

1 **Altered glycosylation patterns increase immunogenicity of a subunit HCV vaccine**  
2 **inducing neutralizing antibodies which confer protection in mice**

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17

18 **Running title:** A subunit HCV vaccine produced in insect cells

19 **Abstract:**

20 Hepatitis C virus (HCV) infection is a global health problem for which no vaccine is  
21 available. HCV has a highly heterogeneous RNA genome and can be classified into seven  
22 genotypes. Due to the high genetic and resultant antigenic variation among genotypes,  
23 inducing antibodies capable of neutralizing most of the HCV genotypes by experimental  
24 vaccination has been challenging. Previous efforts focused on priming humoral immune  
25 responses with recombinant HCV envelope E2 protein produced in mammalian cells. Here,  
26 we report that a soluble form of HCV E2 (sE2) produced in insect cells possess different  
27 glycosylation patterns and is more immunogenic as evidenced by the induction of higher titers  
28 of broadly neutralizing antibodies (bNAbs) against cell culture-derived HCV (HCVcc)  
29 harboring structural proteins from a diverse array of HCV genotypes. We affirm that  
30 continuous and discontinuous epitopes of well-characterized bNAbs are conserved, thus  
31 suggesting that sE2 produced in insect cells is properly folded. In a genetically humanized  
32 mouse model, active immunization with sE2 efficiently protected against challenge with a  
33 heterologous HCV genotype. These data not only demonstrate that sE2 is a promising HCV  
34 vaccine candidate but also highlight the importance of glycosylation patterns in developing  
35 subunit viral vaccines.

36

37 **Importance:**

38 A prophylactic vaccine with high efficacy and low cost is greatly needed for global control  
39 of HCV infection. Induction of broadly neutralizing antibodies against most HCV genotypes has  
40 been challenging due to the antigenic diversity of the HCV genome. Herein, we refined a  
41 high-yield subunit HCV vaccine that elicited broadly neutralizing antibody responses in  
42 preclinical trials. We found that soluble HCV E2 protein (sE2) produced in insect cells is  
43 distinctly glycosylated and is more immunogenic than sE2 produced in mammalian cells,  
44 suggesting that glycosylation patterns should be taken into consideration in efforts to  
45 generate antibody-based, recombinant vaccines against HCV. We further showed that sE2  
46 vaccination confers protection against HCV infection in a genetically humanized mouse model.  
47 Thus our work identified a promising broadly protective HCV vaccine candidate, which should  
48 be considered of further pre-clinical and clinical development.

49

50 **Introduction**

51 It is estimated that over 2% of the world's population is chronically infected with hepatitis  
52 C virus (HCV) (1). Although recently approved direct-acting antiviral (DAA) drugs (2) have  
53 greatly improved upon the curing efficacy of the previous interferon (IFN)-based regimen,  
54 these new therapies are very expensive and thus unaffordable for the majority of  
55 HCV-infected individuals who live in developing countries where most new infections occur.  
56 Since the approval of these highly effective DAAs, the number of chronic HCV carriers has not  
57 significantly declined. Furthermore, there is little evidence that patients cured of their chronic  
58 infection with DAAs retain antiviral immunity that is protective against future HCV exposures.  
59 Therefore, the development of a prophylactic HCV vaccine with high efficacy and low cost  
60 remains a high priority in the global control of HCV infection. Natural clearance of HCV  
61 correlates with the induction of vigorous T cell responses with broad specificity, which has  
62 prompted efforts to pursue T cell based vaccines. Currently, the only vaccine candidate in  
63 clinical trials is based on the expression of HCV non-structural proteins with adenoviruses and  
64 MVA to elicit T cell responses to infection (3, 4).

65 However, T cell based vaccines cannot prevent the first steps of a viral infection, thus  
66 creating a rationale for alternative/addition approaches geared towards induction of  
67 neutralizing antibodies (NAbs) which could putatively block HCV uptake. NAbs have been  
68 found to correlate with the protection offered by all viral vaccines licensed thus far (5).  
69 However, the role of anti-HCV antibodies in humans is under debate. A strong correlation  
70 between viral clearance and the induction of an early and broad NAb response following HCV  
71 infection has been reported in a number of patient cohorts with HCV infection (6-8). In addition,

72 *in vivo* challenge/protection studies have shown that the passive transfer of monoclonal and  
73 polyclonal NAbs was able to prevent HCV infection in chimpanzees (9) and in mice (10-14),  
74 highlighting the important role of NAbs in protecting against HCV infection. A single HCV  
75 vaccine that could induce NAbs against all the known seven HCV genotypes would be ideal.  
76 However, due to the extreme genetic and antigenic diversity across and within HCV  
77 genotypes, this has been a particularly challenging goal (15).

78 The HCV envelope proteins E1 and E2 are responsible for mediating HCV entry into  
79 target cells by direct or indirect interaction with numerous host molecules (16, 17) and are thus  
80 the natural targets of NAbs (18). Consequently, all experimental HCV vaccines that aim to  
81 generate NAbs contain E2 and/or E1 components in a variety of modalities or prime-boost  
82 regimens (19-28). Although significant progress has been made towards the development of  
83 an efficacious HCV vaccine mediating protection by inducing humoral immune responses,  
84 several important issues remain: (i) the spectrum of NAbs elicited by existing vaccine  
85 candidates is still insufficiently broad to cover all seven HCV genotypes; (ii) the complexity of  
86 the heterologous prime-boost regimens with different antigen modalities renders vaccine  
87 production and vaccination difficult; (iii) the low yield of antigen manufacture hampers the  
88 application for some promising vaccine candidates, such as inactivated cell culture-derived  
89 HCV (HCVcc) (23); (iv) few of these vaccines have been evaluated in an immunocompetent  
90 animal model by active immunization as the utilization of chimpanzees is limited for ethical and  
91 financial reasons and murine models such as human liver chimeric mice (29) are  
92 immunodeficient. To address some of these challenges, we aimed to develop an improved  
93 method for inducing bNAbs. We demonstrate that expression of a transmembrane

94 domain-truncated, soluble version of E2 (designated sE2) in insect cells results in an antigen  
95 with increased immunogenicity as compared to equivalent constructs produced in mammalian  
96 cells. Mice mount strong immune responses to sE2, yielding high titers of anti-HCV E2  
97 antibodies capable of neutralizing a diverse panel of HCV intergenotypic chimeras *in vitro*.  
98 Following sE2 vaccination, genetically humanized mice were protected against experimental  
99 challenge with a heterologous HCV strain.

100

## 101 **Materials and Methods**

### 102 **Establishment of HCVcc panels covering genotypes 1-7**

103 A panel of HCVcc covering genotypes 1 to 7 was used for the neutralization assay. All  
104 these HCVcc were produced following a protocol previously described (30) including *in vitro*  
105 transcription, HCV RNA electroporation, immunostaining, HCVcc titration and amplification.  
106 Plasmids pUC-Con1/JFH1, pUC-H77/JFH1, and pUC-J6/JFH1 were constructed as  
107 previously described (31). Plasmids pJ8/JFH1, pS52/JFH1 (I793S, K1404Q), pED43/JFH1  
108 (T827A, T977S), pSA13/JFH1 (A1022G, K1119R), pHK6a/JFH1 (F350S, N417T) and  
109 pQC69/JFH1 were generously provided by Dr. Jens Bukh at University of Copenhagen (32).  
110 The chimeric genotype 1b HCVcc (PR52B6Mt and PR79L9) constructed from clinical isolates  
111 was reported previously (33).

112

### 113 **Construction of expression plasmids**

114 We amplified optimized sE2 (aa. 384-661) from codon-optimized HCV E2 gene (Con1  
115 strain, genotype 1b, optimized and synthesized by GeneArt) with forward primer

116 NcoI-sE2opti-F (5' CTGCCATGGCCGGCACATACGTGACAG 3') and reverse primer  
117 sE2opti-XbaI-R (5' GCACTCTAGACTCGCTTCTGTCCCGAT 3'), then inserted the PCR  
118 product into the vector pMT/BiP/V5-HisA (Invitrogen) to obtain pMT-sE2. To produce a sE2  
119 without HVR1, we used the same approach (forward primer NcoI-ΔHVR1-F: 5'  
120 GCCCCATGGCAGCTGGTGAACACCAACGGCAGC 3'; reverse primer sE2opti-XbaI-R) to  
121 obtain pMT-sE2ΔHVR1. To generate the mammalian sE2, the whole open reading frame  
122 (including gene encoding the signal peptide and tags) was cloned from pMT-sE2 into  
123 pcDNA3.1 vector (forward primer: 5' CCCGCTAGCGCCACCATGAAGTTATGCATATTACTG  
124 GCCGTCG 3'; reverse primer: 5' CCCGAATTCTCAATGGTGATGGTGATGATGACCGGTAC  
125 3') to obtain pcDNA3.1-sE2. To express the extracellular loop of human CD81 (hCD81LEL, aa.  
126 112-202), we amplified the hCD81LEL gene from the construct pLEGFP-CD81(34) by PCR  
127 (forward primer hCD81LEL-F-NcoI: 5' CAGCCATGGGCTTTGTCAACAAGGACCAG 3';  
128 reverse primer hCD81LEL-R-XhoI: 5' GAACTCGAGCAGCTTCCCGGAGAAGAGGTC 3') and  
129 inserted the fragment into pET26b, to yield pET26b-hCD81LEL. All plasmids constructed  
130 were verified by DNA sequencing.

131

### 132 **Expression and Purification of sE2 from stable *Drosophila* S2 cell clones**

133 To generate stable transfectants expressing sE2, we co-transfected S2 cells with  
134 pMT-sE2 and a selection vector pCoBlast, followed by blasticidin screening. S2 cells cultured  
135 in complete Schneider's *Drosophila* Media (SDM; Gibco) supplemented with 10% fetal bovine  
136 serum (FBS; Gibco), 100 U/ml of penicillin/streptomycin (Gibco), and 100 mg/L of L-glutamine  
137 (Gibco) were seeded at  $3 \times 10^6$  cells/well in a 6-well plate. After 12 h, we co-transfected 19  $\mu$ g

138 pMT-sE2 and 1  $\mu$ g selection vector pCoBlast by calcium phosphate transfection and changed  
139 the medium after 16-24 h of incubation. Seventy-two hours post-transfection, we changed the  
140 medium to complete SDM containing 25  $\mu$ g/ml blasticidin. After 1-2 weeks of selection, the  
141 medium was changed again with complete SDM containing 10  $\mu$ g/ml blasticidin. To generate  
142 stable, high-yield cell clones, we seeded 1.5 cells/well of the stable transfected cells into  
143 96-well plates. After 2-weeks of selection with SDM containing 10  $\mu$ g/ml blasticidin, we  
144 detected sE2 expression from supernatants of each well by ELISA and Western blot. Several  
145 monoclonal cells with high-yields of sE2 were obtained. We chose one clone named sE2B3  
146 for the following large-scale culture and expression. For large-scale expression, sE2B3 cells  
147 were cultured in complete Express Five SFM medium (SFM; Gibco) supplemented with 100  
148 U/ml of penicillin/streptomycin, 100 mg/L of L-glutamine and 10  $\mu$ g/ml blasticidin, in a 3L  
149 Spinner Flask (Bellco). When cell concentration reached  $2 \times 10^7$  cells/ml, cells were induced  
150 with chromic chloride at a final concentration of 5  $\mu$ M. On day five post-induction, the  
151 supernatant was harvested and concentrated to a volume of 50-100 ml in binding buffer (0.5  
152 M NaCl, 20 mM Tris, 10 mM imidazole, pH 7.9) by ultrafiltration in a stirred cell (Amicon 8400,  
153 Millipore) through a 5-kDa membrane. Then sE2 proteins were purified from the concentrated  
154 supernatant using Ni-NTA resins (Novagen) according to the manufacturer's instructions. The  
155 eluted sE2 proteins were analyzed by SDS-PAGE (12% acrylamide) and Western blot. To  
156 obtain highly pure sE2 as a stimulus for ELISPOT assays, the Ni-NTA purified proteins were  
157 subjected to size exclusion chromatography using a Superdex 200 10/300 GL column (GE  
158 Healthcare). The HVR1 deleted form, sE2 $\Delta$ HVR1, was expressed and purified using the  
159 same protocol.



160

**161 Expression and Purification of sE2 from HEK293T cells**

162 To generate sE2 from mammalian cells, HEK293T cells cultured in complete Dulbecco's  
163 modified Eagle medium (DMEM; HyClone) supplemented with 10% FBS, 10 mM HEPES  
164 buffer (Gibco), 100 mg/L of L-glutamine, 1% non-essential amino acids (NEAA; Gibco) and  
165 100 U/ml penicillin/streptomycin were transfected with pcDNA3.1-sE2 using branched  
166 Polyethylenimine (PEI; Sigma-Aldrich). Medium containing PEI was changed with complete  
167 DMEM with 10 $\mu$ M sodium butyrate at 4h post-transfection, and then changed with FreeStyle  
168 293 Medium (FS293, Gibco) 12h later. Mammalian sE2 were harvested, concentrated and  
169 purified from the medium harvested 72h post transfection following the same protocol  
170 described above.

171

**172 Deglycosylation of sE2**

173 Under a reducing condition, 10  $\mu$ g of sE2 protein was denatured at 100°C for 10 min in  
174 1X Glycoprotein Denaturing Buffer, and then digested by PNGase F (200U) or Endo H (200U)  
175 at 37°C for 1 h according to the manufacturer's instructions (New England Biolabs). The  
176 deglycosylated protein was analyzed by Western blotting. Under a non-reducing condition,  
177 sE2 dissolved in PBS was digested by PNGase F at 4°C overnight. The resulting  
178 N-deglycosylated sE2 was purified by Ni-NTA resin and applied to ELISA, receptor-binding  
179 assay and immunization experiments.

180

**181 SDS-PAGE and Western Blot Assay**

182 Induced cell supernatants or purified sE2 proteins were separated on a 12% SDS-PAGE  
183 gel and stained with Coomassie brilliant blue G-250 or transferred onto polyvinylidene  
184 difluoride membranes (PVDF; Pall). The membrane was probed with an anti-His tag mAb  
185 (1:1,000 dilution; M30111; Abmart) or anti-E2 mAb AP33 (35) (1:1,000 dilution; kindly  
186 provided by Dr. Arvind Patel at MRC - University of Glasgow), followed by a corresponding  
187 secondary antibody.

188

#### 189 **ELISA**

190 To determine the antigenicity of sE2, 96-well EIA/RIA flat-bottom plates (Costar, Corning,  
191 NY, USA) were coated overnight with serially diluted sE2. The plates were blocked with PBST  
192 containing 5% nonfat dry milk for 1 h at 37°C. E2 mAbs AR3A (kindly provided by Drs.  
193 Mansun Law and Denis Burton at the Scripps Research Institute) or AP33 (35) were then  
194 added, followed by incubation for 2 h at 37°C. Then, horseradish peroxidase  
195 (HRP)-conjugated anti-human IgG antibody (1:5,000 dilution; ab6858; Abcam) or  
196 HRP-conjugated anti-mouse IgG antibody (1:5,000 dilution; 31432; Invitrogen) was added  
197 and incubated for 1 h. After color development, colorimetric analysis was performed at 450  
198 nm in a 96-well plate reader.

199

#### 200 **Receptor-binding assay**

201 The receptor-binding assay to analyze the capacity of sE2 binding to HCV entry factors  
202 has been previously described (34). Briefly, 100 µg of purified sE2 protein was incubated with  
203 parental CHO cells or with CHO cells expressing SR-BI or CD81 at 37°C for 1 h. After

204 washing with PBS, the cells were incubated with anti-His mouse monoclonal antibody on ice  
205 for 1 h, and then with Alexa Fluor 555-conjugated donkey anti-mouse IgG (1:200; A31570;  
206 Molecular Probes), followed by flow cytometry analysis on a BD LSRII flow cytometer (BD  
207 Biosciences, San Diego, CA). The results were analyzed with FlowJo software.

208 Human CD81LEL protein was expressed and purified to compete the binding of sE2.  
209 Briefly, pET26b-hCD81LEL was transformed into BL21 competent cells. Soluble hCD81LEL  
210 was obtained by a low temperature induction and purified using Ni-NTA resins according to  
211 the manufacturer's instructions. In the CD81LEL competitive inhibition assay, sE2 was  
212 incubated with different doses (200 µg, 20 µg and 2 µg) of CD81LEL at 37°C for 1 h, following  
213 by the receptor-binding assay described above.

214

#### 215 **HCV infection-blocking assay**

216 To perform an HCV infection-blocking assay, Huh-7.5.1 cells cultured in complete DMEM  
217 were seeded at  $1 \times 10^4$  cells/well in a 96-well plate for 12 h. Then, approximately  $4 \times 10^3$  FFU/ml  
218 of Con1/JFH1 chimeric HCVcc was mixed with serially diluted sE2 protein, CD81LEL protein  
219 or bovine serum albumin (BSA; New England Biolabs), and added to the Huh-7.5.1 cells.  
220 After 4 h, the protein-virus mixture was changed to complete DMEM. After 72 h of culture,  
221 immunostaining was performed as previously described (36) with some modifications. Briefly,  
222 cells were fixed with fixation buffer (4% paraformaldehyde in PBS) and blocked with blocking  
223 buffer (3% BSA, 0.3% Triton X-100 and 10% FBS in PBS), followed by incubation with  
224 anti-HCV NS5A mAb (1:1,000 dilution; Abmart, Shanghai), with Alexa Fluor 488-conjugated  
225 donkey anti-mouse IgG (1:1,000 dilution; A21202; Molecular Probes) and Hoechst dye

226 (1:10,000 dilution; Molecular Probes). The fluorescent foci were observed and enumerated on  
227 a standard fluorescent microscope (Leica).

228

### 229 **Animal immunization**

230 Balb/c mice were purchased from Shanghai SLAC Laboratory Animal Inc. Prior to  
231 immunization, 50 µg sE2 antigens were formulated with 1 mg Inject Alum (Pierce), 1 mg Inject  
232 Alum plus 25 µg CpG 7909 (also known as CpG2006 or PF-3512676; 5'  
233 TCGTCGTTTTGTCGTTTTGTCGTT 3', synthesized from Sangon Biotech, Shanghai), or FA  
234 (Complete FA for prime, and Incomplete FA for boost; Sigma-Aldrich) at a volumetric ratio of  
235 1:1 according to the manufacturer's instructions. To test the capacity of sE2-inducing NABs,  
236 groups of ten female Balb/c mice (6-week old) were injected intraperitoneally (i.p.) at weeks 0,  
237 2 and 4. Blood samples were collected at weeks 0, 2, 4, 6, 13, 17, 22 and 25. To test  
238 sE2-induced immune memory, we boosted mice at week 25 when serum titers significantly  
239 decreased and performed blood sampling at weeks 27 and 28, when mice were terminated.  
240 Sera were kept at -80°C until use. To compare insect cell-derived sE2 and mammalian  
241 cell-derived sE2, and to compare sE2 and sE2ΔHVR1, groups of six female Balb/c mice  
242 (6-week old) were i.p. injected at weeks 0, 2, 4 and 6. Blood samples were collected every two  
243 weeks. In a separate experiment, each of the three sE2 samples representing different  
244 oligomeric states were formulated with alum and used for mouse immunization (6 mice/group)  
245 with the same dosage and immunization schedule as described above, and mouse antisera  
246 were collected at week 12 for measurement of neutralization activities against HCVcc. All the  
247 animal immunization studies were approved by the Institutional Animal Care and Use

248 Committee at the Institut Pasteur of Shanghai (protocol number: A2013006). The animals  
249 were cared for in accordance with institutional guidelines.

250

#### 251 **Antibody measurement**

252 To measure E2-specific antibody responses in serum samples by ELISA, 96-well  
253 EIA/RIA flat-bottom plates were coated overnight with 100 ng/well of sE2. After blocking,  
254 serially diluted sera from mice were added as primary antibodies, followed by 1:5,000 diluted  
255 HRP-conjugated anti-mouse IgG antibody. After color development, colorimetric analysis was  
256 performed at 450 nm in a 96-well plate reader. For a given serum sample, endpoint titer was  
257 defined as the the reciprocal of the highest serum dilution that had an absorbance >0.1 OD  
258 unit above that of the pre-immune samples. For computation of geometric mean titers (GMTs),  
259 serum samples that did not yield positive readings at the lowest dilution tested (1:100) were  
260 assigned an endpoint titer of 50.

261 For measurement of sE2-specific IgG1 and IgG2a responses, sera from each mouse  
262 were 1:10,000 diluted, and then subjected to ELISA analysis using the above described  
263 protocol with some modifications: HRP-conjugated goat anti-mouse IgG1 and goat  
264 anti-mouse IgG2a (SouthernBiotech) were used as the secondary antibody, respectively. The  
265 ratio of IgG1 and IgG2a isotypes was calculated by dividing OD values for IgG1 with OD  
266 values for IgG2a.

267

#### 268 **Competitive ELISA**

269 HRP-conjugated AP33 and AR3A antibodies were generated, respectively, by using  
270 EZ-Link Plus Activated Peroxidase Kit (Thermo Fisher Scientific). For the competitive ELISA,  
271 96-well EIA/RIA flat-bottom plates were coated overnight with 100 ng/well of sE2. After  
272 blocking, serially diluted mouse antisera were added to the wells and incubated at 37°C for 1  
273 h, followed by three washes with PBS. Then, HRP-conjugated AP33 (2 µg/mL) or  
274 HRP-conjugated AR3A (2 µg/mL) was added to the wells and incubated at 37°C for 1 h. After  
275 color development, colorimetric analysis was performed at 450 nm in a 96-well plate reader.

276

#### 277 **Measurement of neutralization activity of the antisera**

278 A panel of HCVcc covering genotypes 1 to 7 was established. All these HCVcc were  
279 used in neutralization assays to assess the neutralization breadth of the antisera. Briefly,  
280 serum samples were heat-inactivated at 56°C for 1 h, and diluted to their appropriate dilutions  
281 in complete DMEM. HCVcc were diluted in complete DMEM to approximately  $4 \times 10^3$  foci  
282 forming units (FFU)/ml, mixed with equal volume (50 µl) of diluted serum samples, and  
283 incubated at 37°C for 2 h. The virus-serum mixture was transferred to Huh-7.5.1 cells seeded  
284 12 h previously in 96-well plates ( $1 \times 10^4$  cells/well) and replaced with complete DMEM after  
285 4-6 h of incubation at 37°C. The cells were incubated at 37°C for 72 h, followed by fixing and  
286 NS5A-immunostaining. Percent neutralization was calculated by comparing the focus  
287 numbers of immune serum to that of a pre-immune serum control at the same dilutions.

288

#### 289 **Measurement of cellular immune responses by ELISPOT assay**

290 To determine the IFN- $\gamma$ - and Interleukin-4- (IL-4) secreting cells in splenocytes, 96-well  
291 PVDF plates (Millipore) were pre-coated with anti-mouse IFN- $\gamma$  capture antibody (an-18;  
292 eBiosciences) or anti-mouse IL-4 capture antibody (11B11; eBiosciences) at 4°C overnight.  
293 Plates were blocked with complete RPMI-1640 medium (Gibco) for 1h at 37°C and freshly  
294 isolated splenocytes were added to the plates. sE2, medium (negative control) or  
295 concanavalin A (positive control) was diluted in complete RPMI-1640 medium and added to  
296 each well at a final concentration of 10  $\mu$ g/ml and incubated for 48h at 37°C and 5% CO<sub>2</sub>.  
297 Subsequently, the plates were incubated with biotinylated anti-mouse IFN- $\gamma$  detection  
298 antibody (R4-6A2; eBiosciences) or biotinylated anti-mouse IL-4 detection antibody  
299 (BVD6-24G2; eBiosciences) diluted in PBST for 2 h, and then with AP-conjugated streptavidin  
300 (Mabtech) diluted in PBS for 1 h. After washing, NBT/BCIP substrate (Promega) was added  
301 for color development. The cytokine-secreting cell spots were imaged and counted on a CTL  
302 Immunospot reader (Cellular Technology Ltd.).

303

#### 304 **Determination of Oligomeric state**

305 Ni-NTA-purified sE2 was subjected to size exclusion chromatography (SEC) using the  
306 AKTA Fast Protein Liquid Chromatography (FPLC) system. Briefly, sE2 was loaded on a  
307 Superdex 200 10/300 GL column, and SEC was performed in PBS buffer at flow rate of 0.5  
308 ml/min. The eluent was analyzed by SDS-PAGE under reducing or non-reducing conditions.  
309 For the non-reducing SDS-PAGE, protein samples were mixed with sample buffer without  
310  $\beta$ -mercaptoethanol and loaded into the gel without boiling.

311

312 **Generation of recombinant HCV**

313 Construction of BiCre-Jc1 encoding recombinase Cre is described elsewhere(12). Briefly,  
314 the plasmid encoding BiCre-Jc1 was linearized with Xba I and transcribed using MEGAscript  
315 T7 (Ambion). RNA was electroporated into Huh-7.5 cells using an ECM 830 electroporator  
316 (BTX Genetronics). The transfected Huh-7.5 cells were cultured in complete DMEM until 72  
317 hours after electroporation, when the medium was replaced with serum-free DMEM and  
318 supernatants were harvested every 6 hours for 3 days. Supernatants were pooled, filtered  
319 through a 0.45  $\mu\text{m}$  bottle top filter (Millipore), and concentrated using a stirred cell (Millipore).  
320 Viral titers ( $\text{TCID}_{50}$ ) were determined using Huh-7.5 cells as previously described (37).

321

322 **Generation and production of recombinant adenovirus**

323 Adenovirus encoding human homologs of the two species-specific HCV entry factors  
324 CD81 and OCLN (AdV-hCD81-2A-hOCLN) was constructed via overlapping PCR of hCD81  
325 and hOCLN templates and cloned into pShuttle. The pSh-hCD81-2A-hOCLN was then  
326 electroporated into BJ5183 AD-1 cells (Agilent), which were pre-transformed with pAdEasy-1  
327 to facilitate recombination with the pShuttle vector. Colonies were selected, prepped, and  
328 screened for a 3-kb band following *PacI* digestion.

329 Adenovirus constructs were then transfected into HEK293 cells (American Type Culture  
330 Collection) cultured in complete DMEM using the calcium phosphate method. Transfected  
331 cultures were maintained until cells exhibited complete cytopathic effect (CPE), then  
332 harvested, and freeze-thawed. Supernatants were serially passaged two more times with  
333 harvest at complete CPE and freeze-thaw. For virus purification, cell pellets were



334 resuspended in 0.01 M sodium phosphate buffer (pH 7.2) and lysed in 5% sodium  
335 deoxycholate, followed by DNase I digestion. Lysates were centrifuged, and the supernatant  
336 was layered onto a CsCl gradient (1.2 to 1.46 g/ml) and spun at 23,000 rpm in a Beckman  
337 Optima 100K Ultracentrifuge using an SW28 spinning bucket rotor (Beckman Coulter).  
338 Adenovirus bands were isolated and further purified on a second CsCl gradient using an  
339 SW41 spinning bucket rotor. Resulting purified adenoviral bands were isolated using an  
340 18.5-gauge needle and twice-dialyzed against 4% sucrose. Adenovirus concentrations were  
341 measured at  $10^{12}$  times the dilution factor times the OD260 reading on a Nanodrop 2000  
342 (Thermo Fisher Scientific). Adenovirus stocks were aliquoted and stored at  $-80^{\circ}\text{C}$ .

343

#### 344 **Active immunization and bioluminescence imaging**

345 Gt(ROSA)26Sor<sup>tm1(Luc)Kaelin</sup> mice (Rosa26-Fluc) were obtained from The Jackson  
346 Laboratory. Mice were bred and maintained at the Laboratory Animal Resources of Princeton  
347 University according to guidelines established by the Institutional Animal Committee (Protocol  
348 number 1930). After sE2 immunization, Rosa26-Fluc mice were injected with  $10^{11}$  adenovirus  
349 particles 24 hours before intravenous injection with  $2 \times 10^7$  TCID<sub>50</sub> HCV-BiCre-Jc1. At 72 hours  
350 post-infection, mice were anesthetized using an isoflurane inhalation anesthesia and injected  
351 intraperitoneally with 1.5 mg of D-luciferin (Caliper Life Sciences). Bioluminescence was  
352 measured using an IVIS Lumina II platform (Caliper Life Sciences).

353

#### 354 **Statistics**

355 Significance comparisons were calculated with two-tailed student *t* test or Kruskal-Wallis  
356 One-Way ANOVA analysis. For correlations, a nonparametric Spearman's test was used.  
357 Significance values are shown as: not significant (ns)  $P \geq 0.05$ , \*  $P < 0.05$ , \*\*  $P < 0.01$ , \*\*\*  
358  $P < 0.001$  and \*\*\*\*  $P < 0.0001$ . All statistical analyses were performed on GraphPad Prism 5.0c  
359 (GraphPad Software, CA).

360

361

## 362 Results

### 363 High-yield production of soluble E2 glycoprotein in *Drosophila* S2 Cells

364 Previous efforts to develop a recombinant HCV vaccine relied on expression of E2 or  
365 E1/E2 heterodimer in mammalian cells (27, 28, 38-40). It was reported that N-glycans  
366 associated with HCV E2 could modulate receptor-binding affinity as well as neutralizing  
367 epitope recognition (41). Thus, we hypothesized that glycosylation might influence the  
368 antigenicity and immunogenicity of sE2. To directly determine the contribution of glycosylation  
369 on the induction of bNAbs by sE2, we produced sE2 in mammalian and insect cell lines  
370 known to yield altered glycan structures on proteins (42). To produce E2 protein of the HCV  
371 strain Con1 (genotype 1b) in insect cells, we used an established *Drosophila* S2 cell  
372 expression system (43). Transgenic cell lines expressing sE2 comprised of residues 384-661  
373 were recovered following transfection of *Drosophila* S2 cells with pMT-sE2 and subsequent  
374 antibiotic selection (Fig. 1A). The sE2 protein was secreted into cell culture supernatant and  
375 remained stable for at least nine days (Fig. 1B). sE2 was readily purified from cell culture  
376 supernatant to near homogeneity (Fig. 1C) at high levels of up to 100 mg/L of supernatant.

377 The purified sE2 migrated at ~45kDa (Fig. 1C), which is much higher than the predicted mass  
378 (~34kDa) based on its amino acid sequence, suggesting possible glycosylation. To examine  
379 the extent and pattern of glycosylation, sE2 was digested with endoglycosidases Endo H and  
380 PNGase F. As shown in Fig. 1D, PNGase F treatment of sE2 generated a band at the  
381 calculated molecular mass of ~34 kDa, suggesting that sE2 is fully deglycosylated by  
382 PNGase F. Endo H digestion resulted in a band only slightly below untreated sE2, suggesting  
383 that sE2 contains Endo H-resistant glycan types, such as paucimannose N-glycans, as  
384 previously reported for other S2 cell-produced glycoproteins (42).

385

#### 386 **Functional and conformational characterization of sE2**

387 Proper folding of recombinant sE2 is critical for inducing antibodies capable of binding to  
388 the envelope of HCV particles. Thus, we performed a variety of tests to insure that sE2 retains  
389 its native conformation. CD81 and SRB1 are two critical HCV entry factors and directly  
390 interact with E2 (44, 45), but only when the E2 protein is properly folded. To determine  
391 whether S2 cell-produced sE2 can bind CD81 and SRB1, CHO cells stably expressing human  
392 CD81 (designated CHO-CD81) or SRB1 (CHO-SRB1) were incubated with sE2, and the  
393 binding of sE2 to CHO-CD81 or CHO-SRB1 cells assessed by flow cytometry (34). As shown  
394 in Fig. 1E, sE2 bound to both CHO-CD81 and CHO-SRB1, and binding to CHO-CD81 was  
395 inhibited by recombinant soluble large extracellular loop of CD81 (CD81LEL) in a  
396 dose-dependent manner (Fig. 1F) in agreement with the previous finding that CD81LEL is the  
397 binding domain of E2 (45). Next, we tested sE2 for its ability to block HCVcc infection. As  
398 shown in Fig. 1G, HCVcc infection of Huh-7.5.1 cells was inhibited by sE2 in a

399 dose-dependent manner, demonstrating that sE2 competes with HCVcc for receptor binding  
400 sites.

401 The conformation of sE2 was further assessed by using two well-characterized,  
402 E2-specific, broadly neutralizing monoclonal antibodies (mAbs) AR3A (10) and AP33 (35),  
403 which target conformational and linear epitopes, respectively. ELISA analysis revealed that  
404 sE2 efficiently reacted with both AR3A and AP33 in a dose-dependent manner (Fig. 1H).  
405 Because AR3A recognizes a conformational epitope that overlaps with the CD81 binding site  
406 (10, 46), our results demonstrate that sE2 acquires a conformation critical for binding both key  
407 receptors and bNAbs, and therefore has a high potential to elicit bNAbs. Thus, collectively,  
408 our data strongly suggest that our sE2 antigen is properly folded.

409

#### 410 **Immunization of mice with sE2 induces HCV E2-specific antibodies that can neutralize** 411 **a diverse panel of HCV genotypes**

412 The immunogenicity of our recombinant sE2 vaccine was first evaluated in BALB/c mice  
413 in combination with aluminum hydroxide (Alum), Alum plus CpG7909, or Freund's adjuvant  
414 (FA). As shown in Fig. 2A, the sE2-specific antibody titers of all mice from the three vaccine  
415 groups peaked at week 6 and gradually decreased, but remained above 1,000, until week 22.  
416 Following a homologous boost at week 25, a drastic increase in sE2-specific antibody titers  
417 was observed at week 27 for the three vaccine groups but not the control group (Fig. 2A),  
418 indicating the presence of sE2-specific B cell memory. Among the three experimental  
419 vaccines, sE2 adjuvanted with FA, which is not approved for human use, elicited the highest  
420 antibody titers at week 6 (Fig. 2B). The sE2-specific antibody titers of the sE2/Alum/CpG7909

421 group were significantly higher than those of the sE2/Alum group (Fig. 2B). Moreover, the  
422 sE2/Alum group predominantly produced the IgG1 subclass of antibodies (Fig. 2C),  
423 confirming that Alum is a T helper (Th) 2-biased adjuvant. The addition of CpG7909 resulted  
424 in a low IgG1/IgG2a ratio (Fig. 2C), indicating that CpG re-directs the Th cell bias from Th2 to  
425 Th1.

426 Antigen-specific T cell responses induced by the immunizations were assessed by  
427 ELISPOT in splenocytes. The three vaccine groups, but not the control, showed significantly  
428 higher numbers of IFN- $\gamma$ - and interleukin (IL)-4-secreting cells (Fig. 2D). Therefore,  
429 immunization of mice with sE2 can elicit antigen-specific IFN- $\gamma$ - and IL-4-T cell memory, which  
430 can be rapidly recalled to respond to subsequent viral antigen exposure.

431 The ability of the mouse antisera to inhibit HCV infection *in vitro* was assessed by a  
432 micro-neutralization assay using a panel of chimeric HCVcc containing envelope proteins of  
433 12 different strains, which cover nine sub-genotypes in all the seven genotypes (30-33). As  
434 shown in Fig. 3A, anti-sE2 sera at a 1:40 dilution were able to efficiently neutralize  
435 (neutralization  $\geq 50\%$ ) almost all of the HCVcc in the panel. Antisera from a minority of the  
436 mice had relatively poor neutralization (neutralization  $< 50\%$ ) against only J6 and QC69.  
437 Notably, PR52B6mt and PR79L9, containing envelope proteins constructed directly from two  
438 clinical isolates (33), were effectively neutralized. As shown in Table 1, for the three sE2  
439 vaccine groups, the pooled sera titers that yielded  $\geq 50\%$  neutralization ( $NT_{50}$ ) were no less  
440 than 40 against all the HCVcc tested. Among them, the sE2/Alum/CpG antisera appeared to  
441 be the most effective in terms of overall neutralization potency and breadth, with  $NT_{50} \geq 160$  for  
442 all the HCVcc strains.

443 The above data demonstrate that sE2 can elicit the production of bNAbs. To determine  
444 whether the induced bNAbs target different neutralizing epitopes, we developed a competitive  
445 ELISA, in which HRP-conjugated AP33 and AR3A were used as the detection antibodies. The  
446 results from competitive ELISAs showed that sE2 binding to both AP33 and AP3A was  
447 inhibited by pre-incubation with the antisera from the three sE2 vaccine groups in a  
448 dose-dependent manner, whereas the control sera from the PBS group did not exhibit  
449 significant inhibitory effects (Fig. 3B). These data indicate the presence of both AP33-like and  
450 AR3A-like broadly neutralizing antibodies in the anti-sE2 sera, which may contribute to the  
451 observed broad neutralization by the anti-sE2 sera.

452

#### 453 **Oligomeric state of sE2 does not affect bNAb induction**

454 A previous study showed that a similar version of sE2 produced in mammalian cells  
455 existed primarily in monomeric and dimeric forms (40). To define the oligomeric state of our  
456 insect cell-produced sE2, we subjected the Ni-NTA-purified sE2 to SEC analysis. Three major  
457 peaks were identified in the SEC chromatogram (Fig. 4A). Non-reducing SDS-PAGE showed  
458 that monomers (~45kDa) and dimers (~90kDa) were predominantly detected in the peak 3  
459 and the peak 2, respectively, whereas the peak 1 contained large-molecular-mass protein  
460 probably representing sE2 megamers (Fig. 4B). To investigate the impact of sE2 oligomeric  
461 state on bNAb induction, we immunized three groups of BALB/c mice with equal amount (50  
462 ug) of sE2 antigens concentrated from the three peaks, respectively, and compared the  
463 resulting mouse antisera for neutralization against different HCVcc genotypes. As shown in  
464 Fig. 4C, despite that the antisera from mice immunized with the peak 3 antigen exhibited

465 decreased neutralization activity against the homologous strain Con1 as compared to the  
466 antisera from the other two groups (peak 1 and peak 2), no significant difference in  
467 neutralization against all of the heterologous strains tested was observed for the three sE2  
468 vaccine groups. These results indicate that oligomeric state of sE2 does not affect its ability to  
469 induce bNAbs..

470

471 **The unique glycosylation of insect cell-derived sE2 is critical for its ability to induce**  
472 **broadly neutralizing antibodies**

473       Next, we analyzed the contribution of glycosylation on the induction of bNAbs by directly  
474 comparing the immunogenicity of sE2 produced in human HEK293T cells (Figs. 5A-B) with  
475 sE2 produced in *Drosophila* S2. Upon PNGase F treatment, both proteins yielded the same  
476 ~34kDa band (Figs. 5A and 5C); however, Endo H-treated mammalian sE2 showed a  
477 smeared (from 70kDa to 35kDa) banding pattern (Fig. 5A), which differs from that of Endo  
478 H-treated insect sE2 (Fig. 1D). These results demonstrate that the glycosylation patterns of  
479 insect and mammalian HCV sE2 are different, which is in line with previous studies comparing  
480 the envelope proteins of other viruses, e.g. influenza A virus, expressed in cells of  
481 heterologous species (42). Then, insect sE2 and mammalian sE2 were compared for mAb  
482 recognition. Both sE2 versions reacted with the AP33 mAb in an identical fashion, whereas  
483 mammalian sE2 was poorly recognized by the mAb AR3A as compared to insect sE2 (Fig.  
484 5D); however, after PNGase F digestion to remove N-linked glycans (Fig. 5C), both  
485 deglycosylated sE2 proteins reacted equally with AR3A and AP33 antibodies (Fig. 5E). These  
486 antibody binding data indicate that glycosylation can affect epitope exposure on sE2.

487 Moreover, mammalian sE2 bound CD81 and SRB1 less efficiently than insect sE2 did,  
488 whereas their deglycosylated forms performed equally (Fig. 5F), although both sE2 versions  
489 compete with CD81LEL (Fig. 5G-H) and HCVcc (Fig. 5I). These results suggest that the  
490 better receptor recognition by insect sE2 is due to its unique glycosylation pattern.

491 To assess the impact of glycosylation on sE2's immunogenicity and NAb-inducing ability,  
492 we immunized four groups of BALB/c mice with insect sE2, mammalian sE2, or their N-linked  
493 deglycosylated counterparts. Among the four groups, the one immunized with insect sE2  
494 produced the highest binding antibody titers (Fig. 5J) as well as the highest neutralization  
495 antibody titers against all HCVcc tested (Fig. 5K). Specifically, antisera induced by insect sE2  
496 showed  $NT_{50} \geq 160$  against all the tested HCVcc strains, whereas antisera of the mammalian  
497 sE2 group exhibited  $NT_{50} \geq 160$  for only two strains (Con1 and JFH1) (Fig. 5K). In addition,  
498 removal of N-glycans from insect sE2 resulted in reduced sE2-binding and neutralization  
499 antibody titers (Fig. 5K). These data suggest that the unique glycosylation in insect  
500 cell-derived sE2 is critical for its ability to induce pan-genotypic neutralizing antibodies.

501

#### 502 **HVR1-deleted mutant is equivalent to the intact sE2 in eliciting bNAbs**

503 Hypervariable region 1 (HVR1) is a highly variant region in the N-terminus of E2 and is a  
504 predominant neutralizing epitope in the context of natural infection. However, anti-HVR1 NAb  
505 are mostly isolate-specific (18, 46), and HVR1 has been shown to play a detrimental role in  
506 virus neutralization (47-49). We therefore evaluated whether the deletion of HVR1 from sE2  
507 (sE2 $\Delta$ HVR1, Fig. 6A) would affect its conformation and ability to induce cross-reactive NAb.  
508 sE2 $\Delta$ HVR1 retained the ability to bind CD81, but poorly bound SRB1 (Fig. 6B), indicating that



509 HVR1 is involved in interacting with SRB1, in agreement with previous findings (50). The  
510 ability of sE2 $\Delta$ HVR1 to inhibit HCVcc infection was significantly impaired compared to  
511 full-length sE2 (Fig. 6C), likely due to the failure of sE2 $\Delta$ HVR1 to block the interaction  
512 between HCVcc and SRB1. Both sE2 $\Delta$ HVR1 and full-length sE2 were examined for their  
513 ability to react with mAbs AR3A and AP33. As shown in Fig. 6D, the reactivity of sE2 $\Delta$ HVR1 to  
514 AR3A was nearly identical to that of full-length sE2, whereas binding of sE2 $\Delta$ HVR1 to AP33  
515 was much greater than full-length sE2, suggesting that HVR1 (aa. 384-410), present on  
516 full-length sE2, somewhat masks the adjacent AP33 epitope (aa. 412-423).

517 Next, we immunized BALB/c mice with sE2 and sE2 $\Delta$ HVR1. The sE2- and sE2 $\Delta$ HVR1-  
518 binding activities of antisera from both groups were similar (Fig. 6E). Although the neutralizing  
519 ability of the anti-sE2 $\Delta$ HVR1 sera against the homologous Con1 strain was significantly  
520 impaired as compared to that of full-length sE2, no significant difference in neutralization of  
521 the 11 heterologous strains was observed between the two antisera (Fig. 6F). These results  
522 demonstrate that sE2 $\Delta$ HVR1 is equivalent to the full-length sE2 in inducing broadly  
523 neutralizing antibodies.

524

#### 525 **Prophylactic efficacy of sE2 vaccine in a genetically humanized mouse model of HCV** 526 **infection**

527 The *in vivo* protective efficacy of the sE2 vaccine was evaluated using a genetically  
528 humanized mouse model (12). Rosa26-Fluc mice immunized with sE2/Alum generated  
529 high-titer sE2-specific antibodies whereas those injected with PBS/Alum did not (Fig. 7A-B,  
530 *n*=6 per group). Following injection of adenoviruses expressing HCV entry factors (EF) CD81

531 and OCLN, the immunized Rosa26-Fluc mice were subsequently challenged with Jc1-derived  
532 bicistronic HCV encoding Cre recombinase (BiCre-Jc1, genotype 2a) (12). As shown in Fig.  
533 7C-D, the bioluminescence signals were drastically reduced in the sE2/Alum immunized mice  
534 as compared to the PBS/Alum and the “no EF” groups. These results indicate that active  
535 immunization with sE2 can efficiently protect against HCV infection *in vivo*.

536

### 537 Discussion

538 Glycosylated envelope proteins of viruses are often the targets for vaccine development.  
539 Thus far, the role of glycosylation in vaccine immunogenicity remains controversial (51-54).  
540 Structural analysis suggested that N-linked glycans in HCV E2 mask the exposed face on the  
541 E2 surface from NABs (55, 56). In the present study, we aimed to analyze the impact of  
542 glycosylation on the immunogenicity of a HCV E2 protein-based recombinant vaccine  
543 candidate. We demonstrate that the glycosylation pattern associated with S2 cell-derived sE2  
544 may be more favorable to eliciting bNABs than mammalian cell-produced sE2 (40). In our  
545 study, we show that the removal of N-glycans in sE2 markedly reduced the antibody titers  
546 (Fig. 5J). In addition, S2 cell-produced sE2, which is decorated with less complex glycans, not  
547 only was more immunogenic (Fig. 5J) but also had more exposed neutralizing epitopes (Fig.  
548 5B-E) and receptor-binding domains (Fig. 5F) as compared to its mammalian cell-derived  
549 counterpart. This suggests that the unique glycosylation of S2 cell-produced sE2 is important  
550 for its bNABs-inducing ability.

551 In addition to the altered glycosylation of insect cell derived sE2, we reason that  
552 increased conformational flexibility contributes to the greater immunogenicity we observed.

553 sE2 expressed in S2 cells appears to have acquired a critical conformation suitable for  
554 inducing bNAbs, and such a conformation may not readily occur when E2 is presented in a  
555 more complex structure, such as a virion or E1/E2 heterodimer. For example, HVR1 present  
556 on HCV particles has been shown to obstruct the CD81 binding site, thereby masking  
557 conserved neutralizing epitopes (50), and an initial contact with SRB1 may be needed to  
558 unmask the binding site for E2 to interact with CD81. In addition, it has also been reported that  
559 deletion of HVR1 enhances the CD81 binding activity of E1/E2 heterodimers in HCV  
560 pseudo-particles (57). In contrast, we found that the deletion of HVR1 did not significantly  
561 affect the CD81-binding activity of sE2 (Fig. 6B), indicating that the HVR1 domain in the  
562 context of insect cell-produced sE2 does not functionally mask the CD81 binding site and  
563 therefore may facilitate the elicitation of CD81 binding site-specific antibodies. In support of  
564 this notion, structural studies suggest that in sE2, the region from HVR1 (residue 384-410) to  
565 a conserved epitope (residue 412-423) is conformationally flexible (58, 59), which may aid in  
566 exposure of the conserved epitope. Conceivably, this flexibility enables sE2 to represent  
567 critical E2 intermediate form(s) in a transition-phase of HCV entry and may therefore be key to  
568 the ability of sE2 to induce pan-genotypic NAbs.

569 Another important consideration for prioritizing HCV vaccine candidates is scalability for  
570 potential mass production. Although the yields of insect and mammalian sE2s may differ for  
571 other HCV strains, in this study, correctly folded sE2 of Con1 strain can be produced at high  
572 levels in the S2 expression system (100 mg/L), which is considerably higher than the yields  
573 (1-2 mg/L) of mammalian sE2 or a similar version termed eE2 (40). The yield could potentially

574 be further improved by using a perfusion culture technology as described previously (60). The  
575 identification of such a production system will be important for practical scaling up.

576 Thus far, only a few NAb-based vaccines have been evaluated *in vivo* due to the scarcity  
577 of small animal models for HCV infection (12, 19, 20, 23). For example, an inactivated HCV  
578 vaccine was found to induce NAbs capable of protecting chimeric uPA/SCID mice from lower  
579 doses ( $10^3$  RNA copies of HCVcc) of HCV challenge, but not higher doses ( $10^4$  and  $10^5$ ) (23).  
580 In this study, we used immunocompetent mice to assess the immunogenicity as well as  
581 prophylactic efficacy of the vaccine candidate. Proof-of-concept for the utility of this  
582 genetically humanized mouse model to test antibody-based vaccine candidates was  
583 previously established with a recombinant vaccinia-virus vector expressing the structural  
584 proteins of a genotype 1a HCV vaccine strain. Here, we employed this model to assess the  
585 efficacy of our recombinant vaccine candidate. We found that genetically humanized mice  
586 immunized with Con1 (genotype 1b)-derived sE2 were protected from heterologous challenge  
587 with  $2 \times 10^7$  TCID<sub>50</sub> of BiCre-Jc1 (genotype 2a) HCVcc. As BiCre-Jc1 HCVcc is a relatively  
588 difficult-to-neutralize strain (Fig. 3A, and references(10-13)), the observed cross-protection  
589 highlights the potential of sE2 as a broadly protective HCV vaccine. While evaluation of the  
590 efficacy of this vaccine in chimpanzees – the only other known species to be readily  
591 susceptible to HCV infection – would be desirable, experimentation in great apes is banned in  
592 most countries and/or is no longer supported by federal funding due to ethical concerns. Thus,  
593 the genetically humanized mouse model remains to date the only immunocompetent animal  
594 model available to test preclinically the efficacy of vaccine candidates. We should point out  
595 that this mouse model is not without caveats. For example, since not all of the identified

596 human receptors/co-factors for HCV (17) are present in this model, it may be easier to prevent  
597 infection in this model than with natural infection. Nevertheless, we have previously shown  
598 that levels of protection correlate well with the immunogenicity of vectored vaccines in this  
599 model (12) and thus such humanized mice seem adequate for evaluating the efficacy of our  
600 recombinant HCV vaccine.

601

602 In conclusion, a sE2 vaccine based on expression of viral envelope proteins in insect  
603 cells has multiple benefits. Firstly, the simple glycosylation and high flexibility of insect  
604 cell-derived sE2 may aid its ability to induce bNAbs, as demonstrated by the highly broad  
605 NAbs observed in mice. Secondly, industrial production-related advantages, such as simple  
606 composition, high yield, and ease in purification, will greatly reduce production costs and also  
607 make it possible to develop a multivalent vaccine formulation for broader coverage if needed.  
608 Thirdly, the *in vivo* protective efficacy of sE2 was confirmed by an active immunization,  
609 instead of a passive immunization, in a humanized mouse model, which is the only  
610 immunocompetent animal model (except chimpanzee) of HCV infection currently available.  
611 These results should encourage further pre-clinical and clinical development of a sE2-based,  
612 broadly protective HCV vaccine.

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614

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857 **Figure legends**

858 **FIG 1 Expression and characterization of sE2 derived from stably transfected**

859 ***Drosophila* S2 Cells.**

860 (A) The schematic diagrams of sE2 expression construct. Truncated E2 (aa. 384-661) gene  
861 from the Con1 strain was inserted between the BiP signal peptide and the His-tag. (B)  
862 Western blot analysis of sE2 accumulation in the supernatant of the stably transfected S2 cell  
863 culture after different periods of induction. (C) SDS-PAGE analysis of purified sE2. (D)  
864 Analysis of sE2 glycosylation by PNGase F or Endo H digestion. Glycosidase treated and  
865 untreated samples were then subjected to Western blotting with anti-E2 (AP33) or anti-His  
866 mAb as the detection antibody. (E) Receptor binding assay. sE2 protein was incubated with  
867 wild-type CHO (CHO-WT), CHO-CD81, or CHO-SRB1 cells, stained with anti-His mAb  
868 followed by Alexa Fluor-555 conjugated anti-mouse IgG, and detected by flow cytometry. (F)  
869 Dose-dependently competitive inhibition of sE2 binding to CHO-CD81 cells by CD81LEL. sE2  
870 was incubated with different doses of CD81LEL before performing the receptor-binding assay.  
871 (G) Blockade of HCVcc infection by sE2. Serially diluted sE2 was mixed with HCVcc, and the  
872 mixtures were added to Huh7.5.1 cells to allow infection for 4 h. CD81LEL and BSA were set  
873 as controls. NS5A-immunostaining was performed at 72 h post-infection. Means  $\pm$  SEM of the  
874 triplicates are shown. (H) Recognition of sE2 by neutralizing mAbs AR3A (1  $\mu$ g/mL) or AP33  
875 (1  $\mu$ g/mL). Means  $\pm$  SEM of the OD450 readings from triplicate wells are shown.

876

877

878 **FIG 2 Induction of antibody and cellular-mediated immune responses in mice.**

879 (A) Kinetics of sE2-specific antibody titers. BALB/c ( $n=10$  per group) mice were immunized  
880 intraperitoneally at weeks 0, 2, 4, and 25 (arrowed), and the serum titers were measured by  
881 ELISA. Data are expressed as the mean  $\pm$  SEM of the endpoint titers for each group. (B)  
882 Anti-sE2 IgG titers at week 6. (C) IgG1/IgG2a ratios of sE2 specific antibodies at week 6.  
883 Horizontal lines indicate the geometric means for each group. Asterisks represent significant  
884 differences between groups. Statistical significance was calculated by Kruskal-Wallis  
885 One-Way ANOVA analysis. (D) Cellular immune responses as measured by IFN- $\gamma$  and IL-4  
886 ELISPOT assays. Splenocytes were isolated from mice spleens at week 27, pooled and  
887 stimulated with sE2 protein. Results are expressed as spot-forming cells (SFCs) per  $10^6$   
888 splenocytes. Means  $\pm$  SEM of triplicate wells were shown. Asterisks represent significant  
889 differences (two-tailed student  $t$  test) between medium (white bars) and sE2 stimulation (blue  
890 bars) in each group. Ns (no significance),  $P \geq 0.05$ ; \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ .

891

892 **FIG 3 Induction of bNAbs against HCVcc of genotypes 1-7 in mice.**

893 (A) Neutralization assay. Mouse antisera collected at week 27 were diluted 1:40 and then  
894 tested for neutralization of a panel of HCVcc consisting of 12 strains from 7 genotypes. The  
895 names of the indicated HCVcc were shown as "strain(genotype)" in each graph. Each symbol  
896 represents one animal and the horizontal lines indicate the geometric means for each group.  
897 The data are representative of three independent experiments. (B) Competitive ELISA.

898 Mouse antisera were serially diluted and tested for inhibition of AR3A and AP33 binding sE2.

899 Means  $\pm$  SEM of the OD450 readings for all animals in each group are shown.

900

901 **FIG 4 Analysis of oligomeric states of sE2 and their bNAbs-inducing ability.**

902 (A) Size exclusion chromatography of insect sE2 revealed three major peaks representing

903 different oligomeric states. (B) Analysis of the samples from the three peaks by SDS-PAGE

904 under non-reducing and reducing conditions. Megamers, tetramers (~170kDa), trimers

905 (~130kDa), dimers (~90kDa), and monomers (~45kDa) were indicated by arrows. (C)

906 Neutralization activities of the antisera. Four groups of mice were immunized with PBS, or one

907 of the antigens from the three peaks, respectively, and the resulting antisera were tested at

908 1:40 dilution for neutralization of a panel of HCVcc as indicated. Asterisks represent

909 significant differences (Kruskal-Wallis One-Way ANOVA) between groups: ns (no

910 significance); \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ .

911

912 **FIG 5 Comparative analyses of mammalian cell- and insect cell-derived sE2 proteins.**

913 (A) Western blot analysis of mammalian cell-derived sE2 without or with PNGase F or Endo H

914 digestion. (B) SDS-PAGE analysis of insect sE2 and mammalian sE2. (C) SDS-PAGE

915 analysis of PNGase F-treated insect sE2 and mammalian sE2. (D-E) Reactivity of different

916 sE2 forms with neutralizing mAbs AR3A (1  $\mu\text{g}/\text{mL}$ ) or AP33 (5  $\mu\text{g}/\text{mL}$ ) in ELISAs. Error bars

917 indicate Means  $\pm$  SEM. Statistical significance was calculated by two-tailed student *t* test: ns

918 (no significance),  $P \geq 0.05$ ; \*\*\*,  $P < 0.001$ . Representative results of three independent

919 experiments are shown. (F) Binding of the glycosylated or N-deglycosylated mammalian and  
920 insect sE2 to CHO-WT, CHO-CD81, or CHO-SRB1 cells measured by flow cytometry.  
921 Representative results of three independent experiments are shown. (G-H) Competitive  
922 inhibition of insect sE2 (G) or mammalian sE2 (H) binding to CHO-CD81 cells by CD81LEL.  
923 (I) Comparison of HCVcc infection blocking by insect sE2 and mammalian sE2. Means  $\pm$  SEM  
924 of the triplicates are shown. (J) sE2-specific antibody endpoint titers. Groups of mice ( $n=6$  per  
925 group) were immunized with 40 $\mu$ g of mammalian sE2, insect sE2, N-deglycosylated  
926 mammalian sE2, or N-deglycosylated insect sE2, respectively, in the presence of 500 $\mu$ g Alum  
927 adjuvant. Another group was injected with PBS plus Alum, serving as the control. sE2-specific  
928 antibody endpoint titers were determined by ELISA. Each symbol represents one animal and  
929 the line indicates the geometric mean value of the group. Statistical significance was  
930 calculated by Kruskal-Wallis One-Way ANOVA analysis: \*,  $P<0.05$ ; \*\*\*,  $P<0.001$ . (K) NT<sub>50</sub>s of  
931 the pooled antisera against a panel of HCVcc.

932

933 **FIG 6 Effect of HVR1 in induction of broadly NAbs.**

934 (A) SDS-PAGE analysis of purified sE2 $\Delta$ HVR1 and full-length sE2. (B) Receptor binding  
935 functions of sE2 $\Delta$ HVR1 and sE2 analyzed by flow cytometry. The deletion of HVR1  
936 diminished sE2 binding to SRB1, while not affecting sE2 binding to CD81. Results shown are  
937 representative of three independent experiments. (C) Significantly weaker blockage of HCVcc  
938 infection by sE2 $\Delta$ HVR1 comparing to sE2. Mean values  $\pm$  SEM from three independent  
939 experiments performed in duplicate were shown. Asterisks represent significant differences  
940 (two-tailed student  $t$  test) between sE2 and sE2 $\Delta$ HVR1 groups: ns (no significance),  $P\geq 0.05$ ;

941 \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ . (D) Reactivity of sE2 and sE2 $\Delta$ HVR1 to AR3A or AP33  
942 mAbs. Serial diluted sE2, sE2 $\Delta$ HVR1 or BSA samples were coated in ELISA plates and  
943 detected by AR3A or AP33 mAb. Data are mean values  $\pm$  SEM of triplicate wells. (E-F)  
944 Comparison of neutralization activities of antisera induced by sE2 and that by sE2 $\Delta$ HVR1.  
945 BALB/c mice ( $n=6$  per group) were injected intraperitoneally using sE2, sE2 $\Delta$ HVR1 or PBS  
946 (all adjuvanted by Alum) for four times. sE2-specific or sE2 $\Delta$ HVR1-specific antibody titers of  
947 mouse sera in each group were measured by ELISA. Mean values  $\pm$  SEM of all animals in  
948 each group were shown (E). Neutralization of the sE2- and the sE2 $\Delta$ HVR1-immunized mouse  
949 sera (1:40 diluted) against HCVcc of genotypes 1-7 were compared (F). Horizontal lines  
950 indicate geometric means for each group. The results are representative of three independent  
951 experiments.

952

953 **FIG 7 Prophylactic efficacy of active vaccination with sE2.**

954 ROSA26-Fluc mice were injected intraperitoneally at week 0, 1, 3 and 6 (green arrow) with 50  
955  $\mu$ g sE2 plus 1  $\mu$ g Alum per mouse ( $n=6$ ) or with PBS plus Alum ( $n=6$ ). (A) Anti-sE2 endpoint  
956 titers were measured by ELISA and plotted for the indicated time points. (B) Anti-sE2 IgG  
957 titers for the day of challenge with HCVcc (red arrow, day 72 post first injection). Mean values  
958  $\pm$  SD of all animals in each group were shown. (C) *In vivo* imaging for the HCVcc challenged  
959 ROSA26-Fluc mice. At week 11, human HCV entry factors (EF) were adenovirally delivered to  
960 the liver and mice were challenged with HCVcc expressing Cre recombinase  
961 (HCV-BiCre-Jc1, genotype 2a). An untreated cohort ( $n=6$ ) was only challenged with HCVcc  
962 without adenoviral delivery of human EF. (D) Bioluminescence was quantified 72 hours

963 following HCV-BiCre-Jc1 infection. Error bars represent SD. Statistical significance was  
 964 calculated by Kruskal-Wallis One-Way ANOVA analysis: \*\*\*\*,  $P < 0.0001$ .

965

966

967

968 **TABLE 1 NT<sub>50</sub> of the mouse antisera against HCVcc of genotypes 1-7.** The mouse  
 969 antisera collected at week 27 were pooled for each group and then used for neutralization  
 970 tests. NT<sub>50</sub> was defined as the highest dilution of sera able to neutralize 50% of HCVcc  
 971 infectivity. Data are representative results from three independent experiments.

972

Antisera	1a		1b		2a		2b	3a	4a	5a	6a	7a
	H77	Con1	PR52 B6mt	PR79 L9	JFH1	J6	J8	S52	ED43	SA13	HK6a	QC69
PBS	<5	<5	<5	<5	<5	<5	<5	<5	<5	<5	<5	<5
sE2+Alum	160	320	160	640	1280	160	80	80	80	160	80	160
sE2+Alum+CpG	320	320	160	640	1280	160	160	160	320	160	160	160
sE2+FA	80	640	160	1280	640	80	80	40	160	80	80	40

973















