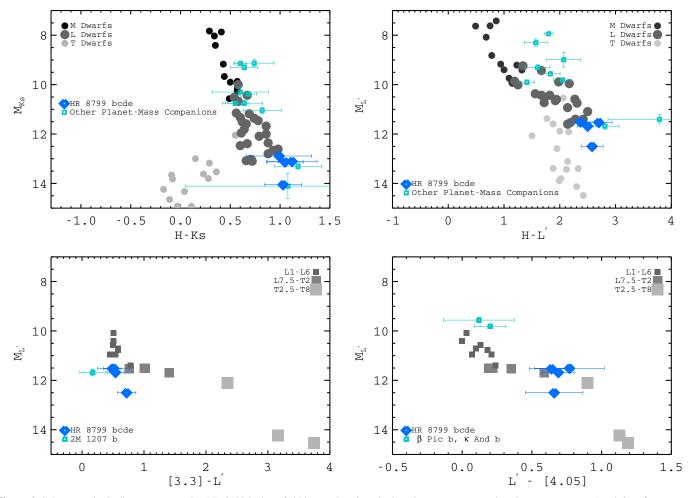
Figure 6 compares HR 8799 bcde's near-to-mid infrared colors to those for field brown dwarfs and other imaged planets/planet-mass companions. The  $K_s$  versus  $H - K_s$  (top left) and L' versus H - L' diagrams (top right) show that the near-IR colors of young, directly imaged planetary companions appear to lie off of the field dwarf locus: hotter ( $T_{\rm eff}$  $\sim$  1600–2000 K) companions like  $\beta$  Pic b and ROXs 42Bb appear redder than the dwarf sequence, while cooler ( $T_{\rm eff} \sim$ 800–1200 K) companions HR 8799 bcde, HD 95086 b, and 2M 1207 B appear to define a reddened extension of the L-dwarf sequence to fainter magnitudes more typical of T dwarfs (e.g., Marois et al. 2008b; Currie et al. 2011a; Barman et al. 2011; Galicher et al. 2014; Skemer et al. 2014b). Likewise, HR 8799 bcde and 2M 1207 B appear to follow an extension of L dwarflike [3.3] - L' colors to fainter L' magnitudes (bottom left), exhibiting rather flat 3–4  $\mu$ m spectra consistent with weaker methane absorption (Skemer et al. 2012, 2014b).

In contrast, the L'-[4.05] colors for HR 8799 bcde (bottomright) may appear more "normal," consistent with the field sequence. While the spectral type sampling for AKARI-selected brown dwarfs is sparser than for the Leggett et al. sample providing comparisons at shorter wavelengths, HR 8799 cde appear to lie in a region consistent with early field T dwarfs. HR 8799 b's colors are harder to parse, although its position is consistent (within errors) to slightly later T dwarfs.

# 5.2. Color Variations in the HR 8799 Planets

Including our new [4.05] photometry, HR 8799 bcde now have high-quality photometric measurements in five passbands, from 1.6  $\mu$ m to  $\sim$ 4  $\mu$ m, HR 8799 bcd have detections at J and M', while HR 8799 b is marginally detected in the z/Y band (Marois et al. 2008b; Galicher et al. 2011; Currie et al. 2011a). To determine whether the planets' photometry and colors differ at a significant level, we follow the methods performed in Currie et al. (2013a), quantifying the agreement between their photometry using the reduced  $\chi^2$  and goodness-of-fit statistics, considering photometric errors for both the given planet and its comparison, and adopting the zero-point flux densities listed in Currie et al. (2013a). To assess instead whether or not the planets are systematically fainter/brighter than one another but have identical colors, we then compare scaled versions of the planets' photometry, adopting the scaling factor that minimizes the  $\chi^2$  value between the pairs of planets.

<sup>&</sup>lt;sup>14</sup> Here, we emphasize the word *candidate* planet-mass companions. Whether some companions—e.g.,  $\kappa$  And b—have inferred masses lying above or below the deuterium-burning limit depends on the companion's uncertain age (e.g., Hinkley et al. 2013).



**Figure 6.** Color–magnitude diagrams comparing HR 8799 bcde to field brown dwarfs and other planet-mass companions in  $K_s$  vs.  $H - K_s$  (top-left), L' vs. H - L' (top-right), L' vs. [3.3] - L' (bottom-left), and L' vs. L' - [4.05] (bottom-right). For the Leggett et al. sample of field objects, we use circles; for the (smaller, mid-IR focused) Sorahana and Yamamura sample, we use squares.

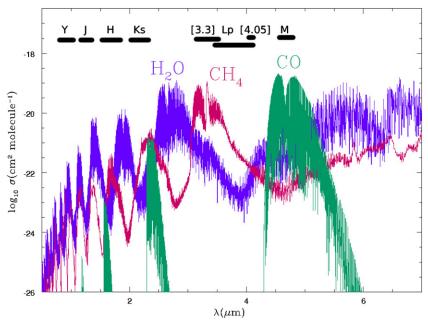
For comparisons between HR 8799 bcd, we consider measurements at J, H,  $K_s$ , [3.3], L', [4.05], and M'. Comparisons including HR 8799 e focus on H,  $K_s$ , [3.3], L', and [4.05]. To assess how our results depend on measurements from different groups, we separately consider H-band HR 8799 bcd photometry from Currie et al. (2012a) and JH HR 8799 bcde photometry from Oppenheimer et al. (2013). <sup>15</sup>

Table 5 presents our results. For our nominal photometry, HR 8799 be exhibit clear differences (C.L. > 0.98) in (scaled) photometry. In contrast, we can only demonstrate that HR 8799 de have a higher luminosity than HR 8799 b, not that they have

different colors, probably because of the higher J-band photometric error/non-detection for HR 8799 de. The best-fit scaling factor applied to HR 8799 c when compared to d and e is always greater than unity, consistent with it being a somewhat lower-luminosity planet (scaling factor  $\sim\!0.82\text{--}0.92$  or  $\sim\!10\%\!-\!20\%$  fainter). However, we do not find a statistically significant difference in the photometry or colors between HR 8799 cde, with or without flux scaling.

Adopting the Currie et al. (2012a) H-band photometry does not change our results: HR 8799 b's photometry and colors differ from c's, b's photometry and colors differs from d's and e's, while we cannot identify significant differences between HR 8799 cde. In contrast, the Oppenheimer et al. (2013) P1640 J and H photometry suggest that HR 8799 b and c's colors do not differ at a level greater than  $2\sigma$ . This disagreement is due in part to the substantially fainter P1640 J-band photometry for HR 8799 c, which leaves the planet with J-H colors more similar to HR 8799 b than implied from other sources (Marois et al. 2008b; Currie et al. 2012a; Skemer et al. 2012). Adopting the P1640 J and H measurements does not reveal any clear differences in colors between HR 8799 cde. The far brighter H-band photometry for HR 8799 e compared to c do appear to diverge at the 92% confidence limit, although this difference is less than  $2\sigma$ , and thus not considered to be significant here.

<sup>&</sup>lt;sup>15</sup> We do not consider  $K_s$ -band photometry from Esposito et al. (2012) since the photometric errors reported are equal to or much larger than errors reported by Marois et al. (2008b, 2010b) and Currie et al. (2011a). Similarly, we do not consider [3.3] photometry for HR 8799 b and c from Currie et al. (2011a), which is 0.4–0.7 mag fainter than reported in higher S/N data in Skemer et al. (2012). The detections of both planets in the Currie et al. (2011a) reduction are secure and statistically significant: Currie et al. (2011a) report a detection of HR 8799 b at S/N  $\sim$  3.8, while our revised value, using methods employed in this paper and identical to those widely adopted by other authors (e.g., Marois et al. 2008b; Lafrenière et al. 2007a) yields a slightly higher  $S/N \sim 5$ . Thus, the significance of the detection is not "overly optimistic" as suggested in Skemer et al. (2012). However, the photometric calibration was hampered by clouds affecting the unsaturated star observations we used for flux calibration and the signal loss due to processing was slightly underestimated. Since Skemer et al. (2012) is better calibrated and verified (in part) from follow-up work (Skemer et al. 2014b) we simply adopt it here.



**Figure 7.** Absorption cross-section per molecule for major molecular opacity sources— $H_2O$ ,  $CH_4$ , and CO—calculated from Sharp and Burrows (2007) at conditions relevant for young self-luminous super-jovian planets: 1200 K and 1 bar atmospheric pressure. Trends covering the full range of  $T_{\rm eff}$  for young planets HR 8799 bcde and HD 95086 b (900–1400 K) are similar. We overplot the major near-to-mid infrared passbands.

**Table 5**Statistical Tests Comparing HR 8799 bcde Photometry

Photometry	b and c	b and d	b and e	c and d	c and e	d and e
Nominal	(0.98 [0.42], 0.99+)	(0.32 [0.39], 0.99+)	(0.14 [0.36], 0.99+)	(0.59 [0.92],0.66)	(0.02 [0.82], 0.66)	(0.27 [0.91], 0.31)
Keck 2005 H band	(0.98 [0.42], 0.99+)	(0.42 [0.38], 0.99+)	(0.14[0.36], 0.99+)	(0.64 [0.90], 0.77)	(0.01 [0.83], 0.59)	(0.19 [0.96], 0.11)
P1640 $J$ and $H$ band	(0.10 [0.43], 0.99+)	(0.58 [0.38], 0.99+)	(0.74 [0.35], 0.99+)	(0.35 [0.87],0.77)	(0.64 [0.80], 0.92)	(0.27 [0.92], 0.28)

Notes. Confidence limits at which two pairs of planets' (scaled) photometry differ as determined from the goodness-of-fit statistic given the number of degrees of freedom— $N_{\text{data}}$  –2 for scaled photometry,  $N_{\text{data}}$  –1 for non-scaled photometry—and the  $\chi^2$  value. For HR 8799 bcd comparisons,  $N_{\text{data}}$  = 7 while comparisons with HR 8799 e have  $N_{\text{data}}$  = 5. The different rows indicate the nominal case, using photometry listed in Table 4, the Currie et al. (2012a) H-band photometry, and the Oppenheimer et al. (2013) J and H photometry. The two entries (enclosed by parentheses) list the correlation from scaling the planets' fluxes with respect to one another (left entry) and the nominal correlation (no scaling of the spectra) (right entry). A value closer to one means that the photometry significantly differ (e.g., 0.95 = the planets' photometry differ at the  $2\sigma/95\%$  confidence limit). The "[]" values enclose the scaling factor applied to the second planet in each listed pair that minimizes  $\chi^2$ .

### 5.3. Model Atmosphere Comparisons to HR 8799 bcde

Combining our [4.05] photometry, L' photometry, and other mid-IR photometry and comparing them to models provides some new insights into HR 8799 bcde's atmospheric properties.

The [4.05] filter, in particular, serves as a useful point of reference to clarify how chemistry and clouds shape a young planet's atmosphere across mid-infrared wavelengths (3–5  $\mu$ m). Figure 7 compares the sensitivity of young planet photometry in different passbands to major molecular opacity sources— $H_2O$ ,  $CH_4$ , and CO.  $CH_4$  and CO have high absorbing cross-sections at the [3.3] and M passbands, respectively, and thus photometry in these filters is strongly affected by non-equilibrium carbon chemistry (e.g., Galicher et al. 2011; Skemer et al. 2012, 2014b). Additionally,  $CH_4$  is strong at the blue end of the L' passband, rendering L' photometry sensitive to carbon chemistry as well (see Figure 6(a) in Skemer et al. 2012). In contrast, the [4.05] filter resides in a region of low opacity for the major species  $CH_4$ ,  $H_2O$ , and CO as well as  $CO_2$  (Sorahana and Yamamura 2012). The [3.3] to [4.05] spectral energy distribution (SED) and

L' – [4.05] color vary with different levels of methane depletion: e.g., heavier depletion results in a flatter L' – [4.05] slope.

Additionally, the L'-[4.05] flux varies with cloud thickness. Thicker clouds make this slope slightly bluer (see Figures 4, 7, and 10 in Madhusudhan et al. 2011). "Patchy" cloud regions may yet make the L'-[4.05] slope redder again (see Currie et al. 2011a). As we will show, the [4.05] photometry may strengthen the case for thicker, patchy clouds considered in Currie et al. (2011a; this section), while disfavoring other, recent best-fitting models (Section 6.2).

#### 5.3.1. Modeling Goals and Formalism

HR 8799 bcde's photometry and/or spectra are notoriously difficult to model. Those few models successfully reproducing at least most of the available data and implying physically realistic radii/masses require thick clouds and non-equilibrium carbon chemistry (Currie et al. 2011a; Madhusudhan et al. 2011; Galicher et al. 2011; Skemer et al. 2012). Additionally, the best-fit models thus far require the clouds to be patchy (Currie et al. 2011a; Skemer et al. 2014b), although the fits are not

Table 6
Atmospheric Models

Figure	Panel	Planet	$T_{\rm eff}({ m K})$	log(g)	Cloud Type	Chemistry	Reference
8	Top-left	HR 8799 b	900	4.0	A60	Equilibrium	1,2
	Middle-left	,,	850	4.0	AE30	Equilibrium	3
	Bottom-left	,,	850	4.3	AE60	$100\times\text{CO}$ , $0.01\times\text{CH}_4$	4
	Top-right	HR 8799 d	1000	4.0	A60	Equilibrium	1,2
	Middle-right	,,	975	4.0	AE60	Equilibrium	3
	Bottom-right	**	1000	4.0	AE60	$100\times\text{CO}$ , $0.01\times\text{CH}_4$	4
9	Top-left	HR 8799 b	900	4.0	0.85×A60, 0.15×E60	Equilibrium	5
	Bottom-left	HR 8799 c	1000	4.0	$0.7 \times A60, 0.3 \times E60$	Equilibrium	5
	Top-right	HR 8799 d	1000	4.0	$0.9 \times A60, 0.1 \times AE60$	Equilibrium	5
	Bottom-right	HR 8799 e	1000	4.0	$0.75 \times A60$ , $0.25 \times E60$	Equilibrium	5

References. (1) Burrows et al. 2006; (2) Currie et al. 2011a; (3) Madhusudhan et al. 2011; (4) Skemer et al. 2012; (5) this work.

perfect and heterogeneous cloud models are still in their early stages of development. The fit quality may also depend on other key assumptions, including dust grain sizes and size distributions (e.g., Currie et al. 2013a, 2014a), and complete molecular line lists for important species (Yurchenko et al. 2014) which were absent in the current best-fitting models. New, soon-to-be acquired direct spectroscopy for HR 8799 cde may also identify new discrepancies with a model best fitting the current suite of data.

Therefore, we do not perform a rigorous parameter search to quantitatively identify the best-fitting models as in previous work (Currie et al. 2011a; Madhusudhan et al. 2011; Currie et al. 2013a, 2014a). Rather, we compare new data to recent best-fitting models from A. Burrows (Currie et al. 2011a; Madhusudhan et al. 2011; Skemer et al. 2012), then an expanded set of the thick cloud, non-equilibrium chemistry Burrows models considered in Skemer et al. (2012), and finally a set of these models approximating patchy, thick clouds to identify new challenges to reproducing HR 8799 bcde's photometry/spectra and deriving its physical properties. Given the difficulties in constructing self-consistent patchy cloud models, we simply follow Currie et al. (2011a) and approximate a patchy atmosphere as a linear combination of two model atmospheres with the same  $T_{\rm eff}$ , surface gravity, and radius but different cloud scale heights.

Table 6 lists the model atmospheres used for each SED comparison. Briefly, the A-type cloud models are the thickest, significantly more vertically extended than models ("E-type") used to compare with field brown dwarfs (Burrows et al. 2006), resulting in a hotter pressure-temperature profile. The AE-type clouds are just slightly thinner than those in the A-type models: while the A-type clouds track the gas density profile as a whole, the AE-type clouds' scale heights are reduced by a factor of two.

The models consider modal dust grain sizes of 30 and  $60 \,\mu m$  (e.g., "A60" refers to a model with the "A" cloud type and modal dust grain sizes of  $60 \,\mu m$ ). Finally, the models consider atmospheres both in chemical equilibrium and in disequilibrium, where we modify the abundance ratios of CO to CH4. For example, 100CO,  $0.01 \,\text{CH}_4$  denotes a model with abundances of CO (CH<sub>4</sub>)  $100 \,\text{times}$  more (less) than solar. See previous studies for more details (Burrows et al. 2006; Madhusudhan et al. 2011; Currie et al. 2011a, 2013a, 2014a).

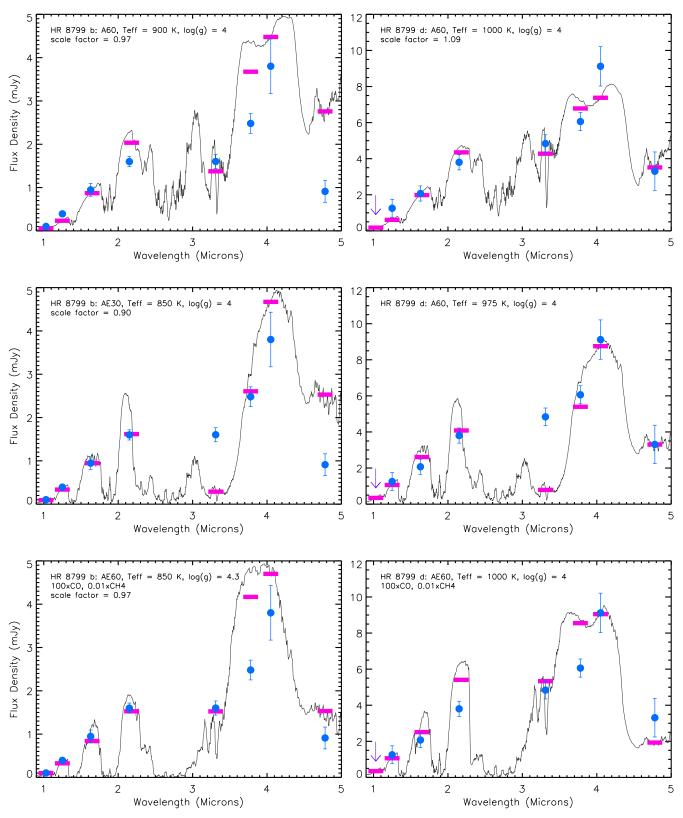
For simplicity and because we fail to identify clear differences among HR 8799 cde's photometry, we display comparisons only for HR 8799 b and d for most models. We include comparisons for all four HR 8799 planets only after identifying the modeling approach that appears to best reproduce the outer two planets' data. We consider planet radii between 0.9 and 1.15 times the nominal radius assumed in each model ( $R \sim 1.1-1.5 R_I$ ).

## 5.3.2. Modeling Results

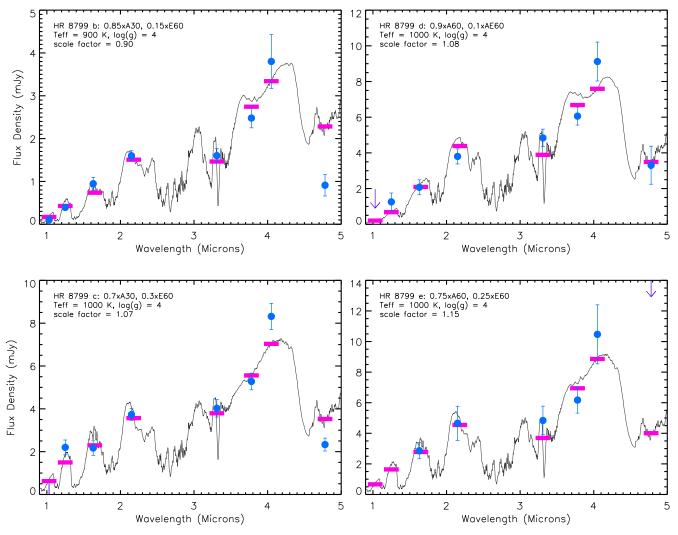
Figure 8 compares HR 8799 bd to the thick cloud, chemical equilibrium models in Currie et al. (2011a) and Madhusudhan et al. (2011) and the thick cloud, non-equilibrium chemistry models in Skemer et al. (2012). All three models successfully reproduce the planets' weak/suppressed emission at 1–2  $\mu$ m. The chemical equilibrium model from Madhusudhan et al. (2011) successfully reproduces the planets' L' photometry and (nearly) matches the peaks of the spectra at 4.05  $\mu$ m, but significantly underpredicts the  $3.3 \,\mu\mathrm{m}$  photometry for both planets while overpredicting HR 8799 b's M' flux density. The Currie et al. (2011a) models invoking even thicker clouds overpredict the HR 8799 b L' measurement, match both planets'  $3.3 \,\mu \text{m}$  photometry, but again overpredict HR 8799 b's M' photometry. In contrast, the non-equilibrium chemistry models overpredict both planets' L' photometry and HR 8799 b's [4.05] photometry.

Thick but patchy cloud approximations (Figure 9) may significantly improve fits to all four HR 8799 planets. While the AE60 chemical equilibrium and non-equilibrium models depicted in Figure 8 and used in Madhusudhan et al. (2011) and Skemer et al. (2012) fail to reproduce multiple HR 8799 b photometric points between 3 and 5  $\mu$ m, our patchy cloud approximation overpredicts only the M' photometry. Despite the apparent improved fit using this chemical equilibrium, patchy cloud approximation, we fail to identify any additional model variable—modal dust grain size, cloud scale height,

<sup>&</sup>lt;sup>16</sup> Note that this differs from the method used in Skemer et al. (2012, 2014b). There, they use a linear combination of atmospheres with different  $T_{\rm eff}$  in addition to different cloud scale heights. As model atmospheres with thicker clouds have hotter P−T profiles (i.e., the atmosphere is hotter at a given pressure), this approach may result in a more uniform P−T profile across the planet surface, depending on exactly which atmosphere models are used. However, the Burrows models used are explicitly tied to radii from the Burrows et al. (1997) planet evolutionary models: e.g., the 700 K and 1400 K model atmospheres comprising Skemer et al.'s patchy atmosphere approximation differ in radius (by just under ~10%) and are therefore discontinuous. In our approach, the radii for both atmosphere components are the same and the P−T is implicitly discontinuous across the boundary regions separating the components.



**Figure 8.** Atmosphere model comparisons for HR 8799 b (left panels) and HR 8799 d (right panels) using the thick cloud, chemical equilibrium models from Currie et al. (2011a) (A-type clouds, modal particle size of  $60~\mu m$ ; top panels); the thick cloud, chemical equilibrium models from Madhusudhan et al. (2011) (AE-type clouds, modal particle size of  $60~\mu m$ ; middle panels); and the thick cloud, non-equilibrium carbon chemistry models from Skemer et al. (2012) (also with AE-type clouds, modal particle size of  $60~\mu m$ ). Blue dots represent detections; downward pointing arrows represent  $5\sigma$  upper limits. Horizontal magenta lines represent the filter-convolved flux densities. The models shown are those based on visual inspection that best match the HR 8799 b and d photometry. The "scale factor" refers to the constant by which we multiply each atmosphere model's radius: for panels without this entry, the scale factor is one (i.e., no rescaling of the assumed model atmosphere radius). The specific models used are labeled in the figure captions and listed in Table 6: see Burrows et al. (2006), Currie et al. (2011a), Madhusudhan et al. (2011), and Skemer et al. (2012) for more details on the model input physics and terminology.



**Figure 9.** Atmosphere model comparisons for HR 8799 b (top-left panel), HR 8799 c (bottom-left panel), HR 8799 d (top-right panel), and HR 8799 e (bottom-right panel) using the thick, patchy cloud, chemical equilibrium approximation. Symbols are the same as in Figure 8. The relative fractions of the thicker to thinner cloud components for each model atmosphere approximation (i.e., relative fraction of A60 to AE60 or E60 components) are as follows: 0.85, 0.15 (HR 8799 b); 0.7, 0.3 (HR 8799 c); 0.9, 0.1 (HR 8799 d); and 0.75, 0.25 (HR 8799 e).

metallicity, surface gravity, etc.—except for non-equilibrium carbon chemistry/non-solar  $CO/CH_4$  ratios that could drive down the predicted M' flux density.

In contrast, the models first matched to the HR 8799 data in Currie et al. (2011a) fare significantly better in reproducing HR 8799 c, d, and e's photometry. The A60 thick cloud, chemical equilibrium model appears to reproduce HR 8799 d photometry better than either AE60 model (Figure 8, right panels). HR 8799 c and e also appear to be better fit by the A60 thick cloud models, which is expected since HR 8799 c and e have broadband colors indistinguishable from HR 8799 d. Furthermore, agreement with the data for all three inner planets may improve if 15%-35% of HR 8799 cde's atmosphere is covered by thinner clouds (Figure 9, top right panel and bottom panels). Non-equilibrium carbon chemistry then does not appear to be strictly required to explain the inner three planets' photometry. While HR 8799 c's K-band spectrum shows clear evidence for methane depletion and enhanced carbon monoxide (Konopacky et al. 2013), the de planets lack published K-band spectra.

### 6. DISCUSSION

# 6.1. Detecting a Fifth Planet Orbiting Interior to HR 8799 e

Our study presents the first detections of HR 8799 bcde in the [4.05] narrowband filter and deep, multi-epoch detections of the four planets at L'. Although our Keck/NIRC2 L' data yield (some of) the most sensitive imaging limits on planets at small, 1.5–4  $\lambda$ /D separations ( $\sim$ 0″.14–0″.37 or  $\sim$ 5–15 AU), we fail to detect a fifth planet. Our limits are inconsistent with an additional HR 8799 cde luminosity/mass object at  $r_{\rm proj} \sim$  9–15 AU, or within/exterior to the predicted location of HR 8799's warm dust belt, and an HR 8799 b-like object exterior to 12 AU. At smaller separations, planets like HR 8799 bcde are undetectable, but our data rule out a more massive (12–13  $M_J$ ) companion at the inner edge of the warm dust belt ( $r \sim$  5–6 AU).

Our detection limits for an HR 8799 f complement those derived by Hinkley et al. (2011) using sparse aperture masking also at L'. Those data are uniquely sensitive to planets at 3–5 AU, interior to our inner working angle. At 5–6 AU, our contrasts and thus mass limits are comparable, while ours are deeper at

wider separations, especially exterior to 0'.22. Together, they set stringent limits on the presence of planets more massive than HR 8799 bcde located interior to HR 8799 e.

Our limits are broadly consistent with the phase space that Gozdziewski and Migaszewski (2014) find possible for an "HR 8799 f" in a 3:1 or 2:1 mean-motion resonance with HR 8799 e. We fail to find an additional planet at their predicted positions of 7.5 AU and 9.7 AU ( $r_{\rm proj} \sim$  7–9 AU  $\sim$  0".17–0".22). However, in their model, "HR 8799 f" likely has a mass of 2–8  $M_J$  at 7.5 AU and 1.5–5  $M_J$  at 9.7 AU, which would not be detectable at the 5 $\sigma$  level even in our most sensitive data set. <sup>17</sup>

Extreme adaptive optics, high-contrast imaging well beyond what is capable with instruments like NIRC2 is required to image "HR 8799 f" at its predicted location and full mass range. For example, the L' contrast required to image a 2  $M_J$  planet at  $r_{\text{proj}} \sim 7 \text{ AU}$  around HR 8799 is  $\sim 1 \times 10^{-5}$  (e.g., from the BT-Settl models Allard et al. 2012), or about 80 times fainter than our  $5\sigma$  limit with NIRC2 L' or the nominal LBTI/ LMIRCam performance (Skemer et al. 2014a). The challenge at H is even steeper, requiring a contrast of  $5 \times 10^{-7}$ , or about 800 times deeper than the best H-band contrasts at r < 0.3with a conventional AO system (e.g., Brandt et al. 2014). It is also about 200 times deeper than first-light GPI contrasts at r  $\sim$  0'.2 on southern stars (Macintosh et al. 2014). The Subaru Coronagraphic Extreme Adaptive Optics Imager (SCExAO; Martinache and Guyon 2009) may be the only upcoming highcontrast imaging platform capable of searching for a fifth jovian planet orbiting HR 8799 with a mass just above that of Jupiter, as it is expected to yield very high contrasts within several diffraction beam widths of the star ( $r \sim 0'.1-0'.2$  at *H*-band).

# 6.2. Constraining HR 8799 bcde Atmospheric Properties and Chemical Diversity

Our data also yield the first photometric detections of HR 8799 bcde in the Br $\alpha$ /[4.05] filter. While HR 8799 bcde appear to be "red/underluminous" in near-infrared colors compared to field L/T type brown dwarfs of similar temperatures (800–1200 K; Marois et al. 2008b; Currie et al. 2011a) and blue/luminous at 3.3  $\mu$ m (Skemer et al. 2012), the planets' L' – [4.05] colors might not depart from the field L/T dwarf sequence. Within the field dwarf context, their L' – [4.05] colors may appear early/mid-T dwarf-like in contrast to the more L dwarf-like near-IR properties.

Our new [4.05] photometry complicates atmospheric modeling efforts. HR 8799 bcde's very red L'-[4.05] color/rising SED from 3.8 to 4  $\mu$ m is poorly reproduced by non-equilibrium chemistry models invoked by Skemer et al. (2012) to explain the planets' 3.3  $\mu$ m and M' photometry. Conversely, the AE60 thick cloud models presented in Madhusudhan et al. (2011) accurately reproduce HR 8799 bcde's L'-[4.05] color but are poor matches at 3.3  $\mu$ m and M'. The suite of thick (patchy) cloud atmosphere models from Marley et al. (2010) considered by Skemer et al. (2014b) to match HR 8799 c and d's 3–5  $\mu$ m measurements predict far too flat an SED from L' to [4.05]. The Marley et al. (2012) models were also compared

to HR 8799 bcd photometry and spectra to constrain the planets' masses, radii, and cloud properties. However, comparing the planets' SEDs (e.g., Figure 9) to their best-fit models (from their Figures 4, 5, and 6) shows that the models do not match HR 8799 bcd's photometry at multiple mid-IR passbands.

Models invoking thicker (i.e., A60 instead of AE60), perhaps "patchy," clouds may provide better matches to HR 8799 bcde photometry and, in some cases, modify our interpretations of the planets' SEDs. Based on the planets' 3.3  $\mu$ m and M' photometry, several studies argue that the planets exhibit evidence for non-equilibrium carbon chemistry (Hinz et al. 2010; Galicher et al. 2011; Skemer et al. 2012, 2014b). However, only HR 8799 b's SED cannot be matched by any chemical equilibrium models we have considered. While HR 8799 c's K-band spectrum shows clear evidence for abundant CO and weak CH<sub>4</sub> (Konopacky et al. 2013), HR 8799 de currently lack published K-band spectra capable of revealing the same signs of non-equilibrium carbon chemistry.

Finally, our analyses investigate whether the HR 8799 planets really have different photometric properties. Using a nominal set of photometry, HR 8799 b and c are clearly different. In contrast, although HR 8799 c may be slightly fainter than HR 8799 d and e, the colors for all three planets are as-yet indistinguishable. We obtain the same general trends using a different source for HR 8799 bcd's *H*-band photometry; using P1640's *J* and *H* photometry, we can detect no color differences between any of the planets at the 95% confidence limit or higher.

The strong similarity between HR 8799 c, d, and e based on photometry stands in contrast to the P1640 results from Oppenheimer et al. (2013). From P1640 H-band spectra, they find statistically significant differences in HR 8799 c, d, and e's spectral shapes. The planets' dissimilar spectra may be due to chemical heterogeneities. For example, Oppenheimer et al. (2013) report a detection of methane in the spectra of HR 8799 d and e, but not HR 8799 b and c. However, these results are challenging to understand if the planets' thermal IR 3.3  $\mu$ m and M' photometry are due to nonequilibrium carbon chemistry favoring methane depletion and enhanced CO (Skemer et al. 2012, 2014b). On the other hand, the identifications/non-detections of molecular features in the P1640 spectra are "tentative"; chemical diversity in the HR 8799 planets they find may be a natural consequence of the diversity in the chemical environment of the protoplanetary disk surrounding HR 8799 when the planets formed (e.g., Oberg et al. 2011).

New, high-S/N spectra of HR 8799 c, d, and e at multiple near-IR wavelengths are required to better assess whether HR 8799 c, d, and e are chemically heterogeneous despite having nearly identical photometric properties, luminosities, and masses. In addition to new, higher Strehl/contrast P1640 data, integral field spectroscopy with the GPI (Macintosh et al. 2014), SPHERE (Beuzit et al. 2008), or SCExAO/CHARIS (Martinache and Guyon 2009; Peters et al. 2012) should yield sufficiently high S/N detections of the planets to decisively tell whether or not the planets have differently shaped spectra indicative of chemical heterogeneities.

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 $<sup>^{17}</sup>$  Still, the best-predicated locations and masses for a fifth planet should be updated as our knowledge of the orbital properties of HR 8799 bcde (and thus the stability of the entire system) grows. For instance, Gozdziewski and Migaszewski (2014) model HR 8799 bcde as having coplanar orbits inclined by  $\approx 25^{\circ}-28^{\circ}$  to the sky. In contrast, modeling astrometry for HR 8799 bcd between 1998 and 2010 instead may favor more highly inclined orbits at least for HR 8799 d and, possibly, slightly *non*-coplanar orbits (Currie et al. 2012a).

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