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1 **Dominance of the Southern Ocean in anthropogenic carbon and heat uptake**
2 **in CMIP5 models**

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Abstract

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We assess the uptake, transport and storage of oceanic anthropogenic carbon and heat over the period 1861 to 2005 in a new set of coupled carbon-climate Earth System models conducted for the fifth Coupled Model Intercomparison Project (CMIP5), with a particular focus on the Southern Ocean. Simulations show the Southern Ocean south of 30°S, occupying 30% of global surface ocean area, accounts for $43 \pm 3\%$ (42 ± 5 Pg C) of anthropogenic CO₂ and $75 \pm 22\%$ ($23 \pm 9 \cdot 10^{22}$ J) of heat uptake by the ocean over the historical period. Northward transport out of the Southern Ocean is vigorous, reducing the storage to 33 ± 6 Pg anthropogenic carbon and $12 \pm 7 \cdot 10^{22}$ J heat in the region. The CMIP5 models as a class tend to underestimate the observation-based global anthropogenic carbon storage, but simulate trends in global ocean heat storage over the last fifty years within uncertainties of observation-based estimates. CMIP5 models suggest global and Southern Ocean CO₂ uptake have been largely unaffected by recent climate variability and change. Anthropogenic carbon and heat storage show a common broad-scale pattern of change, but ocean heat storage is more structured than ocean carbon storage. Our results highlight the significance of the Southern Ocean for the global climate and as the region where models differ the most in representation of anthropogenic CO₂ and in particular heat uptake.

39 1. Introduction

40 The Southern Ocean is the main source of much of the deep water of the world's ocean and also
41 provides the primary return pathway for this deep water to the surface (Toggweiler and Samuels
42 1995; Marshall and Speer 2012). Strongly divergent wind-driven flow drives upwelling of large
43 amounts of deep water to the ocean's surface in the open channel around the Antarctic continent.
44 Part of this deep water is freshened and warmed at the surface and transported northward where
45 it sinks into the ocean interior, the remainder of the upwelling waters flows south and is
46 converted to very dense Antarctic Bottom Water through cooling and brine rejection. The
47 drawing up of deep waters and the subsequent transport into the ocean interior has major
48 consequences for the global heat, nutrient and carbon balances. The upwelled water takes up a
49 large amount of excess heat from the atmosphere, because it is very cold (Manabe et al. 1991).
50 The upwelled water can also take up a large amount of anthropogenic CO₂, as it has not been in
51 contact with the atmosphere for centuries (Mikaloff Fletcher et al. 2006; Khatiwala et al. 2009).
52 The Southern Ocean is also the source for nutrients fertilize a majority of the biological
53 production in the global ocean (Sarmiento et al. 2004). The upwelled water contains a large
54 amount of nutrients that has been accumulated in the deep ocean from the decomposition of
55 organic matter for centuries.

56

57 Given this key role of the Southern Ocean in the climate system, reports of recent and projected
58 changes have raised significant concern. Observed changes over the last few decades include: (i)
59 accelerating of the Southern Ocean overturning possibly related to a poleward intensification of
60 the westerly winds due to increasing greenhouse gas concentrations and polar stratospheric
61 ozone depletion (Vaugh et al. 2013; Thompson et al. 2011); (ii) subsurface warming at a faster

62 rate and to greater depth than the global average (Gille et al. 2002); (iii) large-scale freshening of
63 the surface ocean (Böning et al. 2008) likely caused by significant Antarctic ice mass loss
64 (Rignot et al. 2008), sea-ice melting and surface water flux increase, and (iv) warming,
65 freshening and slowdown of Antarctic bottom water formation (Purkey and Johnson 2012), that
66 may have contributed to the recent slowdown in global surface temperature warming (Meehl et
67 al. 2011). It has been suggested that the accelerating of the Southern Ocean overturning and the
68 associated increase in upwelling of carbon-rich deep waters have caused a stalling of the
69 Southern Ocean CO₂ sink despite an increase in atmospheric CO₂ and despite an increase in the
70 subduction of mode and intermediate water (e.g. Le Quéré et al. 2007, Lovenduski et al. 2008,
71 Lenton et al. 2009). Coupled model simulations of 21st century climate consistently project a
72 trend towards poleward amplified westerly winds and warmer sea surface temperature. It is
73 therefore possible that a further weakening of the Southern Ocean CO₂ sink may occur (Roy et
74 al. 2011), although increased nutrient delivery to the surface and changing surface water
75 properties would also alter the efficiency of the biological pump (Steinacher et al. 2010). The
76 consequence of this reduced CO₂ uptake would be a higher level of atmospheric CO₂ on multi-
77 century timescales. The Southern Ocean will also likely experience an increase in stratification
78 and a reduction in vertical mixing that may reduce the upward flux of natural CO₂ and the
79 downward flux of anthropogenic CO₂ (Sarmiento et al. 1998), making it difficult to project the
80 impact of stratification on the total CO₂ sink.

81

82 Coupled carbon-climate Earth System Models are currently one of the main tools we have to
83 investigate Southern Ocean dynamics and changes in anthropogenic CO₂ and heat uptake and
84 storage. The remoteness and hostility of the Southern Ocean environment makes the availability

85 of observations too sparse, and interpretive frameworks too uncertain to develop a full picture of
86 Southern Ocean heat and carbon balances (Lenton et al. 2006, Majkut et al. 2014a). Earlier
87 generation coupled climate models, however, poorly represent important metrics of the Southern
88 Ocean circulation such as the strength and position of the westerlies, circumpolar deep water and
89 Antarctic Bottom Water formation, mixed layer depths, and atmosphere-ocean interactions (e.g.
90 Doney et al. 2004, Russell et al. 2006, Sen Gupta et al. 2009, Downes et al. 2010, Trenberth et al.
91 2010a). The large disagreement between models in representing Southern Ocean physical
92 processes may also lead to large differences in simulated anthropogenic CO₂ and heat uptake
93 (Orr et al. 2001, Doney et al. 2004, Russell et al. 2006).

94

95 The CMIP5 coupled carbon-climate Earth System Model simulations give us the unique
96 opportunity to assess CO₂ and heat uptake and storage in a large numbers of comprehensive
97 models and for the first time in a physically self-consistent coupled setting. We focus here on the
98 anthropogenic CO₂ component, i.e. that part of the net air-sea CO₂ balance that is driven directly
99 by the emission of CO₂ by anthropogenic activities, and the excess heat component, i.e. the
100 change in heat uptake and storage since preindustrial times. We investigate the oceanic uptake,
101 transport and storage of anthropogenic carbon and heat in historical simulations from 19 IPCC-
102 class CMIP5 coupled climate models with our main objectives being: (i) characterizing the role
103 of the Southern Ocean, (ii) analyzing the range between the individual models, and (iii)
104 comparing the models with observation-based estimates. We also make use of ensemble
105 historical simulations from a single climate model to compare intra-model variability from
106 ensemble simulations against inter-model differences. This allows us to test how much of

107 differences between the models are due to internal variability in the Southern Ocean of the
108 various models as each model simulates its own intrinsic variability.
109
110 We focus on analyzing changes in uptake and storage of anthropogenic carbon and heat in
111 concert. Earlier studies often treated anthropogenic carbon and heat uptake and storage as
112 passive processes (e.g. Bryan 1969, Church et al. 1991). Under such an assumption, ocean
113 carbon observations may be used to estimate ocean heat uptake, or vice versa. The similarity
114 between ocean heat and carbon uptake also underpins the concept of transient climate response
115 to cumulative carbon emissions (e.g. Matthews et al. 2009), which suggests that the transient
116 global warming is nearly proportional to cumulative carbon emissions on multi-decadal to
117 millennial timescales. Recent studies however, showed that oceanic storage of anthropogenic
118 carbon and heat have distinct patterns, which is not consistent with the view of passive processes
119 acting on both anthropogenic carbon and heat (Banks and Gregory 2006, Xie and Vallis 2012,
120 and Winton et al. 2013). Winton et al. (2013) held ocean circulations fixed within a coupled
121 carbon-climate model to show that changes in ocean circulation have a much larger influence on
122 the heat storage pattern than on the carbon storage pattern, because the relative magnitude of the
123 natural gradient to the anthropogenic change is much larger for heat than for carbon. A
124 slowdown of the Atlantic Meridional Overturning circulation, for example, may reduce the
125 northward ocean transport of heat and thus shifts the heat uptake from low to high latitude
126 (Winton et al. 2013). This shift in ocean heat uptake substantially reduces global warming even
127 without a change in the magnitude of total heat uptake (Winton et al. 2013, Frölicher et al. 2014).
128 Here we identify further possible mechanisms with a focus on the Southern Ocean and assess the
129 degree to which these earlier results are robust across a wide range of climate models.

130

131 The remainder of this paper is organized as follows: Section 2 presents the coupled carbon-
132 climate Earth System models, the processing of the model data, and the observation-based
133 estimates. The simulated uptake, transport, and storage of anthropogenic carbon and heat are
134 examined in section 3.1 – 3.2. Comparison of carbon and heat uptake and storage are discussed
135 in section 3.3. The discussion and conclusion are given in section 4. More details about the drifts
136 in the model control simulations and about model performances are presented in the appendices
137 A and B.

138

139 2. Methods

140 2.1. CMIP5 models

141 We use output from 19 CMIP5 models (Table 1; Taylor et al. 2012). The selection of the 19
142 models was based on the availability of all variables necessary to discuss changes in the Earth's
143 energy system. Twelve CMIP5 models – herein referred to as coupled carbon-climate Earth
144 System Models - couple the climate system to a representation of both the land and the ocean
145 carbon cycle (marked with asterisks in Table 1). These twelve models are used for the carbon
146 analysis, whereas all 19 models are used for the heat analysis. The horizontal resolution in the
147 ocean ranges from $0.4^{\circ} \times 0.4^{\circ}$ to $2.0^{\circ} \times 2.0^{\circ}$ and in the atmosphere from $0.9^{\circ} \times 1.3^{\circ}$ to $2.8^{\circ} \times 2.8^{\circ}$. The
148 numbers of vertical levels varies from 24 to 80 in the atmosphere and from 30 to 63 in the ocean.
149 The climate models differ in many aspects (e.g. subgrid-scale parameterizations, aerosol
150 representation, ocean biogeochemistry, etc.). Thus, any attribution of differences between the
151 models to potential parameters or parameterizations must be taken with caution. Some models

152 share the same parameterizations of processes, simplifications and numerical approximations, or
153 even the same ocean, sea-ice, land or atmospheric components, possibly leading to similar biases
154 (Knutti et al. 2013). Thus, the uncertainty based on the multi-model spread (one standard
155 deviation) may be biased by the similarities between the models and the distribution of CMIP5
156 model output for a specific variable. For example, the analysis of the sensitivity of oceanic CO₂
157 uptake to climate variability and change (section 3.1.4) is based on four models only and two
158 models (GFDL ESMs) share the same atmosphere, land and biogeochemical components.

159
160 We analyze historical simulations of a single ensemble realization over the period 1861 to 2005
161 (referred to as ‘historical’ in the CMIP5 protocol (Taylor et al. 2012)) and corresponding
162 preindustrial control simulations (‘piControl’). The historical simulations were forced by
163 prescribed atmospheric CO₂, non-CO₂ greenhouse gases and aerosols, stratospheric ozone
164 depletion, anthropogenic land-use evolution, as well as by natural forcings such as solar and
165 volcanic forcings. The CMIP5 models include different ozone forcing fields ranging from
166 prescribed to prognostic stratospheric ozone changes resulting in different response of the
167 Southern Hemisphere westerlies to changes in stratospheric ozone. By construction, changes in
168 land and ocean carbon storage do not feedback on atmospheric CO₂ concentration and climate,
169 but climate and atmospheric CO₂ concentration affect land and ocean carbon storage. This is an
170 important advance in comprehensiveness over earlier studies in which atmospheric CO₂ is
171 calculated explicitly from the prescribed anthropogenic carbon emissions and after the exchange
172 with the land and ocean carbon stocks (e.g. Friedlingstein et al. 2006, Roy et al. 2011). The setup
173 guarantees that the different ocean models ‘see’ the same observed atmospheric CO₂
174 concentration.

175

176 To investigate the sensitivity of the oceanic CO₂ uptake to recent climate change, we also use
177 simulations where atmospheric radiation experiences constant preindustrial forcing (i.e. no
178 warming) while the ocean carbon component experiences the same increasing atmospheric CO₂
179 as the historical experiments (referred to as ‘esmFixClim2’ in the CMIP5 protocol (Taylor et al.
180 2012); models marked with crosses in Table 1). Differences between these simulations and the
181 ‘historical’ simulations indicate the impact of climate variability and change on CO₂ uptake. In
182 addition, we use a six-member ensemble simulation conducted with the GFDL ESM2G model to
183 investigate internal variability (Dunne et al. 2012, 2013). Each of the six ensemble integrations
184 branch off at 100 year intervals from a stable preindustrial control simulation (Figure A1), thus
185 guaranteeing that they have different initial conditions. We cannot rule out, however the
186 possibility of a bigger uncertainty range when using a larger number of ensembles, and a
187 different magnitude of internal variability when using other models.

188

189 We regridded all model output to a regular 1°x1° latitude-longitude grid and from sigma depth
190 levels to z depth levels in the ocean. Although models have been spun-up for several hundreds to
191 thousands of years, the energy imbalance at the top-of-the-atmosphere (TOA) and drifts in ocean
192 heat and carbon storage remain significant (see Appendix A for more details). Therefore, results
193 are shown as differences between the historical simulations and the preindustrial control
194 simulations.

195

196 We computed oceanic anthropogenic carbon by differencing dissolved inorganic carbon (DIC) of
197 the transient historical simulation and the control simulation. Thus, the anthropogenic carbon

198 includes also changes in the natural carbon cycle affected by anthropogenic climate
199 perturbations, in contrast to some observation-based estimates, which, by definition, do not
200 include changes in the natural carbon cycle (see section 3.1.2). Offline global ocean
201 biogeochemical models forced by atmospheric fields from reanalysis products suggest that
202 changes in the natural carbon cycle over the historical period are about ± 5 Pg C (Le Quéré et al.
203 2010, Majkut et al. 2014a) with the Southern Ocean south of 30°S accounting for about 30% of
204 the total change.

205
206 The global ocean heat storage changes are calculated from annual mean potential temperature of
207 each grid cell. Temperature is converted to ocean heat storage by integrating over each model
208 level and multiplying by a fixed value for density and heat capacity of $4.15 \cdot 10^6$ kg m⁻³ J K⁻¹.

209

210 *2.2. Observation-based estimates of anthropogenic CO₂ and heat*

211 We use the anthropogenic carbon storage estimates that are based on the (i) ΔC^* method (Gruber
212 et al. 1996, Sabine et al. 2004), (ii) transient time distribution (TTD) method (Waugh et al 2006),
213 and (iii) Green's function approach (Khatiwala et al. 2009). The ΔC^* method attempts to
214 separate the small anthropogenic perturbation from the large background carbon storage by
215 correcting the measured total dissolved inorganic carbon (DIC) distribution for changes due to
216 biological activities and by removing an estimate of preindustrial preformed DIC concentration.
217 The preindustrial preformed DIC concentration is calculated on the basis of the well-known
218 carbonate chemistry and an air-sea disequilibrium part. Unlike the ΔC^* method, the TTD method
219 and the Green Function approach do not use DIC measurements. These methods assume that
220 anthropogenic carbon at any point in the ocean interior should be related to the concentration

221 history of anthropogenic CO₂ at the surface and the time it took the water parcel to reach the
222 interior ocean location. Observed transient tracer concentrations are used to constrain the TTD or
223 Green's functions. There are substantial differences among the anthropogenic CO₂ estimates,
224 especially in the Southern Ocean (Lo Monaco et al. 2005, Vasquez-Rodriguez et al. 2009, Pardo
225 et al. 2014). For example, the TTD anthropogenic CO₂ storage in the Southern Ocean is biased
226 high due to the assumption of constant air-sea CO₂ disequilibrium (Waugh et al. 2006).

227

228 We also use the weighted mean anthropogenic air-sea CO₂ flux estimates of an inversion that
229 combines data-based ΔC^* ocean interior anthropogenic carbon estimates with information about
230 ocean transport and mixing from ten ocean general circulation models (Mikaloff-Fletcher et al.
231 2006). Weights represent the model skills in simulating chlorofluorocarbons successfully.

232

233 Ocean heat storage data are taken from Palmer et al. (2007), Domingues et al. (2008), Ishii and
234 Kimoto (2009), and Levitus et al. (2009). Prior to the implementation of the ARGO float
235 network in year 2003, the ocean temperature estimates are mainly based on ship-based in-situ
236 expendable bathythermograph (XBT) measurements. The uncertainty due to the choice of XBT
237 bias correction dominates the variability among the different methods (Lyman et al. 2010).

238

239 3. Results

240 We first analyze the storage, uptake and transport of anthropogenic carbon and excess heat
241 separately, and compare the CMIP5 results with observation-based estimates. We discuss the
242 storage first as it is best constrained by observations. Throughout section 3.1 and 3.2, we discuss
243 the CMIP5 results in the context of Figure 1, which summarizes the simulated changes in

244 storage, uptake and transport storage of anthropogenic carbon and excess heat over the historical
245 period.

246

247 *3.1 Anthropogenic carbon*

248 3.1.1 OCEANIC STORAGE OF ANTHROPOGENIC CARBON

249 The CMIP5 models simulate anthropogenic carbon storage of 97 ± 8 Pg C over the historical
250 period from 1870 (represented by mean of period 1861 to 1880) to 1995 (mean of period 1986 to
251 2005) (Table 1, Fig. 1). Storage of anthropogenic carbon is largest in the subtropical gyres,
252 particularly in the Southern Hemisphere (Fig. 1, Figs 2a-b). The Southern Ocean south of 30°S
253 stores 33 ± 6 Pg C. The ocean stores less anthropogenic carbon in the tropics and the least in the
254 high latitudes. The low storage in these regions results from the large transport of anthropogenic
255 carbon out of these regions. The top 700 meters, which account for 20% of the total global ocean
256 volume, store 74% (or 64 ± 3 Pg C; GLODAP area only which excludes coastal regions and
257 several marginal seas, most notably the Arctic, the Caribbean and the Mediterranean Sea) of the
258 total anthropogenic carbon (Fig. 3a). A substantial amount of anthropogenic carbon is also stored
259 below 2000 meters (6% or 5 ± 3 Pg C; GLODAP area only). The well-ventilated deep waters in
260 the Southern Ocean account for 35% of the total anthropogenic carbon below 2000 meters.

261

262 The global simulated anthropogenic carbon storage of 90 ± 7 Pg C (GLODAP area only) is 15%
263 lower than the observation-based estimate of 106 ± 17 Pg C based on the ΔC^* method (black
264 thick line in Figs. 2a-b; Sabine et al. 2004), and also lower than the $94 - 121$ Pg C based on the
265 TTD estimates (red star in Fig. 2b; Waugh et al. 2006), and the 114 ± 22 Pg C based on a Green
266 function approach (green square in Fig. 2b; Khatiwala et al. 2009). Models underestimate the

267 observation-based anthropogenic carbon storage in the top 700 m, mainly in the tropics and
268 subtropics (Figs. 2a-b and Fig. 3a). The underestimation in the subtropics of the Southern
269 Hemisphere originates from the Southern Ocean, where uptake of anthropogenic CO₂ is
270 underestimated (see section 3.1.2). Excluded regions in the GLODAP product account for 7 Pg C
271 (7% of the total simulated anthropogenic CO₂) in the CMIP5 models and for 12 Pg C (10%) in
272 the observation-based estimates of Sabine et al. (2004). The models and the observation-based
273 estimates neglect the potential for increased ocean carbon storage due to carbon uptake of the
274 land being transported into the ocean by river runoff. Regnier et al. (2013) showed that this
275 lateral transport might have caused additional ocean storage of 10 Pg C over the period 1800 to
276 2010. The impact of ocean circulation changes on anthropogenic carbon uptake, which usually
277 neglected in observation-based estimates, is discussed in section 3.1.4

278

279 3.1.2 OCEANIC UPTAKE OF ANTHROPOGENIC CO₂

280 The Southern Ocean south of 30°S accounts for 43 ± 3 % (42 ± 5 Pg C) of the global
281 anthropogenic CO₂ uptake from the atmosphere from 1870 to 1995 while covering only 30% of
282 the global ocean surface area (Fig. 1, Fig. 2c). In the Southern Ocean, the strongly divergent
283 wind-driven flow drives upwelling of deep water with very low anthropogenic CO₂ to the
284 surface. This water has the potential to take up a vast amount of anthropogenic CO₂ when it is
285 exposed to the elevated atmospheric CO₂ in the presence of high windspeeds, which accelerate
286 the uptake. The CMIP5 models also simulate disproportionately large uptake relative to areal
287 coverage in the southern and northern flank of the eastern equatorial Pacific upwelling region,
288 the North Atlantic and the Kuroshio extension (Figs. 2c-d). In contrast to the Southern Ocean,

289 anthropogenic CO₂ uptake at mid latitudes is simulated to be low (Figs. 2c-d). The transfer of
290 anthropogenic carbon into the ocean interior is low at these latitudes.

291
292 The broad spatial patterns of anthropogenic CO₂ uptake are consistent across the CMIP5 models,
293 and the intermodel spread in anthropogenic CO₂ uptake is relatively small. The relatively small
294 intermodel spread may be explained by the fact that the models are forced with the same
295 prescribed atmospheric CO₂ boundary conditions and that the climatological large-scale ocean
296 circulation such as the wind-driven overturning cell in the Southern Ocean ultimately determines
297 the uptake of anthropogenic CO₂ over the historical period as simulated changes in climate,
298 ocean circulation and thus climate-carbon feedbacks are small over the historical period. In
299 addition, most models share similar basic chemistry equations based on the OCMIP-II protocol
300 (Watson et al. 2003).

301
302 Most of the intermodel spread that does exist stems from the Southern Ocean (Fig. 2c), most
303 notably from 45°S to 30°S where mode and intermediate water formation occurs. For example,
304 the CNRM-CM5 model simulates the lowest cumulative anthropogenic CO₂ uptake at 30°S of 32
305 Pg C, whereas the IPSL CM5A-MR models simulates an uptake of 52 Pg C (column 2 in Table
306 1). The maximum in the zonally integrated CO₂ uptake over the Southern Ocean differs by 25° in
307 latitude (65°S to 40°S) among models. In comparison with earlier generation OCMIP-2 and
308 C4MIP models, however, the CMIP5 intermodel spread in anthropogenic CO₂ uptake over the
309 Southern Ocean is significantly reduced (Watson et al. 20003; Friedlingstein et al. 2006, Arora et
310 al. 2013). For example, the OCMIP-2 models simulate maximum anthropogenic carbon uptake
311 ranging from about 1.5 to 4.0 Pg C degree⁻¹ between 65°S and 40°S (Fig. 5.7a in Watson et al.

312 2003), which is much larger than the CMIP5 range of about 1 to 2 Pg C degree⁻¹ over the same
313 latitudinal band (Fig. 2c).

314
315 Internal variability, especially in the Southern Ocean, has to be taken into account when
316 analyzing differences between models (Fig. 4a). Internal variability represents one standard
317 deviation among the six ensemble members. The multi-model spread is calculated as one
318 standard deviation among the CMIP5 models. In the Southern Ocean, internal variability
319 accounts for 48% (averaged from 30°S to 90°S) of the CMIP5 multi-model spread. Internal
320 variability and multi-model uncertainty are generally smaller at low latitudes and in the northern
321 high latitudes, but internal still accounts for 41% (averaged from 30°S to 90°N) of the multi-
322 model spread.

323
324 The overall pattern of anthropogenic CO₂ uptake is in good agreement with estimates from ocean
325 inversions based on anthropogenic oceanic CO₂ reconstructions (Fig. 2c, Mikaloff-Fletcher et al.
326 2006). The inverse estimates show larger uptake in the Southern Ocean between 40°S and 60°S
327 and the equatorial regions between 10°S and 10°N, but smaller uptake in the subtropical gyres.
328 On a global scale, higher ocean inversion carbon uptake can be explained by the fact that the
329 inversion results are based on the ΔC^* anthropogenic carbon storage estimates, which are larger
330 than the simulated CMIP5 anthropogenic carbon storage (see section 3.1.1). On a regional scale,
331 differences may also reflect different transport pathways in the underlying ocean models used in
332 Mikaloff Fletcher et al. (2006). The inverse studies use earlier generation coarse resolution ocean
333 models with known errors in the representation of the Southern Ocean circulation. The errors
334 have been attributed to imprecise formulation of subgrid-scale processes, the representation of

335 transport along isopycnals, and brine rejection due to sea ice formation (Mikaloff Fletcher et al.
336 (2006).
337
338 The CMIP5 models simulate an anthropogenic CO₂ uptake of 1.9 ± 0.2 Pg C yr⁻¹ averaged over
339 the period 1986-2005 (Table 2). This is consistent with the anthropogenic CO₂ flux estimate of
340 1.9 ± 0.6 Pg C yr⁻¹ based on atmospheric O₂/N₂ measurements (Manning and Keeling 2006), and
341 the anthropogenic CO₂ flux estimates of 2.0 ± 0.6 Pg C yr⁻¹, 2.0 ± 0.6 Pg C yr⁻¹, and 2.3 ± 0.6 Pg
342 C yr⁻¹ based on three different methods using surface water pCO₂ measurements (Takahashi et al.
343 2009, Majkut et al. 2014b, Landschützer et al. 2014). The CMIP5 estimate is also in good
344 agreement with the 2.4 ± 0.5 Pg C yr⁻¹ estimate based on 13 OCMIP-2 forward ocean models
345 (Watson et al. 2003), the 1.9 ± 0.3 Pg C yr⁻¹ estimate based on recent hindcast simulations from
346 eight ocean general circulation models (OGCM; Wanninkhof et al. 2013), and the ocean
347 inversion estimate of 2.2 ± 0.3 Pg C yr⁻¹ based on a suite of ten ocean general circulation models
348 (Mikaloff Fletcher et al. 2006). The CMIP5 models as a class tend to underestimate the
349 outgassing in the eastern equatorial Pacific and tend to slightly overestimate the uptake close to
350 the Antarctic Continent (see Appendix B for discussion and Fig. B1). Data uncertainty, however,
351 is particularly large in the southern high latitudes (Majkut et al. 2014a).

352

353 3.1.3. OCEANIC TRANSPORT OF ANTHROPOGENIC CARBON

354 The transport of anthropogenic carbon is calculated as the divergence of the anthropogenic CO₂
355 uptake and the anthropogenic carbon storage. Overall there is a net northward transport of
356 anthropogenic CO₂ throughout the Southern Ocean peaking at about 40°S from 1870 to 1995.
357 The northward anthropogenic carbon transport at 30°S is 10 ± 5 Pg C (Fig. 1a, Fig. 2e). $23 \pm$

358 10% of the 42 ± 5 Pg anthropogenic carbon that enters the Southern Ocean south of 30°S is
359 transported northwards out of the Southern Ocean resulting in a Southern Ocean anthropogenic
360 CO_2 storage of 33 ± 6 Pg C (Fig. 1a). The northward anthropogenic CO_2 transport continues
361 across the equator into the Northern Hemisphere. The CMIP5 models show a northward
362 transport of 4.3 ± 4.6 Pg C across the equator, which is primarily driven by the upper Atlantic
363 Ocean. In the northern hemisphere, the southward transport at mid-latitudes and the northward
364 transport from the equator lead to large storage in the subtropics. The variability between the
365 models, however, is large in the subtropics of the northern hemisphere (Fig. 2e). In the Northern
366 Hemisphere, the models also differ in the direction of the meridional transport.

367
368 The latitudinal distribution of the predominately northward anthropogenic carbon transport
369 simulated by the CMIP5 models is in good agreement with the observation-based estimate (Fig.
370 2e) with large transport in the Southern Ocean and the North Atlantic. The simulated transport of
371 anthropogenic carbon at 30°S of 10 ± 5 Pg C, however, is smaller than the observation-based
372 estimate of about 19 Pg C and the southward cross-equatorial anthropogenic CO_2 transport
373 simulated by a subset of the CMIP5 models is contrast to the observation-based northward cross-
374 equatorial transport estimate. The small simulated anthropogenic carbon transport at 30°S may
375 be associated with the small simulated anthropogenic carbon uptake south of 30°S . Note that the
376 observational-based anthropogenic CO_2 transport is calculated as the divergence of the
377 observational-based anthropogenic CO_2 uptake (Mikaloff-Fletcher et al. 2006) and the
378 anthropogenic CO_2 storage (Sabine et al. 2004), which both have uncertainties.

379

380 3.1.4. SENSITIVITY OF OCEANIC CO₂ UPTAKE TO CLIMATE VARIABILITY AND
381 CHANGE

382 The global oceanic CO₂ uptake has been largely unaffected by climate variability and change
383 over the historical period (Fig. 5). Note that only 4 models provide simulations where
384 atmospheric radiation experiences constant preindustrial forcing while the ocean carbon
385 component experiences increasing atmospheric CO₂. The largest reduction of 5 Pg C over the
386 period 1870 to 1995 is simulated by the GFDL ESM2M and the MIROC-ESM models, which
387 accounts for 5% in GFDL ESM2M and 6% in MIROC-ESM of the total anthropogenic CO₂
388 uptake over the same period. A substantial fraction of this reduction (e.g. 54% for GFDL-
389 ESM2M) is simulated in the Southern Ocean during the last thirty years of the simulation.
390 Changes north of 30°N are small in all models. Climate variability and change have reduced the
391 global anthropogenic CO₂ uptake from 2.1 ± 0.1 Pg C yr⁻¹ to 2.0 ± 0.1 Pg C yr⁻¹ over the period
392 1986 to 2005 (Table 2).

393
394 The IPSL CM5A-LR model shows an enhanced carbon uptake in response to climate variability
395 and change over the historical period. The model simulates a large sudden increase in Southern
396 Ocean carbon uptake in the 1940s in the simulation where atmospheric radiation experiences
397 constant preindustrial forcing possibly reflecting natural internal variability, but further
398 investigation is needed.

399
400 The very small simulated effect of climate variability and change on the carbon uptake in the
401 CMIP5 models on the order of 5 Pg C suggests that the underestimation of about 16 Pg C in
402 global anthropogenic CO₂ storage in the CMIP5 models in comparison with data-based estimates

403 using the ΔC^* method (Sabine et al. 2004) cannot be explained by the fact that the data-based
404 estimates assume a steady-state ocean and thus do not include by design any climate-related
405 changes in carbon uptake.

406

407 *3.2. Excess heat*

408 In this section, we focus on the excess heat component, i.e. the change in ocean heat storage,
409 uptake and transport since preindustrial times. A positive value implies a heat flux into the
410 ocean.

411

412 3.2.1 OCEANIC STORAGE OF EXCESS HEAT

413 The CMIP5 models simulate global ocean heat storage change of $28 \pm 20 \cdot 10^{22}$ J over the
414 historical period from 1870 (represented by mean of period 1861 to 1880) to 1995 (mean of
415 period 1986 to 2005) (Fig. 6, Table 1). Regionally, changes in ocean heat storage are dominated
416 by the Southern Ocean with a maximum at around 45° S and the low latitudes of the Northern
417 Hemisphere with a maximum at around 15° N (Fig. 6b). The Southern Ocean south of 30° S stores
418 $12 \pm 7 \cdot 10^{22}$ J. Changes in ocean heat storage north of 30° N are small ($4 \pm 3 \cdot 10^{22}$ J). 61% ($17 \pm$
419 $13 \cdot 10^{22}$ J) of the global ocean heat storage is stored in the top 700 meters in the CMIP5 models,
420 and 18% ($5 \pm 5 \cdot 10^{22}$ J) below 2000 meters (Fig. 3b). Of this 18%, 31% ($2 \pm 4 \cdot 10^{22}$ J) is stored
421 in the Southern Ocean below 2000 meters. Thus, the deep Southern Ocean below 2000 meters
422 has warmed on average by about $0.03 \pm 0.03^\circ$ C and accounts for about 6% of the total ocean heat
423 storage changes over the historical period.

424

425 In contrast to simulated changes in global anthropogenic carbon storage, intermodel differences
426 in simulated global ocean heat storage changes are very large ($\pm 8\%$ for carbon vs $\pm 71\%$ for heat;
427 Fig. 6a, Fig. 6b, Table 2). The models also differ on sign of ocean heat storage changes. The
428 HadGEM-CC model ($- 2 \cdot 10^{22} \text{J}$) and the GFDL-CM3 model ($- 25 \cdot 10^{22} \text{J}$), for example, suggest a
429 cooling over the historical period, inconsistent with recent observation-based estimates (Levitus
430 et al. 2009). It has been shown by Zhang et al. (2013) that both models likely overestimate the
431 strength of the aerosol indirect effects upon cloud properties, resulting in an overly negative
432 radiative forcing over the historical period that counteracts the greenhouse gas induced positive
433 radiative forcing. In addition, the preindustrial control simulations from most of the CMIP5
434 models used in this study, including HadGEM-CC and GFDL CM3, do not include explosive
435 volcanic eruptions. It has been shown that climate models without preindustrial volcanic forcing
436 underestimate ocean heat uptake over the historical period (e.g. Frölicher et al. 2011, Gregory et
437 al. 2013). The negative ocean heat storage anomaly in the HadGEM-CC and GFDL CM3 models
438 might therefore be caused by a combination of very strong aerosol effects and the omission of
439 episodic explosive volcanic eruptions in the preindustrial control simulation.

440
441 The individual CMIP5 models are able to reproduce the observed changes in upper ocean heat
442 storage from 1960 to 2005, including the variations imposed by volcanic eruptions such as
443 Agung in year 1963, El Chichón in year 1982 and Pinatubo in year 1991 (Fig. 7). The simulated
444 linear trend of the CMIP5 multi-model mean of $0.37 \pm 0.22 \cdot 10^{22} \text{J/yr}$ is within the range spanned
445 by the observation-based estimates of $0.18 \cdot 10^{22} \text{J/yr}$ (Levitus et al. 2009), $0.20 \cdot 10^{22} \text{J/yr}$ (Ishii et
446 al. 2009), $0.25 \cdot 10^{22} \text{J/yr}$ (Palmer et al. 2007) and $0.40 \cdot 10^{22} \text{J/yr}$ (Domingues et al. 2008; trend over
447 period 1960 to 2002).

448

449 3.2.2 OCEANIC UPTAKE OF EXCESS HEAT

450 The Southern Ocean plays a pivotal role for excess heat uptake: $75 \pm 22\%$ ($23 \pm 9 \cdot 10^{22} \text{J}$) of the
451 total ocean heat uptake over the historical period from 1870 to 1995 enters the Southern Ocean
452 south of 30°S by a reduction in ocean to atmosphere heat flux (Fig. 1b, Figs. 6c-d). Changes in
453 the surface heat flux are highly variable with respect to latitude (some areas even loose heat) and
454 have a maximum over the circumpolar ocean between 45°S to 65°S (Fig. 6c). South of 30°S , the
455 excess heat uptake of $23 \pm 9 \cdot 10^{22} \text{J}$ is larger than the top-of-atmosphere energy imbalance of $15 \pm$
456 $7 \cdot 10^{22} \text{J}$, because of a poleward atmospheric heat transport of $8 \pm 9 \cdot 10^{22} \text{J}$ in the mid-latitudes of
457 the Southern Hemisphere (Fig. 1b).

458

459 The main reason for the large uptake of excess heat by the Southern Ocean is the wind-driven
460 upwelling of cold deep waters to the surface and the northward transport and subduction of the
461 heated water masses into the ocean interior. The upwelling nearly anchors sea surface
462 temperatures at pre-industrial over the historical period between 45°S and 65°S . As a result, the
463 circumpolar ocean exhibits only small change in the longwave energy leaving the surface (Fig.
464 8d). This lack of sea surface temperature warming also leads to a stronger coupling between the
465 sea surface temperature and the two-meter atmospheric temperature and a reduced loss of
466 sensible heat from the surface (Fig. 8e). The reflected shortwave energy (Fig. 8b) also decreases
467 over the Southern Ocean possibly related to sea ice loss and cloud cover increase.

468

469 The variability between the models in ocean heat uptake over the historical period is large (Figs.
470 6c-d). For example, the GFDL ESM2M (light blue lines with crosses in Figs. 6c-d) takes up 34%

471 of the heat in the Southern Ocean, whereas the NorESM1-ME uptakes 117% in the Southern
472 Ocean (green lines with crosses in Fig. 6a and Fig. 6b), implying a net release of excess heat by
473 the remaining world oceans. Individual surface heat flux terms show an even larger spread
474 amongst the models (Fig. 8, Table 1), suggesting a lack of consensus over how the surface
475 energy budget has been altered since pre-industrial times and which processes (e.g. cloud
476 feedback processes, aerosol indirect effect, etc) are driving the changes. However, the general
477 picture associated with a warming lower atmosphere prevails, i.e. the increase in surface upward
478 longwave radiation associated with warming SSTs and increased downward longwave radiation
479 associated with both increased greenhouse gases and atmospheric temperature. Interestingly, the
480 CMIP5 models as a class suggest no significant change in global precipitation over the historical
481 period (i.e. change of $2 \pm 62 \cdot 10^{22}$ J in latent heat flux, Fig. 8f) despite the increase in global
482 mean surface temperature. A recent study uses idealized model runs in which only atmospheric
483 CO₂ is prescribed to increase to show that CMIP5 models on average simulate an increase in
484 latent heat flux as the global mean surface temperature increases (Pendergrass and Hartmann
485 2014). This suggests that changes in the atmospheric energy budget driven by non-CO₂ radiative
486 forcing agents are most likely responsible for the lack of latent heat increase seen for the CMIP5
487 models over the 1861-2005 period.

488
489 The largest internal variability in excess heat uptake is simulated in the Southern Ocean south of
490 30°S (Fig. 4b). There, simulated internal variability (estimated as one standard deviation between
491 the ensemble members) accounts for 74% (averaged from 30°S to 90°S) of the CMIP5 multi-
492 model spread (estimated as one standard deviation between the CMIP5 models) in zonal
493 integrated cumulative heat uptake. Similar as for anthropogenic carbon, internal variability can

494 substantially contribute to the spread in ocean heat uptake in the CMIP5 models and has to be
495 taken into account when analyzing differences in ocean heat uptake between multiple models
496 and when attributing observation-based trends in ocean heat uptake to anthropogenic forcing.

497

498 3.2.3 OCEANIC TRANSPORT OF EXCESS HEAT

499 The CMIP5 models consistently simulate a weakening of the zonal integrated poleward heat
500 transport at most latitudes in the Southern Hemisphere, causing a redistribution of heat from the
501 Circumpolar Ocean at high southern latitudes to the low latitudes from 1870 to 1995 (Fig. 1b,
502 Fig. 6e). $48 \pm 22\%$ of the excess heat that enters the Southern Ocean south of 30°S is transported
503 out of 30°S into the low latitudes. The CMIP5 models simulate a northward excess heat transport
504 across the equator of $8 \pm 10 \cdot 10^{22}\text{J}$ and a northward excess heat transport of $3 \pm 13 \cdot 10^{22}\text{J}$ at 30°N .
505 There is substantial variability between the models in the low latitudes of the Northern
506 Hemisphere and the Southern Ocean south of 30°S . In particular the GFDL CM3 and
507 HadGEM2-CC models have a large northward excess heat transport across the equator and north
508 of 30°N . This is because of the highly asymmetrical radiative forcing patterns in these models
509 resulting from very large aerosol-induced negative surface forcing in the Northern Hemisphere
510 (see section 3.2.1).

511

512 *3.3. Comparing oceanic uptake and storage of anthropogenic carbon and heat*

513 Next, we analyze the spatial relationship between changes in oceanic uptake and storage of
514 anthropogenic CO_2 and heat from 1861 to 2005 (Fig. 9).

515

516 Anthropogenic CO₂ and heat storage show a common broad-scale pattern of change (Figs. 9a-d).
517 High levels of anthropogenic CO₂ and heat storage are simulated in the thermocline at mid-to-
518 high latitudes in the Southern Hemisphere in all ocean basins, the North Atlantic and the
519 subtropical North Pacific (Figs. 9a-d). Low storage of anthropogenic CO₂ and heat is simulated
520 in the equatorial Pacific and Indian Ocean (Figs. 9a-b). The vertical distributions of
521 anthropogenic CO₂ and heat storage show that both have their maximum in the upper few
522 hundred meters, except in deep water formation regions such as the Southern Ocean and North
523 Atlantic where anthropogenic CO₂ and heat penetrate below 700 meters depth (Figs 9c-d).
524
525 On a regional to local scale, the anthropogenic CO₂ storage differs largely from the excess heat
526 storage. The ocean heat storage is overall more structured than the anthropogenic CO₂ storage
527 (Fig. 9a-d). Large ocean heat storage is simulated between 60°S and 50°S in the Indian sector of
528 the Southern Ocean and off the coast of Argentina (Fig. 9b), where heat penetrates to greater
529 depths (Fig. 9d). The anthropogenic CO₂ storage pattern in the Southern Ocean is much smoother
530 than the heat storage pattern (Fig. 9a) and anthropogenic CO₂ penetrates to greater depths in a
531 relatively wide latitudinal band between 60°S and 30°S (Fig. 9c). In the low latitudes, the heat
532 storage is restricted to the upper few hundred meters (Fig. 9d), whereas relatively high
533 anthropogenic CO₂ storage is simulated below that. The western subtropical South Pacific at
534 around 250 meters depths and parts of the Southern Ocean, the North Atlantic and the North
535 Pacific even cool (Fig. 9b). In contrast, the anthropogenic CO₂ changes are generally positive
536 (Fig. 9a,b).

537

538 The resemblance of the broad-scale anthropogenic CO₂ pattern to the excess heat pattern is less
539 strong for uptake than for storage (Figs. 9e-f). In general, the anthropogenic CO₂ and heat uptake
540 is large in the Southern Ocean and the North Atlantic, but low in the subtropical gyres. The
541 anthropogenic CO₂ uptake pattern appears to be much smoother than heat uptake pattern. For
542 example, the excess heat uptake in the Southern Ocean is very localized in contrast to the large-
543 scale anthropogenic CO₂ uptake. The ocean loses heat in a number of regions, not simulated for
544 anthropogenic CO₂. The differences between anthropogenic CO₂ and heat can further be
545 exemplified by comparing uptake, transport and storage in concert (Fig. 1). The northward
546 transport of anthropogenic CO₂ out of the Southern Ocean accounts for $23 \pm 10\%$ of the
547 Southern Ocean anthropogenic CO₂ uptake, indicating that about three-quarters gets trapped in
548 the Southern Ocean. In contrast, $48 \pm 22\%$ of the Southern Ocean excess heat uptake is
549 transported northward and only about half of the excess heat uptake is stored in the Southern
550 Ocean.

551

552 4. Discussion and Conclusions

553 We assess uptake, transport, and storage of anthropogenic carbon and heat over the period 1861
554 to 2005 as simulated by the CMIP5 models. One of the key results from this analysis is that the
555 Southern Ocean south of 30°S dominates the modeled anthropogenic CO₂ and heat uptake. As is
556 evident from Figure 1, the Southern Ocean takes up $43 \pm 3\%$ of the total anthropogenic CO₂ and
557 $75 \pm 22\%$ of the heat; it covers only 30% of the total surface area. The CMIP5 models confirm
558 earlier studies which suggest that the Southern Ocean plays a central role in slowing the rate of
559 global warming through the uptake of anthropogenic CO₂ and heat (Manabe et al. 1991,
560 Sarmiento et al. 1998, Caldeira et al. 2000, Orr et al. 2001). In addition, large-scale patterns, such

561 as the high anthropogenic CO₂ and heat uptake by the Southern Ocean, and also the large storage
562 of anthropogenic CO₂ there, are robust between the models (i.e. the models agree on sign of
563 changes).

564
565 The main reason for the Southern Ocean dominance in anthropogenic CO₂ and heat uptake is its
566 distinct dynamical regime. The Southern Ocean provides the primary return pathway for deep
567 waters to the surface and returns the waters back into the ocean interior predominantly north of
568 the upwelling branch (Toggweiler and Samuels 1995; Marshall and Speer 2012). The upwelling
569 continually exposes this cold and mostly anthropogenic carbon-free water to the now warmer
570 and carbon-rich atmosphere, allowing for uptake of additional heat and carbon as the waters
571 flow northward in the surface Ekman layer.

572
573 However, the regional anthropogenic CO₂ and heat uptake and storage patterns show large
574 differences suggesting that different mechanisms are important. For example, the northward
575 transport of anthropogenic CO₂ out of the Southern Ocean accounts for $23 \pm 10\%$ of the
576 Southern Ocean anthropogenic CO₂ uptake, while a higher fraction of $48 \pm 22\%$ of the Southern
577 Ocean excess heat uptake is transported northward (Fig. 1). Banks and Gregory (2006) and Xie
578 and Vallis (2012) use passive tracer techniques within coupled climate model simulations to
579 show that the redistribution of the existing heat reservoir due to changes in ocean circulation and
580 mixing plays an important role in shaping the excess heat uptake and storage pattern. Winton et
581 al. (2013) fixed the ocean circulation in transient warming simulations with a fully coupled
582 carbon cycle-climate model to show that ocean circulation changes have, in contrast to heat, a
583 modest impact on the anthropogenic CO₂ uptake and storage pattern. Specifically, Winton et al.

584 show that a weakening of the Atlantic Meridional Overturning circulation diminishes poleward
585 heat transport into the North Atlantic providing a cooling tendency at the ocean surface and
586 enhanced ocean heat uptake. In the Southern Ocean, a reduction of deep convection with global
587 warming causes heat to accumulate beneath the surface (Winton et al. 2013). In addition, regions
588 of reduced warming are simulated near the equator at several hundred meters depth when
589 circulation changes. All features are not simulated for anthropogenic CO₂ (Winton et al. 2013)

590

591 Do the CMIP5 models simulate similar patterns, which would point to an important role of ocean
592 circulation changes in explaining the differences between anthropogenic CO₂ and heat uptake?

593 Yes and no. In the North Atlantic, the CMIP5 models simulate both high anthropogenic CO₂
594 storage (Fig. 9a) and negative ocean heat storage (Fig. 9b) consistent with the Winton et al.

595 (2013) results. Because the changes of the Atlantic Meridional Overturning circulation in
596 response to global warming and the associated redistribution of the existing heat reservoir largely

597 differ between the CMIP5 models (Cheng et al. 2013), differences in the representations of the
598 Atlantic Meridional Overturning Circulation may also partly explain the differences in ocean

599 heat uptake and storage among the models, at least in the North Atlantic. In the Southern Ocean,
600 the CMIP5 models simulate lobes of deep ocean heat storage in a relatively narrow band (Fig.

601 9d), not simulated for anthropogenic CO₂. Winton et al. (2013) show that these features appear
602 only when ocean circulation changes redistribute the existing heat reservoir (c.f. Figure 3 in

603 Winton et al. 2013). However, in contrast to Winton et al. (2013), no enhanced ocean warming at
604 subsurface is simulated in the CMIP5 models. The absence of this subsurface warming signal

605 may simply reflect the fact that the simulated changes in Southern Ocean circulation and

606 ventilation are small over the historical period. Winton et al. (2013) analyzed changes after a

607 doubling of CO₂ and thus changes in ocean circulation are much larger. If the redistribution of
608 the preexisting heat content due to changes in ocean circulation is the primary driver for the
609 excess heat uptake and storage pattern (Winton et al. 2013), biases in the base state of the models
610 as well as differences in ocean circulation changes may explain part of the differences in regional
611 excess heat uptake and storage patterns between the models. Differences in the uptake kinetics
612 (CO₂ is subject to solubility and carbon chemistry), differences in the air-sea equilibration
613 timescale (nine months for CO₂; less than a month for heat), and differences in the atmospheric
614 boundary conditions (spatially uniform and exponentially increasing for CO₂; spatially and
615 temporal variable radiative forcing for heat) are further possible mechanisms that may cause
616 differences between anthropogenic CO₂ and heat uptake and storage patterns. Which processes
617 ultimately determine the differences in uptake of anthropogenic carbon and heat remains to be
618 investigated with idealized eddy-resolving model simulations using passive heat tracers.

619

620 Our comparison with observation-based estimates shows that the CMIP5 models as a class tend
621 to underestimate the uptake of anthropogenic CO₂ over the historical period, mainly in the
622 Southern Ocean and the equatorial Pacific. This raises concerns that the CMIP5 models may also
623 underestimate future uptake of anthropogenic CO₂, which would lead to an overestimation of
624 carbon-climate feedbacks. What are potential causes for the relatively small anthropogenic CO₂
625 uptake in the CMIP5 models? Deficiencies in the underlying climatological Southern Ocean
626 circulation of the models may explain part of the discrepancies. A large fraction of the
627 anthropogenic CO₂ uptake accumulates in the Subantarctic mode water and Antarctic
628 intermediate water and flows into other ocean basins (Sabine et al. 2004). These water masses
629 are generally poorly represented in the CMIP5 models (Sallée et al. 2013b, Mejjier 2014). The

630 characteristics of mode and intermediate water appear to be tightly linked to the characteristics of
631 simulated winter mixed layer depths (Sallée et al. 2013b). Figure 10 shows that the CMIP5
632 models as a class underestimate the winter mixed layer depth in the Southern Ocean, mainly in
633 the Indian Ocean sector and the Pacific Ocean sector, where the winter mixed layers are also too
634 far equatorward. This shallow bias and equatorward shift may cause too large formation of
635 subtropical mode waters rather than formation of subantarctic mode waters, which is
636 subsequently penetrated less deeply and at lighter water mass classes (Sallée et al. 2013b). Sallée
637 et al. (2013a) showed that this shallow mixed layer bias is likely associated with an excess
638 freshwater input at the sea surface that overstratifies the surface layer and prevents deep ocean
639 convection from developing in the winter. However, biases in the representation of the oceanic
640 buffer capacity and biases in the observation-based estimates of anthropogenic carbon may also
641 contribute to the model-data differences, although the former has not yet been investigated in
642 depth in the CMIP5 models. The ΔC^* method may have a positive bias of about 7% in the global
643 anthropogenic carbon estimate (Matsumoto et al. 2005), and the TTD method largely
644 overestimates the deep Southern Ocean anthropogenic carbon storage (Waugh et al. 2006).
645 Gerber et al. (2009) show that using different anthropogenic carbon storage estimates for the
646 inversion could result in Southern Ocean anthropogenic CO₂ flux estimates that differ by a factor
647 of two. However, Gerber et al. (2009) also included anthropogenic carbon estimates from
648 methods with well-known deficiencies, such as the TrOCA method (Yool et al. 2010), that were
649 not used in this study. In addition, observation-based estimates assume a steady-state ocean. The
650 effect of changes in ocean circulation on anthropogenic carbon uptake over the historical period
651 as simulated by the CMIP5 models, however, is ± 5 Pg C and thus much smaller than the
652 difference between the models and the observation-based estimates.

653

654 Interestingly, the simulated changes in global ocean heat content are in good agreement with
655 observation-based estimates over the period 1960 to 2005 despite the fact that the simulated
656 anthropogenic CO₂ uptake is underestimated. This may again point towards different
657 mechanisms controlling ocean carbon and heat uptake. However, uncertainties in the simulated
658 radiative forcing strength, particularly from non-CO₂ radiative forcing agents, may also play a
659 role here.

660

661 Recent analysis indicates a stalling of the Southern Ocean CO₂ sink despite an increase in
662 atmospheric CO₂ over recent decades (Le Quéré et al. 2007; note that other atmospheric
663 inversion studies questioned the evidence for a reduced efficiency (e.g. Law et al. 2008)).
664 Follow-up studies attribute the stalling to an enhanced outgassing of natural carbon over recent
665 decades driven by an acceleration of the Southern Ocean overturning linked to poleward
666 intensified westerlies (e.g. Lovenduski et al. 2008). By analyzing chlorofluorocarbons, Waugh et
667 al. (2013) show that mode waters are indeed getting younger and Circumpolar Deep Waters are
668 getting older consistent with the idea of an intensifying Southern Ocean overturning. In light of
669 these results, one might also expect an outgassing of natural CO₂ in the CMIP5 models with
670 climate change since almost all CMIP5 models simulate a poleward shift and intensification of
671 the Southern Hemisphere westerlies over the historical period (Bracegirdle et al. 2013).
672 However, the CMIP5 models as a class simulate a very small effect of climate change on the net
673 carbon uptake over the historical period on the order of ± 5 Pg C. This is consistent with offline
674 global ocean biogeochemical models forced by atmospheric fields from reanalysis products,
675 which simulate changes in the natural carbon over the historical period of about ± 5 Pg C (Le

676 Quéré et al. 2010, Majkut et al. 2014a). In any case, the weakening of the net Southern Ocean
677 CO₂ sink as suggested by recent studies is small and may be difficult to reproduce in the CMIP5
678 models given the relatively large simulated decadal-scale variability in CO₂ uptake by the
679 Southern Ocean.

680
681 Current CMIP5 models are unable to resolve mesoscale eddies that may play a major role in how
682 the Southern Ocean responds to changes in climate forcing. A number of studies using eddy-
683 permitting ocean models show that the Southern Ocean meridional overturning circulation may
684 be less sensitive to changes in wind stress than simulated with coarse-resolution models because
685 of a stronger southward eddy-driven overturning compensation (e.g. Hallberg and Gnanadesikan
686 2006, Farneti et al. 2010, Meredith et al. 2012; Dufour et al. 2012, Morrison and Hogg 2013).
687 Morrison and Hogg (2013) use eddy-resolving ocean model configurations (1/16° resolution) to
688 show that a doubling of wind stress results in a 70% increase of the overturning, less than
689 simulated with coarse-resolution models. A reduced sensitivity of the overturning may therefore
690 result in an overall reduced sensitivity of the natural carbon cycle to changes in wind stress, as
691 has been recently shown in an eddy-permitting model (Munday et al. 2014). In other words,
692 coarse-resolution CMIP5 models may overestimate the natural carbon cycle response to past and
693 future changes in wind stress. Next-generation high-resolution Earth System Models will
694 hopefully improve our understanding of the role of eddies for carbon and heat uptake by the
695 Southern Ocean. s

696
697 We show that currently about 6% of the anthropogenic carbon and about 19% of the excess heat
698 is stored below 2000 meters depths, with the largest part (2% of global total anthropogenic

699 carbon and 6% of global total excess heat) located in the deep Southern Ocean south of 30°S.
700 The CMIP5 results are qualitatively in line with observation studies, which suggest that the deep
701 ocean, often omitted in heat and sea level rise budgets due to inadequacy of data records, plays
702 an important role for the Earth's energy budget, and for our understanding of past and current
703 climate change (Purkey and Johnson 2012). However, year-round ocean temperature data are
704 currently obtained from profiling floats, which are restricted to the upper 2000 meters of the
705 ocean and are thus not able to sample the entire ocean depth. As a result, there are not sufficient
706 data to close the energy budget of the Earth (Trenberth et al. 2010b) and to establish an
707 observation-based relationship between the causes of the recent hiatus in global mean surface
708 temperature (only a small global mean atmospheric surface temperature trend over the period
709 1998 to 2012) and deep ocean heat uptake (e.g. Meehl et al. 2011). Model data are often used to
710 close this gap. This leads to the interesting question if the deep ocean heat storage as simulated
711 by the CMIP5 models would be sufficient to explain the recent hiatus. Over the last 15 years of
712 the historical simulation (1991 to 2005), the CMIP5 models store $8.3 \pm 3.8 \cdot 10^{22}$ J in the top 700
713 meters, consistent with observation-based estimates (Fig. 7), $6.0 \pm 4.1 \cdot 10^{22}$ J below 700 meters,
714 and $2.8 \pm 3.2 \cdot 10^{22}$ J below 2000 meters. We estimate that the anomalous cooling of about 0.1°C
715 in ΔT over the recent 15-yr hiatus period resulted in an approximately anomalous energy
716 imbalance ΔF of $2.7 \cdot 10^{22}$ J ($\Delta F = \lambda \cdot \Delta T$) when using the mean climate feedback factor
717 λ of $1.1 \text{ W m}^{-2} \text{ }^\circ\text{C}^{-1}$ from the CMIP5 models (Forster et al. 2013). If we assume that this
718 extra energy of $2.7 \cdot 10^{22}$ J got stored in the deep ocean below 700 meters or even below 2000
719 meters (e.g. Meehl et al. 2011), this would imply that the CMIP5 models would have to store an
720 additional 45% of heat below 700 meters or an additional 96% of heat below 2000 meters.

721 Therefore, for the hiatus to be solely explainable by deep ocean storage would require a
722 substantial perturbation to ocean heat uptake below 700 meters.

723

724 The data scarcity also applies to ocean biogeochemical properties. Estimates of changes in deep
725 ocean biogeochemical properties rely mainly on data from a sparse set of recent ship
726 observations or on model data, and thus long-term changes in biogeochemistry in the deep
727 Southern Ocean are currently unknown. The fact that the CMIP5 multi-model spread in deep
728 ocean anthropogenic CO₂ storage of ± 3 Pg C and excess heat storage of $\pm 5 \cdot 10^{22}$ J is similar in
729 magnitude as the multi-model mean of 5 Pg C and $5 \cdot 10^{22}$ J, respectively, highlights the need for
730 new deep ocean measurement data to better constrain the model and ultimately the Earth energy
731 (and carbon) budget.

732

733 We conclude that the Southern Ocean south of 30°S accounts for $75\% \pm 22\%$ of the global
734 excess heat uptake and $43 \pm 3\%$ of the global anthropogenic CO₂ uptake over the period 1861 to
735 2005. The large intermodel variability in the Southern Ocean in the CMIP5 models, although
736 reduced compared to earlier-generation climate models, also indicates that the exact processes
737 governing the magnitude and regional distribution of heat and carbon uptake remain poorly
738 understood. Better understanding of Southern Ocean processes are urgently needed to pin down
739 one of the greatest sources of uncertainties in predictions of the fate of anthropogenic carbon and
740 of the climate.

741

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750

751

752 APPENDIX A: Model drifts

753 In this appendix, we provide more details about drift in the CMIP5 models. In this study, we
754 account for drifts in the preindustrial control simulations by calculating the climate deltas of the
755 control simulation (e.g. $\Delta_{\text{control}} = \Delta_{\text{control},1995\text{s}} - \Delta_{\text{control},1870\text{s}}$) and the historical simulation (e.g.
756 $\Delta_{\text{historical}} = \Delta_{\text{historical},1995\text{s}} - \Delta_{\text{historical},1870\text{s}}$), and then the total delta as the differences between the
757 control deltas and the historical deltas ($\Delta = \Delta_{\text{control}} - \Delta_{\text{historical}}$). Most models exhibit global
758 ocean heat storage drifts smaller the magnitude of forced climate change (Fig. A1a). However,
759 drifts in the preindustrial heat storage in GFDL CM3, MIROC-ESM-CHEM and MIROC_ESM
760 are equally large as their respective transient ocean heat storage anomalies. Overall, the control
761 drift in global integrated DIC is smaller than the drift in the ocean heat storage and accounts in
762 all but the IPSL-CM5A-LR for less than 20% of the DIC changes over the historical period (Fig.
763 A1b). In general, drift errors become increasingly important at regional scale. The drift in the
764 models is largest in the abyssal ocean whereas the signal of the historical simulation is mostly

765 concentrated in the top few hundred meters. This is consistent with the results of Sen Gupta et al.
766 (2013) who pointed out that the drift in ocean heat and carbon storage may dominate any forced
767 changed in the deep ocean. Reasons for drifts are manifold. Incomplete spinup of the climate
768 models can cause drifts in preindustrial control simulations. Unphysical sources and sinks within
769 climate models may also lead to spurious drifts.

770

771 APPENDIX B: Model evaluations

772 Here, we briefly discuss the skill of the different CMIP5 models in representing spatial and
773 temporal variability of present-day air-sea CO₂ fluxes and net air-sea heat fluxes (Figs. B1-2).
774 Further details about individual model performances are shown elsewhere (see individual
775 references in Table 1).

776

777 The large-scale patterns of air-sea CO₂ fluxes are well represented in the CMIP5 models, with
778 uptake simulated in the northern mid and high latitudes and the southern midlatitudes, and
779 release simulated in the tropics and parts of the Southern Ocean (Fig. B1a). The primary CMIP5
780 multi-model mean bias patterns (stippling in Fig B1a) include the smaller outgassing close to the
781 Antarctic continent and smaller outgassing in the eastern equatorial Pacific. The CMIP5 models
782 as a class show similar biases in the Southern Ocean (triangles in Fig. B2a) and the Global Ocean
783 (circles in Fig. B2a) with correlation coefficients ranging from 0.4 to 0.7. Note that in the
784 Southern Ocean, the CMIP5 models agree better with the Landschützer et al. (2014) air-sea CO₂
785 fluxes than with the Takahashi et al. (2009) climatology (not shown). The Landschützer et al.
786 (2014) product covers the period 1998 to 2011 and thus includes much more data from the
787 Southern Ocean than the Takahashi et al. (2009) climatology. The observation-based CO₂

788 outgassing in the eastern equatorial Pacific may be particularly strong because of predominately
789 La Nina conditions since the beginning of the 21st century, as the eastern equatorial CO₂
790 outgassing tends be stronger during La Nina conditions. The CMIP5 models simulate their own
791 natural variability and may thus be partly out-of-phase with the observed climate variability.

792
793 The CMIP5 models reasonable represent the net heat flux pattern (Fig. B1b). Correlations
794 coefficients are about 0.6-0.7 for the global ocean and 0.4-0.7 for the Southern Ocean (Fig. B2b).
795 The patchy stippling in Figure B1 indicates that no systematic large-scale deviations are
796 modeled. Note, however that reanalysis products share similar biases as the models, especially
797 over the Southern Ocean (Trenberth et al. 2010a). This makes a clean comparison of model data
798 with reanalysis products difficult.

799

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988

589 **Figures**

990 Figure 1: Summary of CMIP5 multi-model mean changes in (a) anthropogenic carbon and (b)
991 excess heat between 1870 (represented by mean of period 1861 to 1880) and 1995 (represented
992 by mean of period 1986 to 2005). Uncertainties represent one standard deviation between the
993 models. The atmospheric transport of heat is the divergence of top-of-atmosphere fluxes and
994 surface ocean fluxes, and the ocean transport of heat and carbon is the divergence of surface
995 ocean fluxes and ocean storage. The accumulation of 157 Pg C in the atmosphere, which
996 corresponds to an atmospheric CO₂ increase from 286 ppm in year 1861 to 379 ppm in year
997 2005, is prescribed in all models. Note that the heat and carbon fluxes into the ocean are not
998 equal to their respective storage terms (imbalance of -2 ± 4 for heat and 2 ± 4 for carbon) due to
999 non-mass conserving regridding and neglecting of small terms such as changes in dissolved
1000 organic carbon and changes in heat storage due to friction.

1001

1002 Figure 2: Changes in oceanic storage, uptake and transport of anthropogenic carbon between
1003 1870 (represented by mean of period 1861 to 1880) and 1995 (represented by mean of period
1004 1986 to 2005) simulated by 12 CMIP5 models. (a) Zonal integrated oceanic anthropogenic
1005 carbon storage, (b) zonal integrated oceanic anthropogenic carbon storage integrated from 90°S
1006 to 90°N such that the vertical scale goes from 0 at 90°S to the total storage at 90°N, (c) zonal
1007 integrated cumulative ocean anthropogenic CO₂ uptake, (d) zonal integrated cumulative ocean
1008 anthropogenic CO₂ uptake integrated from 90°S to 90°N such that the vertical scale goes from 0
1009 at 90°S to the total uptake at 90°N, and (e) northward oceanic anthropogenic carbon transport.
1010 The transport of anthropogenic carbon is the divergence of the anthropogenic CO₂ uptake and the
1011 anthropogenic carbon storage. The observation-based estimate of oceanic anthropogenic carbon

1012 transport is the divergence of the anthropogenic carbon flux estimates of Mikaloff Fletcher et al.
1013 (2006) and the anthropogenic carbon storage estimates of Sabine et al. (2004). Anthropogenic
1014 carbon storage in (a) and (b) is given for the GLODAP dataset area only, which does not cover
1015 coastal regions and several marginal seas, most notably the Arctic, the Caribbean and the
1016 Mediterranean Sea. Excluded regions from the GLODAP area account for 7% and 10% of the
1017 total anthropogenic carbon storage in the CMIP5 models and the observation-based estimates,
1018 respectively (Table 1). Note that this has no impact when comparing results for the Southern
1019 Ocean (south of 30°S). Observation-based estimates are normalized to year 1994. Weighted
1020 mean estimates of inversion-based anthropogenic air-sea CO₂ fluxes are shown in (c) and (d).

1021

1022 Figure 3: CMIP5 multi-model mean changes in oceanic (a) anthropogenic carbon and (b) heat
1023 storage between 1870 (represented by mean of period 1861 to 1880) and 1995 (represented by
1024 mean of period 1986 to 2005) integrated over different depth levels (0-700m, 700-2000m and
1025 2000-bottom) and regions (global and Southern Ocean south of 30°S). Vertical black lines
1026 indicate one standard deviation among the CMIP5 models. Observed estimates of anthropogenic
1027 carbon are based on the ΔC^* method (Sabine et al. 2004) and the TTD method (Waugh et al.
1028 2006), and are normalized to year 1994. Note that the anthropogenic carbon estimates based on
1029 the TTD method are biased high, especially in the Southern Ocean, due to the assumption of
1030 constant air-sea CO₂ disequilibrium in this method (Waugh et al. 2006).

1031

1032 Figure 4: The total uncertainty in CMIP5 zonal integrated changes in cumulative oceanic (a)
1033 anthropogenic CO₂ uptake and (b) excess heat uptake between 1870 (represented by mean of
1034 period 1861 to 1880) and 1995 (represented by mean of period 1986 to 2005), separated into its

1035 two components: internal variability (orange; stemming from the chaotic nature of the system)
1036 and model uncertainty (blue). The black solid lines show the multi-model mean changes. The
1037 model uncertainties are estimated as one standard deviation between the CMIP5 models and the
1038 internal variability is estimated as one standard deviation between the six-member ensemble
1039 simulations of the GFDL ESM2G model. The ensemble simulations of GFDL ESM2G are
1040 started with slightly different initial conditions.

1041
1042 Figure 5: Differences in cumulative oceanic CO₂ uptake between simulations with climate
1043 change and simulations without climate change, but increasing CO₂ from 1870 (represented by
1044 mean of period 1861 to 1880) to 1995 (represented by mean of period 1986 to 2005). (a) Zonal
1045 integrated cumulative oceanic CO₂ uptake, and (b) zonal integrated cumulative oceanic CO₂
1046 uptake integrated from 90°S to 90°N such that the vertical scale goes from 0 at 90°S to the total
1047 uptake at 90°N. Negative values indicate a positive carbon-climate feedback, i.e. a reduced ocean
1048 carbon uptake in response to climate change and variability.

1049
1050 Figure 6: Changes in oceanic storage, uptake and transport of excess heat between 1870
1051 (represented by mean of period 1861 to 1880) and 1995 (represented by mean of period 1986 to
1052 2005) simulated by 19 CMIP5 models. (a) Zonal integrated ocean heat storage change, (b) zonal
1053 integrated ocean heat storage change integrated from 90°S to 90°N such that the vertical scale
1054 goes from 0 at 90°S to the total storage at 90°N, (c) zonal integrated cumulative ocean heat
1055 uptake, (d) zonal integrated cumulative ocean heat uptake integrated from 90°S to 90°N such that
1056 the vertical scale goes from 0 at 90°S to the total uptake at 90°N, and (e) northward transport of

1057 heat. The transport of heat is the divergence of the change in oceanic heat uptake and the ocean
1058 heat storage.

1059

1060 Figure 7: Changes in annual mean global upper (top 700m) ocean heat storage simulated by 19
1061 CMIP5 models and based on observations. All time-series are anomalies to the period 1960-
1062 2005.

1063

1064 Figure 8: Changes in cumulative (a) shortwave downwelling radiation, (b) shortwave upwelling
1065 radiation, (c) longwave downwelling radiation, (d) longwave upwelling radiation, (e) sensible
1066 heat flux, (f) latent heat flux, and (g) snowfall heat flux between 1870 (represented by mean of
1067 period 1861 to 1880) and 1995 (represented by mean of period 1986 to 2005) at the surface of
1068 the ocean. Fluxes are all defined as positive into the ocean. Positive snowfall heat fluxes indicate
1069 a decrease in snowfall, but were not available for all models.

1070

1071 Figure 9: CMIP5 multi-model mean changes in depth integrated oceanic (a) anthropogenic
1072 carbon and (b) heat storage, zonal integrated (c) anthropogenic carbon and (d) heat storage, and
1073 cumulative (e) anthropogenic carbon and (f) heat uptake between 1870 (represented by mean of
1074 period 1861 to 1880) and 1995 (represented by mean of period 1986 to 2005).

1075

1076 Figure 10: (a) CMIP5 multi-model mean representation of September mixed layer depths
1077 averaged over the first 20 years of the historical simulation. (b) Observation-based September
1078 mixed layer depth climatology from de Boyer Montégut et al. (2004). The mixed layer depths are
1079 diagnosed in a consistent fashion across all of the CMIP5 model and observations using a density
1080 criterion of 0.03 kg m^{-3} relative to the surface. This criterion has been found to be a reasonable

1081 measure of the mixed layer depth in the Southern Ocean in recent studies (e.g. Sallée et al.
1082 2013b). Following 13 CMIP5 models are used: CNRM-CM5, IPSL-CM5A-LR, IPSL-CM5A-
1083 MR, IPSL-CM5B-LR, HadEM2-CC, MPI-ESM-LR, MRI-CGCM3, GISS-E2-R, CCSM4,
1084 NorESM1-M, GFDL-CM3, GFDL-ESM2G, GFDL-ESM2M.

1085
1086 Figure A1: Differences in global ocean (a) heat storage and (b) integrated DIC over the period
1087 1870 (represented by mean of period 1861 to 1880) to 1995 (represented by mean of period 1986
1088 to 2005) in the historical CMIP5 simulations (not detrended) versus differences over the same
1089 period in the corresponding preindustrial control simulations. Global integrated DIC is given for
1090 the GLODAP dataset area only. Radiating lines indicate absolute values of the ratios between the
1091 simulated changes in the control simulations and the changes in the corresponding historical
1092 simulations.

1093
1094 Figure B1: Comparison of simulated multi-model mean (a) net air-sea CO₂ fluxes and net heat
1095 fluxes with observation-based estimates. Observation-based products are from Landschützer et
1096 al. (2014) for air-sea CO₂ fluxes and from the coordinated ocean research experiments version 2
1097 (CORE-2) dataset (Large and Yeager, 2009) for net heat fluxes. Simulated air-sea CO₂ fluxes are
1098 averaged over the period 1996 to 2005 and observation-based air-sea CO₂ fluxes are averaged
1099 over the period 1998 to 2011. Simulated net heat fluxes are averaged over the period 1986 to
1100 2005. Stippled regions in the differences plots between model and observations correspond to
1101 regions in which at least 82% (air-sea CO₂ flux) and 84% (heat flux) of the models share a
1102 common positive or negative bias.

1103

1104 Figure B2: Taylor diagrams showing information about the pattern similarity between simulated
1105 and observation-based results for (a) air-sea CO₂ fluxes and (b) net air-sea heat fluxes.
1106 Observation-based products are from Landschützer et al. (2014) for air-sea CO₂ fluxes and from
1107 the coordinated ocean research experiments version 2 (CORE-2) dataset (Large and Yeager,
1108 2009) for net heat fluxes. The angular coordinate indicates the correlation coefficient and the
1109 radial coordinate shows the normalized standard deviation ($\text{std}_{\text{model}}/\text{std}_{\text{obs}}$). The point at unit
1110 distance from the origin along the abscissa represents the observed field. Circles represent the
1111 global ocean and triangles represent the Southern Ocean (90°S-30°S).

1112

1113

1 **Tables**

2 Table 1: Changes in cumulative oceanic anthropogenic carbon and heat uptake and storage south of 30°S and globally between 1870
3 (represented by mean of period 1861 to 1880) and 1995 (represented by mean of period 1986 to 2005) simulated by the CMIP5
4 models. Values in brackets in row 4 indicate global anthropogenic carbon storage for the same regions as GLODAP (Sabine et al.
5 2004). In row 1, Asterisks (*) indicate coupled carbon-climate Earth System Models, and crosses (+) indicate models for which
6 additional simulations were available to investigate carbon-climate feedbacks.

Model	CO ₂ uptake south of 30°S (Pg C)	Carbon storage south of 30°S (Pg C)	Global carbon storage (Pg C) (GLODAP area only)	Heat uptake south of 30°S (10 ²² J)	Heat storage south of 30°S (10 ²² J)	Global heat storage (10 ²² J)	References
CNRM-CM5*	32	25	87 (79)	16			Voldoire et al. (2013)
IPSL-CM5A-LR ^{*,+}	46	31	98 (91)	33	12	40	Dufresne et al. (2013)
IPSL-CM5A-MR*	52	46	112 (104)	40	25	59	Dufresne et al. (2013)
IPSL-CM5B-LR*	41	34	93 (88)	25	7	26	Dufresne et al. (2013)
FGOALS-s2				36	28	57	Bao et al. (2013)
MIROC-ESM-CHEM*	41	25	91 (84)	25	11	25	Watanabe et al. (2011)
MIROC-ESM ^{*,+}	44	27	91 (84)	27	12	25	Watanabe et al. (2011)

MIROC5				18	12	22	Watanabe et al. (2010)
HadGEM2-CC*	38	28	90 (84)	19	4	-2	HadGEM2 DVT (2011)
MPI-ESM-LR*				21	9	36	Giorgetta et al. (2013)
MPI-ESM-MR*	47	34	98 (93)	18			Giorgetta et al. (2013)
MRI-CGCM3				14	6	18	Yukimoto et al. (2012)
GISS-E2-R				36	21	48	Shindell et al. (2013)
CCSM4				29	20	46	Gent et al. (2011)
NorESM1-M				24	15	27	Bentsen et al. (2012)
NorESM1-ME*	40	37	107 (99)	21	10	17	Bentsen et al. (2012)
GFDL-CM3				6	0	-25	Griffies et al. (2013)
GFDL-ESM2G* ⁺	38	33	97 (91)	12	7	27	Dunne et al. (2012)
GFDL-ESM2M* ⁺	42	37	104 (95)	11	10	32	Dunne et al. (2012)
CMIP5 mean \pm 1std	42 \pm 5	33 \pm 6	97 \pm 8 (90 \pm 7)	23 \pm 9	12 \pm 7	28 \pm 20	

7

8

9 Table 2: Summary of global anthropogenic CO₂ uptake estimates for the period of the 1990s. The uncertainty for the CMIP5 ensemble
 10 mean estimate is given as one standard deviation between the models. Note that the ocean inversion assumes that the ocean circulation
 11 and biology are in steady state. The third row indicates an estimate of anthropogenic CO₂ uptake simulated by the four models that
 12 additionally provide a simulation with no changes in radiative forcing, but the increasing CO₂ impacts ocean CO₂ uptake (see section
 13 3.1.4 for more details). The same four models simulate anthropogenic CO₂ uptake of 2.0 ± 0.1 Pg C yr⁻¹, when changes in radiative
 14 forcing are included.

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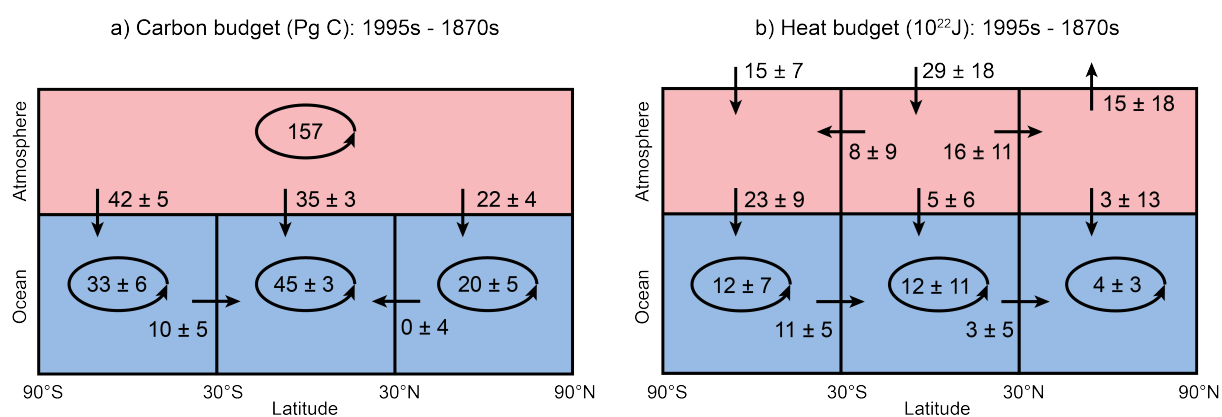
Method	CO ₂ uptake (Pg C yr ⁻¹)	Time period	Reference
CMIP5	1.9 ± 0.2	1986-2005	This study (11 Models)
CMIP5 (CO ₂ only; no changes in radiative forcing)	2.1 ± 0.1	1986-2005	This study (4 Models)
Ocean inversion	2.2 ± 0.3	Nominal 1995	Mikaloff-Fletcher et al. (2006)
O ₂ /N ₂	1.9 ± 0.6	1990-1999	Manning and Keeling (2006)
Air-sea pCO ₂ difference	2.0 ± 0.6	Nominal 2000	Takahashi et al. (2009)
	2.0 ± 0.6	1998-2011	Landschützer et al. (2014)
	2.3 ± 0.5	Nominal 2000	Majkut et al. (2014b)

OCMIP-2	2.4 ± 0.5	1990-1999	Watson et al. (2003)
OGCM (hindcast)	1.9 ± 0.3	1990-1999	Wanninkhof et al. (2013)

16

17

1 Figure 1: Summary of CMIP5 multi-model mean changes in (a) anthropogenic carbon and (b)
 2 excess heat between 1870 (represented by mean of period 1861 to 1880) and 1995
 3 (represented by mean of period 1986 to 2005). Uncertainties represent one standard deviation
 4 between the models. The atmospheric transport of heat is the divergence of top-of-atmosphere
 5 fluxes and surface ocean fluxes, and the ocean transport of heat and carbon is the divergence
 6 of surface ocean fluxes and ocean storage. The accumulation of 157 Pg C in the atmosphere,
 7 which corresponds to an atmospheric CO₂ increase from 286 ppm in year 1861 to 379 ppm in
 8 year 2005, is prescribed in all models. Note that the heat and carbon fluxes into the ocean are
 9 not equal to their respective storage terms (imbalance of -2 ± 4 for heat and 2 ± 4 for carbon)
 10 due to non-mass conserving regridding and neglecting of small terms such as changes in
 11 dissolved organic carbon and changes in heat storage due to friction.

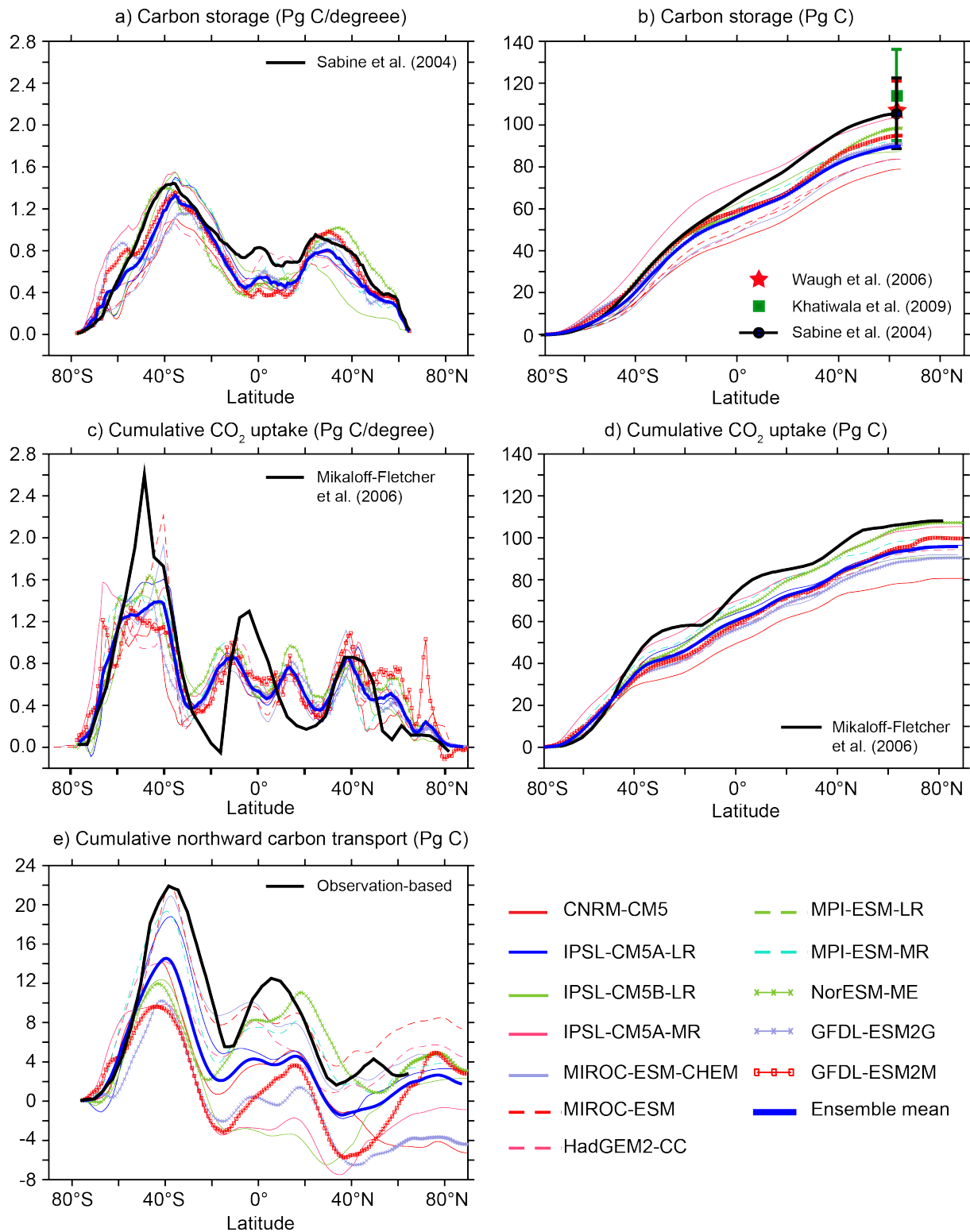


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15 Figure 2: Changes in oceanic storage, uptake and transport of anthropogenic carbon between
 16 1870 (represented by mean of period 1861 to 1880) and 1995 (represented by mean of period
 17 1986 to 2005) simulated by 12 CMIP5 models. (a) Zonal integrated oceanic anthropogenic
 18 carbon storage, (b) zonal integrated oceanic anthropogenic carbon storage integrated from
 19 90°S to 90°N such that the vertical scale goes from 0 at 90°S to the total storage at 90°N, (c)
 20 zonal integrated cumulative ocean anthropogenic CO₂ uptake, (d) zonal integrated cumulative
 21 ocean anthropogenic CO₂ uptake integrated from 90°S to 90°N such that the vertical scale

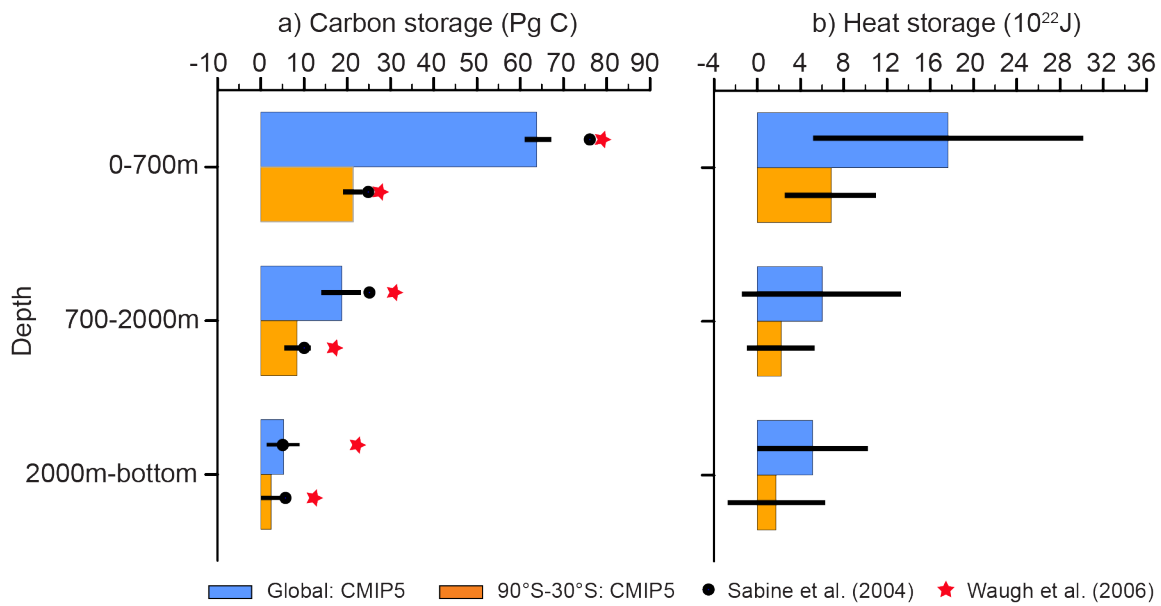
22 goes from 0 at 90°S to the total uptake at 90°N, and (e) northward oceanic anthropogenic
23 carbon transport. The transport of anthropogenic carbon is the divergence of the
24 anthropogenic CO₂ uptake and the anthropogenic carbon storage. The observation-based
25 estimate of oceanic anthropogenic carbon transport is the divergence of the anthropogenic
26 carbon flux estimates of Mikaloff Fletcher et al. (2006) and the anthropogenic carbon storage
27 estimates of Sabine et al. (2004). Anthropogenic carbon storage in (a) and (b) is given for the
28 GLODAP dataset area only, which does not cover coastal regions and several marginal seas,
29 most notably the Arctic, the Caribbean and the Mediterranean Sea. Excluded regions from the
30 GLODAP area account for 7% and 10% of the total anthropogenic carbon storage in the
31 CMIP5 models and the observation-based estimates, respectively (Table 1). Note that this has
32 no impact when comparing results for the Southern Ocean (south of 30°S). Observation-based
33 estimates are normalized to year 1994. Weighted mean estimates of inversion-based
34 anthropogenic air-sea CO₂ fluxes are shown in (c) and (d).



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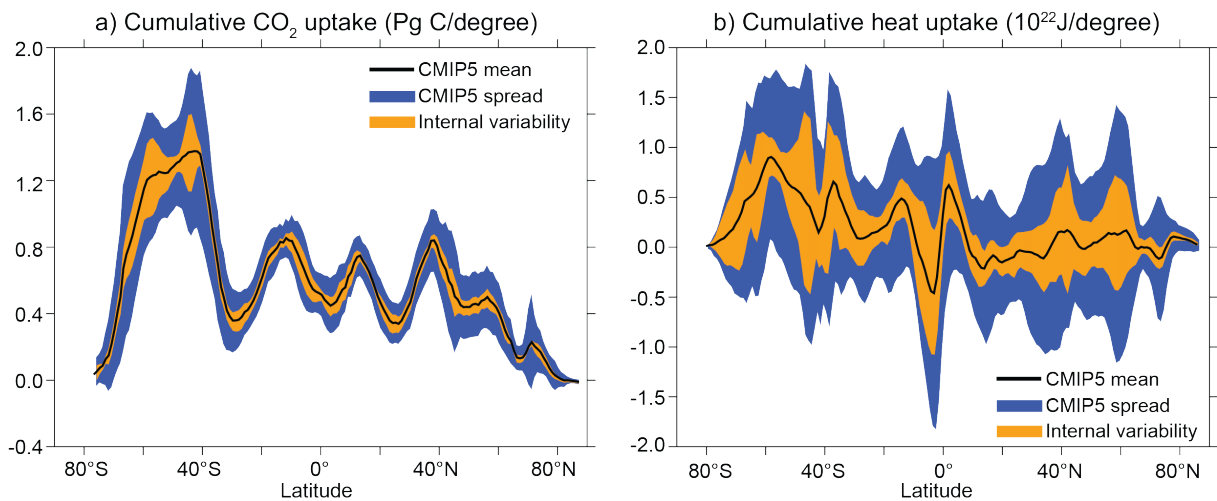
36 Figure 3: CMIP5 multi-model mean changes in oceanic (a) anthropogenic carbon and (b) heat
 37 storage between 1870 (represented by mean of period 1861 to 1880) and 1995 (represented by
 38 mean of period 1986 to 2005) integrated over different depth levels (0-700m, 700-2000m and
 39 2000-bottom) and regions (global and Southern Ocean south of 30°S). Vertical black lines

40 indicate one standard deviation among the CMIP5 models. Observed estimates of
 41 anthropogenic carbon are based on the ΔC^* method (Sabine et al. 2004) and the TTD method
 42 (Waugh et al. 2006), and are normalized to year 1994. Note that the anthropogenic carbon
 43 estimates based on the TTD method are biased high, especially in the Southern Ocean, due to
 44 the assumption of constant air-sea CO_2 disequilibrium in this method (Waugh et al. 2006).
 45



46
 47 Figure 4: The total uncertainty in CMIP5 zonal integrated changes in cumulative oceanic (a)
 48 anthropogenic CO_2 uptake and (b) excess heat uptake between 1870 (represented by mean of
 49 period 1861 to 1880) and 1995 (represented by mean of period 1986 to 2005), separated into
 50 its two components: internal variability (orange; stemming from the chaotic nature of the
 51 system) and model uncertainty (blue). The black solid lines show the multi-model mean
 52 changes. The model uncertainties are estimated as one standard deviation between the CMIP5
 53 models and the internal variability is estimated as one standard deviation between the six-
 54 member ensemble simulations of the GFDL ESM2G model. The ensemble simulations of
 55 GFDL ESM2G are started with slightly different initial conditions.

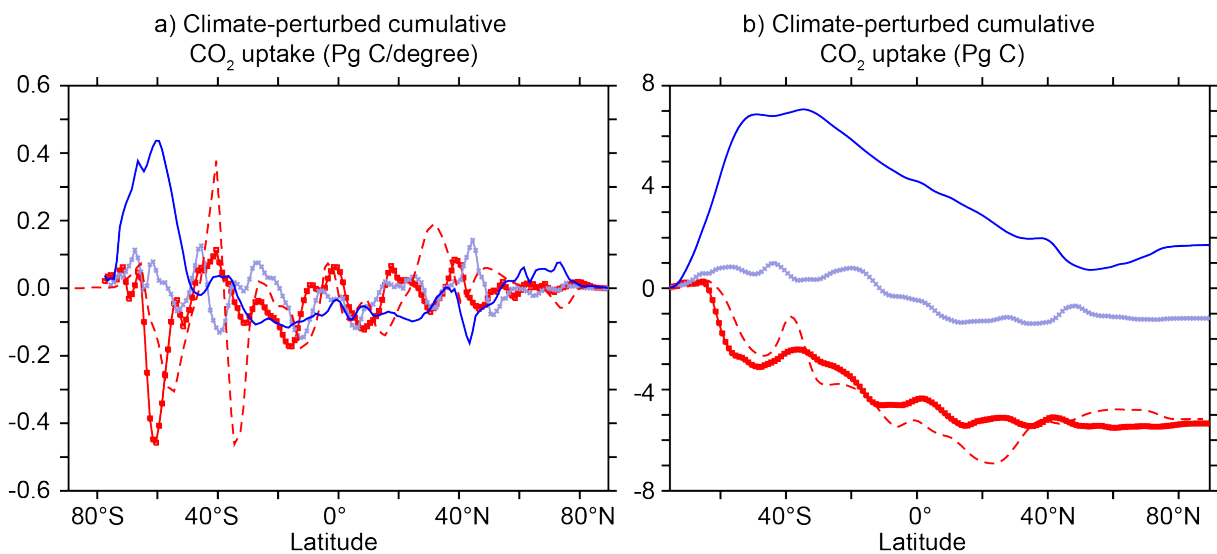
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58 Figure 5: Differences in cumulative oceanic CO₂ uptake between simulations with climate
 59 change and simulations without climate change, but increasing CO₂ from 1870 (represented
 60 by mean of period 1861 to 1880) to 1995 (represented by mean of period 1986 to 2005). (a)
 61 Zonal integrated cumulative oceanic CO₂ uptake, and (b) zonal integrated cumulative oceanic
 62 CO₂ uptake integrated from 90°S to 90°N such that the vertical scale goes from 0 at 90°S to
 63 the total uptake at 90°N. Negative values indicate a positive carbon-climate feedback, i.e. a
 64 reduced ocean carbon uptake in response to climate change and variability.

65

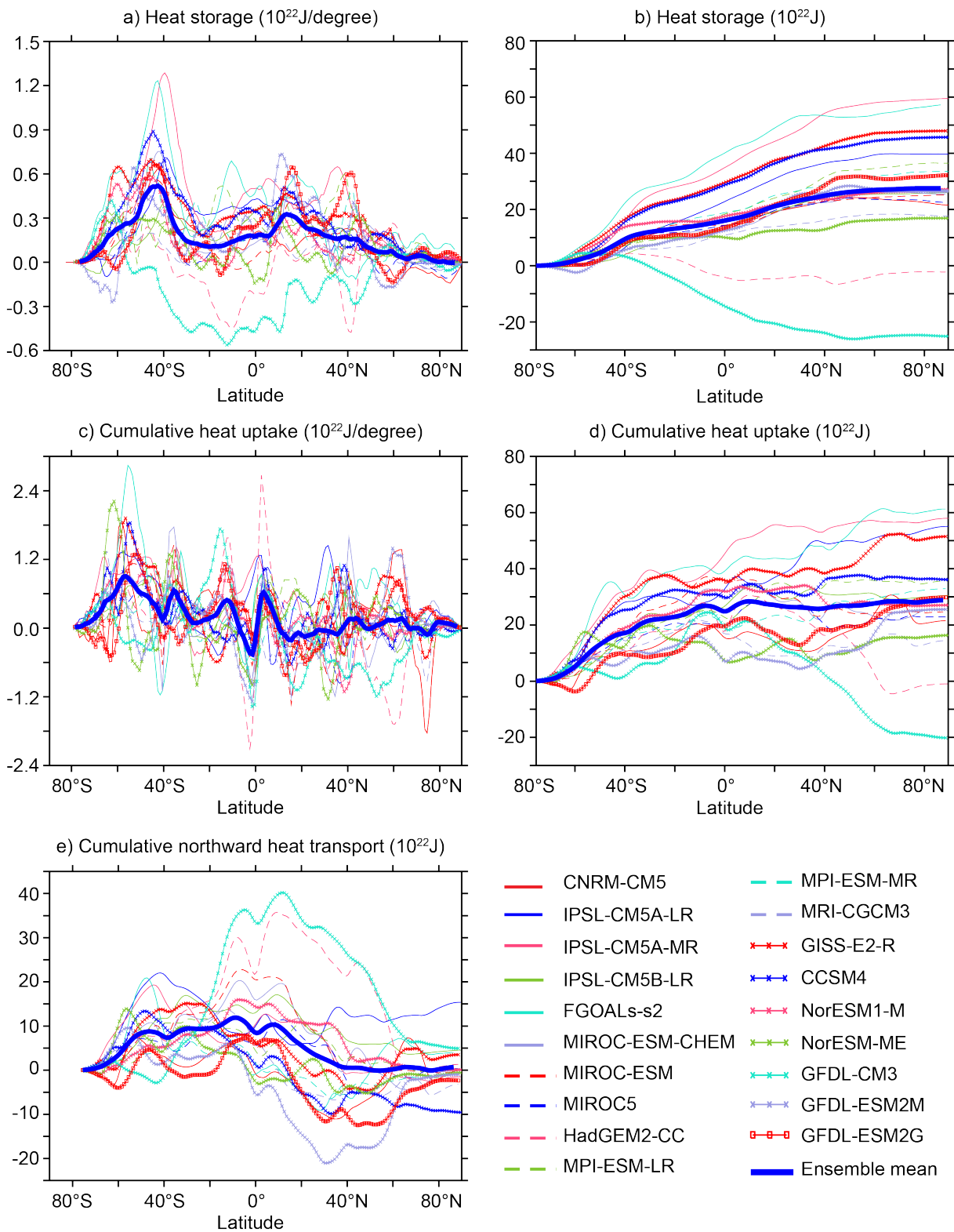


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— IPSL-CM5A-LR - - - MIROC-ESM ···· GFDL-ESM2G - - - GFDL-ESM2M

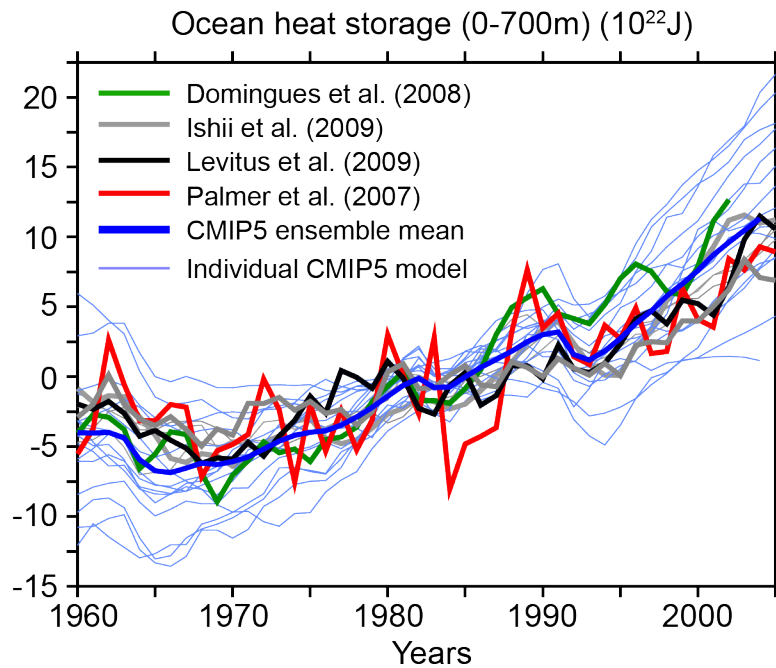
67 Figure 6: Changes in oceanic storage, uptake and transport of excess heat between 1870
68 (represented by mean of period 1861 to 1880) and 1995 (represented by mean of period 1986
69 to 2005) simulated by 19 CMIP5 models. (a) Zonal integrated ocean heat storage change, (b)
70 zonal integrated ocean heat storage change integrated from 90°S to 90°N such that the vertical
71 scale goes from 0 at 90°S to the total storage at 90°N, (c) zonal integrated cumulative ocean
72 heat uptake, (d) zonal integrated cumulative ocean heat uptake integrated from 90°S to 90°N
73 such that the vertical scale goes from 0 at 90°S to the total uptake at 90°N, and (e) northward
74 transport of heat. The transport of heat is the divergence of the change in oceanic heat uptake
75 and the ocean heat storage.

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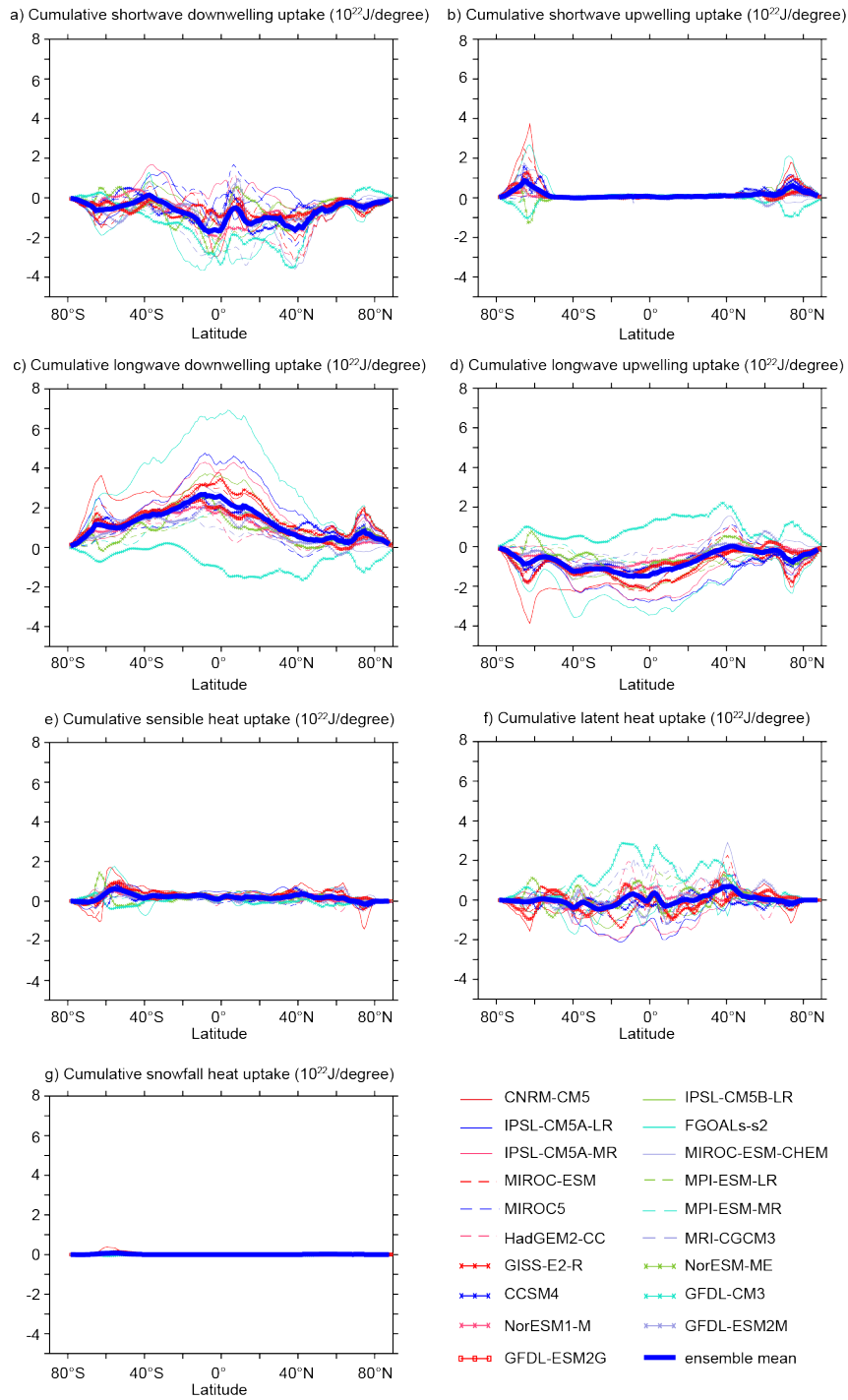
78 Figure 7: Changes in annual mean global upper (top 700m) ocean heat storage simulated by
 79 19 CMIP5 models and based on observations. All time-series are anomalies to the period
 80 1960-2005.



82

83 Figure 8: Changes in cumulative (a) shortwave downwelling radiation, (b) shortwave
 84 upwelling radiation, (c) longwave downwelling radiation, (d) longwave upwelling radiation,
 85 (e) sensible heat flux, (f) latent heat flux, and (g) snowfall heat flux between 1870
 86 (represented by mean of period 1861 to 1880) and 1995 (represented by mean of period 1986
 87 to 2005) at the surface of the ocean. Fluxes are all defined as positive into the ocean. Positive
 88 snowfall heat fluxes indicate a decrease in snowfall, but were not available for all models.

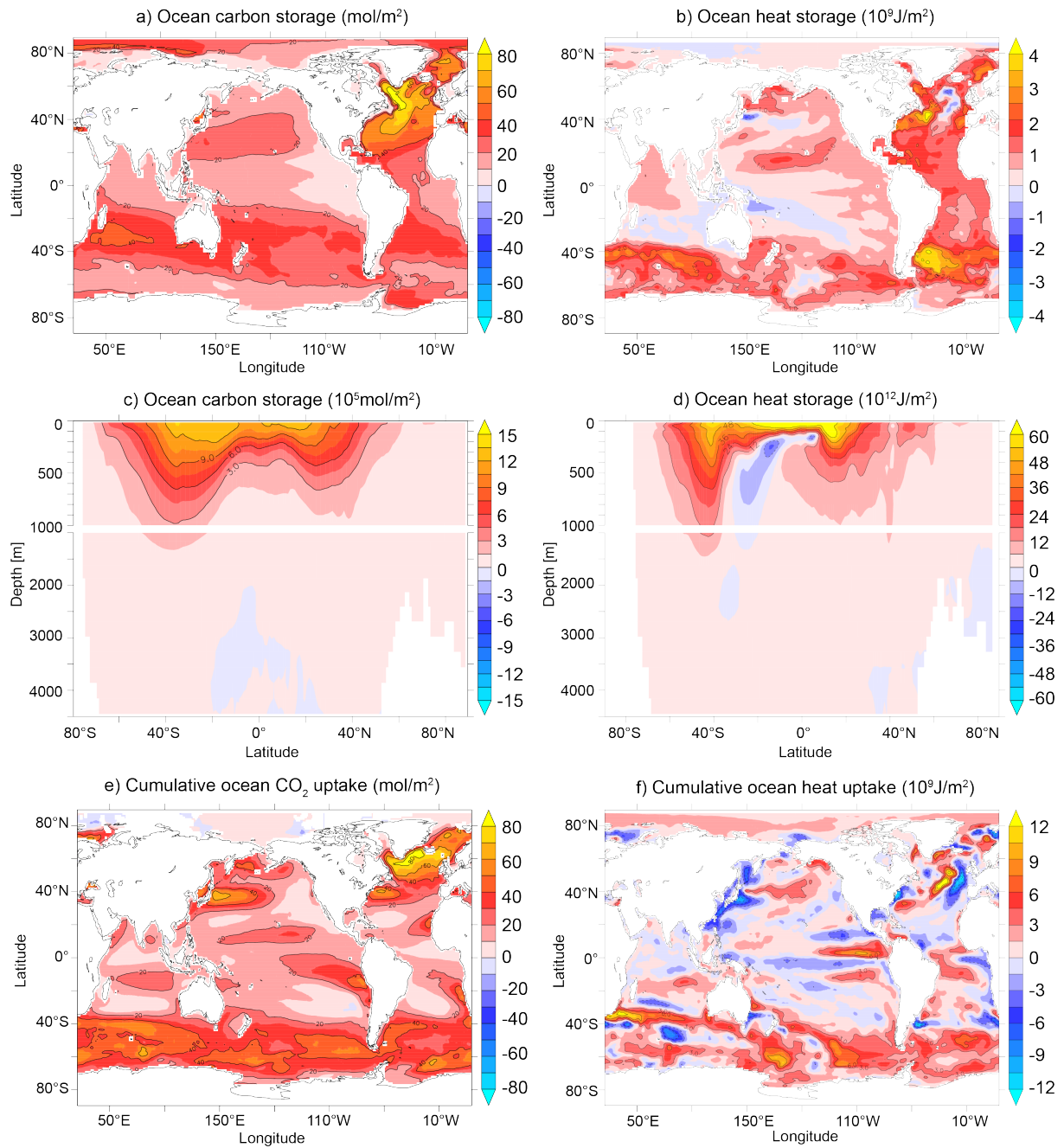
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91 Figure 9: CMIP5 multi-model mean changes in depth integrated oceanic (a) anthropogenic
 92 carbon and (b) heat storage, zonal integrated (c) anthropogenic carbon and (d) heat storage,
 93 and cumulative (e) anthropogenic carbon and (f) heat uptake between 1870 (represented by
 94 mean of period 1861 to 1880) and 1995 (represented by mean of period 1986 to 2005).

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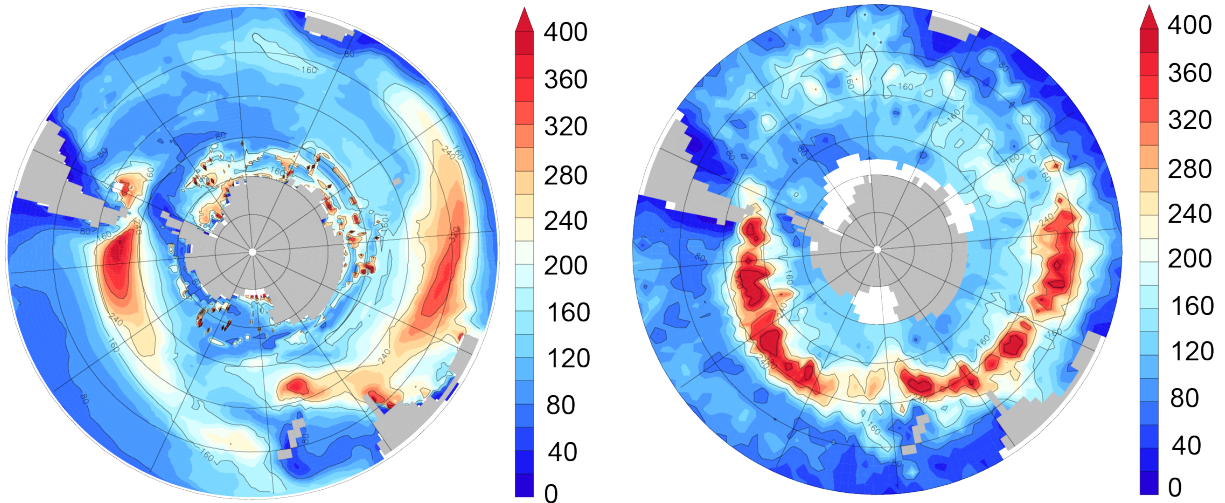
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 99 mixed layer depth climatology from de Boyer Montégut et al. (2004). The mixed layer depths
 100 are diagnosed in a consistent fashion across all of the CMIP5 model and observations using a
 101 density criterion of 0.03 kg m^{-3} relative to the surface. This criterion has been found to be a
 102 reasonable measure of the mixed layer depth in the Southern Ocean in recent studies (e.g.
 103 Sallée et al. 2013b). Following 13 CMIP5 models are used: CNRM-CM5, IPSL-CM5A-LR,

104 IPSL-CM5A-MR, IPSL-CM5B-LR, HadEM2-CC, MPI-ESM-LR, MRI-CGCM3, GISS-E2-
105 R, CCSM4, NorESM1-M, GFDL-CM3, GFDL-ESM2G, GFDL-ESM2M.

106

a) Mixed layer depth (m): CMIP5

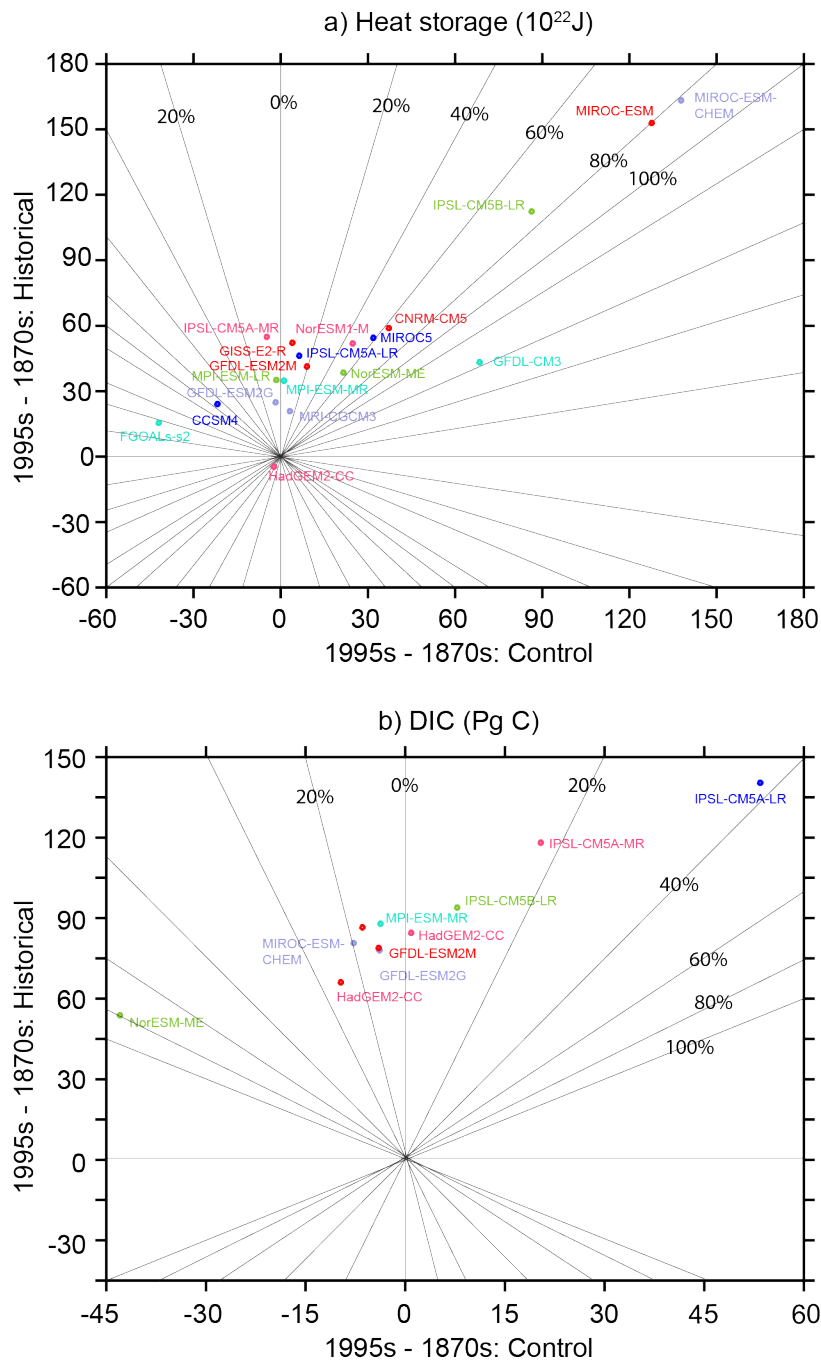
b) Mixed layer depth (m): Observation-based



107

108 Figure A1: Differences in global ocean (a) heat storage and (b) integrated DIC over the period
109 1870 (represented by mean of period 1861 to 1880) to 1995 (represented by mean of period
110 1986 to 2005) in the historical CMIP5 simulations (not detrended) versus differences over the
111 same period in the corresponding preindustrial control simulations. Global integrated DIC is
112 given for the GLODAP dataset area only. Radiating lines indicate absolute values of the ratios
113 between the simulated changes in the control simulations and the changes in the
114 corresponding historical simulations.

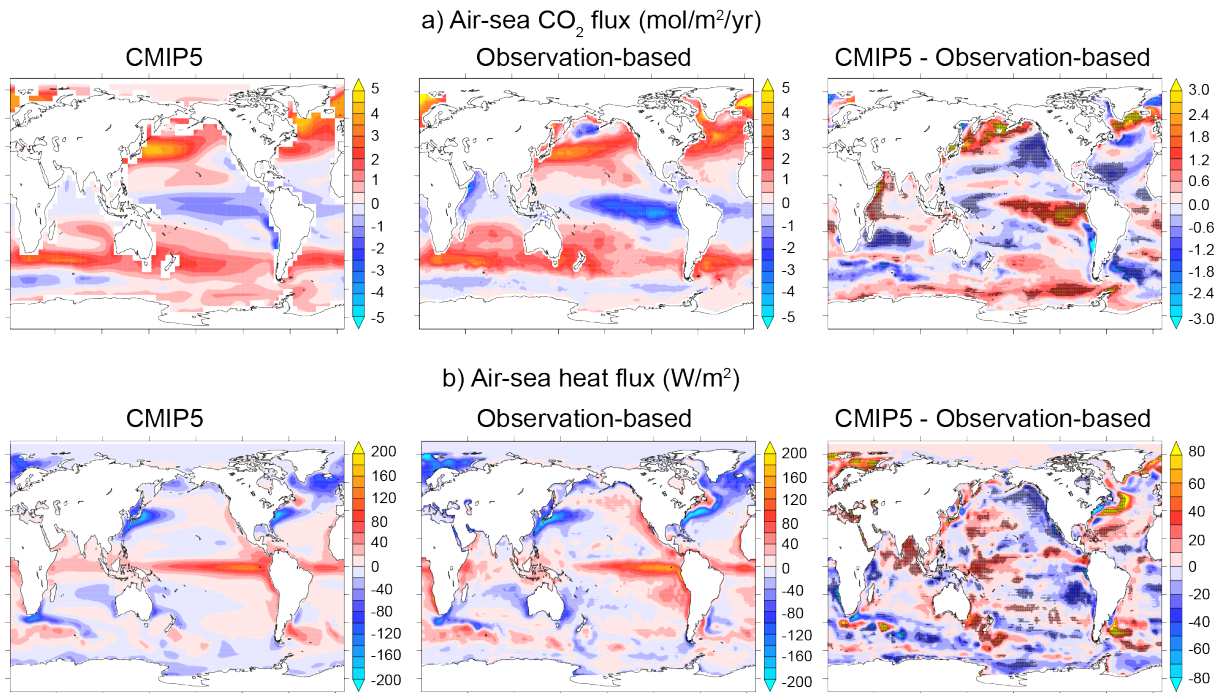
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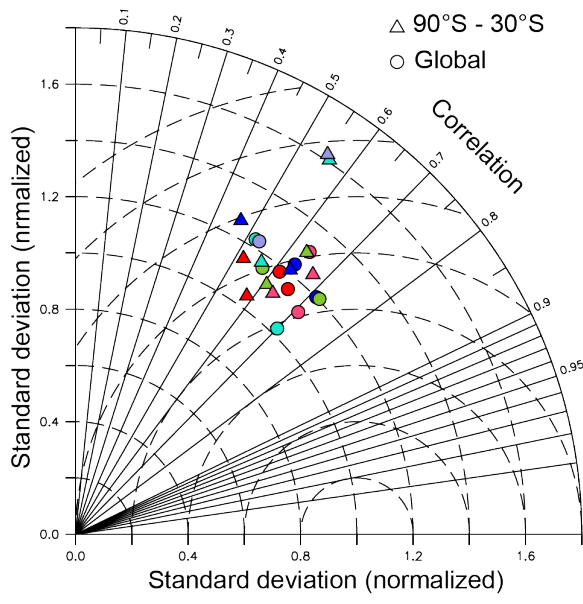
117 Figure B1: Comparison of simulated multi-model mean (a) net air-sea CO_2 fluxes and net heat
 118 fluxes with observation-based estimates. Observation-based products are from Landschützer
 119 et al. (2014) for air-sea CO_2 fluxes and from the coordinated ocean research experiments
 120 version 2 (CORE-2) dataset (Large and Yeager, 2009) for net heat fluxes. Simulated air-sea
 121 CO_2 fluxes are averaged over the period 1996 to 2005 and observation-based air-sea CO_2
 122 fluxes are averaged over the period 1998 to 2011. Simulated net heat fluxes are averaged over

123 the period 1986 to 2005. Stippled regions in the differences plots between model and
 124 observations correspond to regions in which at least 82% (air-sea CO₂ flux) and 84% (heat
 125 flux) of the models share a common positive or negative bias.
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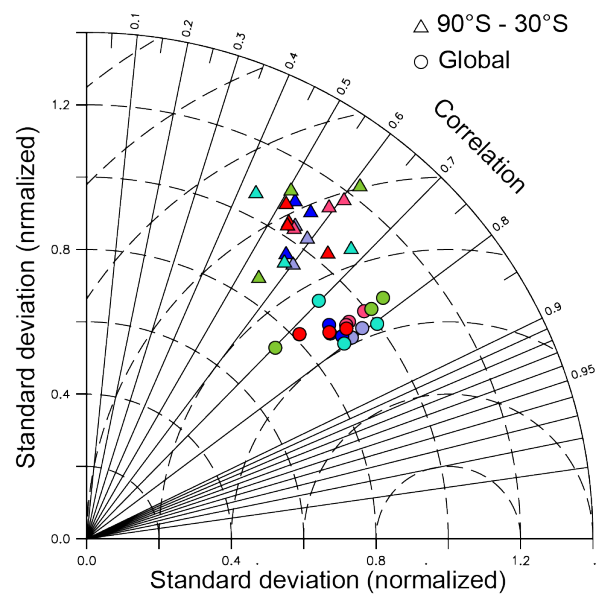


127
 128 Figure B2: Taylor diagrams showing information about the pattern similarity between
 129 simulated and observation-based results for (a) air-sea CO₂ fluxes and (b) net air-sea heat
 130 fluxes. Observation-based products are from Landschützer et al. (2014) for air-sea CO₂ fluxes
 131 and from the coordinated ocean research experiments version 2 (CORE-2) dataset (Large and
 132 Yeager, 2009) for net heat fluxes. The angular coordinate indicates the correlation coefficient
 133 and the radial coordinate shows the normalized standard deviation ($\text{std}_{\text{model}}/\text{std}_{\text{obs}}$). The point
 134 at unit distance from the origin along the abscissa represents the observed field. Circles
 135 represent the global ocean and triangles represent the Southern Ocean (90°S-30°S).
 136

a) Air-sea carbon flux



b) Air-sea heat flux



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