

1 **Mice expressing minimally humanized CD81 and occludin genes support**
2 **hepatitis C virus uptake *in vivo***

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4 Running title: HCV uptake in entry factor knock-in mice

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6 Qiang Ding¹, Markus von Schaewen¹, Gabriela Hrebikova¹, Brigitte Heller¹, Lisa
7 Sandmann², Mario Plaas³, Alexander Ploss^{1,4}

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9 ¹ Princeton University, Department of Molecular Biology, Lewis Thomas
10 Laboratory, Washington Road, Princeton NJ 08544, USA

11 ²Hannover Medical School, Hannover, Germany

12 ³ University of Tartu, Faculty of Medicine, Institute of Biomedicine and
13 Translational Medicine, Laboratory Animal Centre, Ravila 14b, Tartu, Estonia,
14 50411

15

16 ⁴To whom correspondence should be addressed: AP, aploss@princeton.edu

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20 **Abstract:**

21 Hepatitis C virus (HCV) causes chronic infections in at least 150 million
22 individuals world-wide. HCV has a narrow host range and robustly infects only
23 humans and chimpanzees. The underlying mechanisms for this narrow host
24 range are incompletely understood. At the level of entry differences in the amino
25 acid sequences between the human and mouse orthologues of two essential
26 host factors, the tetraspannin CD81 and the tight junction protein occludin
27 (OCLN) explain at least in part HCV's limited ability to enter mouse hepatocytes.
28 We have previously shown that adenoviral or transgenic overexpression of
29 human CD81 and OCLN facilitates HCV uptake into mouse hepatocytes *in vitro*
30 and *in vivo*. In efforts to refine these models we constructed knock-in mice in
31 which the second extracellular loops of CD81 and OCLN were replaced with the
32 respective human sequences, which contain the determinants that are critical of
33 HCV uptake. We demonstrate that the humanized CD81 and OCLN are
34 expressed at physiologic levels in a tissue-appropriate fashion. Mice bearing the
35 humanized alleles form normal tight junctions and do not exhibit any immunologic
36 abnormalities, indicating that interactions with their physiologic ligands are intact.
37 HCV entry factor knock-in mice enable HCV uptake with similar efficiency as
38 mice expressing HCV entry factors transgenically or adenovirally, demonstrating
39 the utility of this model for studying HCV infection *in vivo*.

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43 **Importance:**

44 At least 150 million individuals are chronically infected with hepatitis C virus
45 (HCV). Chronic hepatitis C can result in progressive liver disease and liver
46 cancer. New antiviral treatments can cure HCV in the majority of patients but a
47 vaccine remains elusive. To gain a better understanding of the processes
48 culminating in liver failure and cancer and to prioritize more efficiently vaccine
49 candidates, small animal models are needed. Here, we describe the
50 characterization of a new mouse model in which the parts of two host factors that
51 are essential for HCV uptake, CD81 and occludin (OCLN) which differ between
52 mice and men were humanized. We demonstrate that such minimally humanized
53 mice develop normally, express the modified genes at physiological levels and
54 support HCV uptake. This model is of considerable utility for studying viral entry
55 in the three dimensional context of the liver and to test approaches aimed at
56 preventing HCV entry.

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59 **Introduction:**

60 Hepatitis C virus (HCV) is a positive sense, single stranded RNA virus belonging
61 to the *Flaviviridae* family, genus hepacivirus (1). HCV progresses to persistent
62 infection in 70-80% of those individuals who become acutely infected (2). Chronic
63 carriers are at risk of developing fibrosis, cirrhosis and hepatocellular carcinoma
64 (HCC) if untreated. Over the few years very potent directly acting antivirals
65 (DAAs) have been approved which can cure HCV infection in the majority of
66 patients (reviewed in (3)). Despite these tremendous successes HCV disease
67 burden has only marginally decreased in part due to the limited availability of
68 curative drug regimens and the lack of a protective vaccine. It remains also
69 incompletely understood why individuals who have been successfully treated and
70 have progressed to advanced fibrosis remain at an elevated risk for developing
71 HCCs. Both vaccine development and improving our understanding of HCV
72 pathogenesis would greatly benefit from a small animal for hepatitis C (4).

73 HCV's host range is limited to productive infection in humans and chimpanzees.
74 It remains mechanistically incompletely understood why HCV has such a narrow
75 host range. In mouse cells the HCV life-cycle is blocked or inefficiently supported
76 at multiple steps, in particular viral entry and HCV RNA replication (reviewed in
77 (5)). A surprisingly large number of host factors have been shown to be important
78 in the uptake of HCV into human hepatocytes, including glycosaminoglycans
79 (GAGs) present on heparan sulfate proteoglycans (HSPGs)(6), low-density-
80 lipoprotein receptor (LDLR) (7), CD81 (8), scavenger receptor class B member 1
81 (SCARB1) (9), the tight junction (TJ) proteins claudin-1 (CLDN1) (10) and
82 occludin (OCLN) (11, 12), the receptor tyrosine kinases epidermal growth factor
83 receptor (EGFR) and ephrin receptor A2 (EphA2) (13), the cholesterol
84 transporter Niemann-Pick C1-like 1 (NPC1L1) (14), transferring receptor 1
85 (TfR1) (15), the cell death-inducing DFFA-like effector b (CIDEB) (16) and E-
86 cadherin (17). Of those, differences in the sequences of CD81 and OCLN
87 between the murine and human orthologues can at least in part explain the lower
88 efficiency of HCV uptake in rodent versus human cells. Specifically, residues

89 contained in the second extracellular loops of CD81 and OCLN, which have
90 previously been shown to be critical for HCV entry are not conserved (18, 19).
91 We have previously demonstrated that ectopic overexpressing of human CD81
92 and OCLN enables uptake into mouse cell lines of both hepatic and non-hepatic
93 origin (12, 20). Both CLDN1 and SCARB1 are also required but orthologues from
94 other non-human species, such as mouse or hamster, have been shown to
95 support HCV entry. When CD81 and OCLN are overexpressed through
96 adenoviral delivery (21) or transgenically (22) HCV can enter mouse hepatocytes
97 *in vivo*. While such genetically humanized mice have proven to be useful to study
98 HCV entry and to test approaches focussing on blocking HCV entry (21, 23-25) a
99 shortcoming is the unphysiologically high expression level achieved by these
100 heterologous expression approaches. Also, neither CD81 nor OCLN are uniquely
101 expressed in the liver, but rather on all nucleated cells and all tight junctions,
102 respectively. Thus, it would be desirable to refine these models and monitor HCV
103 uptake under conditions when both entry factors are expressed at physiological
104 levels. To address this point we constructed a novel genetically humanized
105 mouse model in which the second extracellular loops of CD81 and OCLN were
106 humanized. Mice harboring the humanized alleles develop normally and do not
107 exhibit any overt phenotype. Transcripts of these chimeric alleles are expressed
108 at physiological levels similar in pattern that resembles expression of the wild-
109 type alleles. The humanized CD81 molecule appears to facilitate the endogenous
110 functions of murine CD81 as the chimeric mice do not show any defects in B cell
111 development observed in CD81 deficient mice. In mice containing the humanized
112 OCLN allele tight junctions are formed normally indicating that the mutant version
113 can carry out the physiologic functions OCLN as part of TJ complexes. We
114 further demonstrate that mice expressing both the humanized CD81 and OCLN
115 alleles support uptake of HCV in a dose dependent manner. HCV uptake is
116 similar as compared to mice administered with adenoviruses expressing CD81
117 and OCLN and HCV entry factor transgenic mice. This new HCV entry factor
118 knock-in model will be useful for future mechanistic studies focusing on HCV
119 entry.

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121

122 **Materials and methods:**123 **Generation of mice expressing humanized CD81 and OCLN alleles**

124 Gene targeting constructs coding mCD81/hEL2 (mouse exons 6 and 7 of CD81
125 gene, coding extracellular loop named EL2, were replaced with human exons 6
126 and 7) and mOCLN/hEL2 (a part of exon 3 of mouse OCLN gene, that encodes
127 extracellular loop 2, was replaced with a part of human exon 3, what code EL2)
128 where transformed into ES cells (genetic background 129S6SvEv) by standard
129 electroporation (**Fig. 1C and 1D**). Correct targeting of the alleles in ES cell
130 clones was analyzed by PCR and verified by DNA sequencing. After checking
131 the number of chromosomes, in vitro Cre-recombination was performed to cut of
132 Neo-tk selection cassette between LoxP sites. Correctly targeted ES cell clones
133 containing the mCD81/hEL2 or mOCLN/hEL2 alleles were microinjected into
134 C57BL6/J blastocysts. Chimeric mice were initially crossed with C57BL6/J (in
135 case of mOCLN/hEL2) mice or with 129S6SvEv mice (In case of mCD81/hEL2)
136 to obtain germline offspring. mOCLN/hEL2 and mCD81/hEL2 knock-in mice were
137 subsequently crossed for 10 generations to the C57BL/6 background.
138 Experiments were performed in accordance to a protocol reviewed and approved
139 by the Institutional Animal Care and Use Committee of the University of Tartu.

140

141 **Animals and cell lines**

142 Generation of mice expressing human HCV entry factors under the control of a
143 liver specific albumin promoter was previously described (22).
144 Gt(ROSA)26Sor^{tm1(Luc)Kaelin} (26) (Rosa26-Fluc) were obtained from The Jackson
145 Laboratory and backcrossed for 10 generations to the C57BL/6 background.
146 Rosa26-Fluc mice contain the firefly luciferase (luc) gene inserted into the
147 Gt(ROSA)26Sor locus. Expression of the luciferase gene is blocked by a loxP-
148 flanked STOP fragment placed between the luc sequence and the
149 Gt(ROSA)26Sor promoter. Cre recombinase mediated excision of the
150 transcriptional stop cassette results in luciferase expression in Cre-expressing

151 tissues. Mice were bred and maintained at the Laboratory Animal Resource
152 Center of Princeton University according to guidelines established by the
153 Institutional Animal Committee. Huh-7.5 (kindly provided by Charles Rice, The
154 Rockefeller University), Huh-7.5.1 (kindly provided by Frank Chisari, The Scripps
155 Research Institute) were maintained in 5% and 293T (American Type Culture
156 Collection, ATCC), and HEK293 (ATCC) in 10% fetal bovine serum (FBS)
157 containing DMEM supplemented with 1% nonessential amino acids (NEAA).

158

159 **Quantification of the humanized CD81 and OCLN transcripts by RT-PCR**

160 Total RNA was isolated from mouse brain, heart, large and small intestine,
161 kidney, liver, lung, skin, spleen and stomach using RNeasy Mini Kit (Qiagen,
162 Valencia, CA). cDNA was synthesized from 0.5 µg RNA using a SuperScript III
163 First-Strand Synthesis System (Invitrogen, Carlsbad, CA) according to
164 manufacturers instructions. Quantitative PCR was performed with a STEP ONE
165 PLUS RT-PCR System (Applied Biosciences) using an Applied Biosystems
166 SYBR Green PCR Master Mix (Warrington, UK) and primer pairs listed in **table**
167 **1**.

168

169 **HCV generation and infections**

170 Huh-7.5.1 or Huh-7.5 cells were electroporated with *in vitro* transcribed full-length
171 HCV RNA of the BiCre-Jc1 genomes (21). 72 hours post-electroporation, the
172 medium was replaced with DMEM containing 1.5% FBS and supernatants were
173 harvested every six hours starting from 72h. Pooled supernatants were clarified
174 by centrifugation at 1,500 x g, filtered through a 0.45 µm bottle top filter
175 (Millipore) and concentrated using a stirred cell (Millipore). Viral titers (TCID₅₀)
176 were determined using Huh-7.5 cells as previously described (27).

177

178 **Production of recombinant adenoviruses**

179 Adenovirus stocks encoding human and murine homologues of the four HCV
180 entry factors (CD81, SCARB1, CLDN1 and OCLN) were generated as previously
181 described (28). Briefly, adenovirus constructs were transfected into HEK293 cells

182 (ATCC) using the calcium-phosphate method. Transfected cultures were
183 maintained until cells exhibited complete cytopathic effect (CPE), then harvested
184 and freeze-thawed. Supernatants were serially passaged two more times with
185 harvest at complete CPE and freeze-thaw. For virus purification, cell pellets were
186 resuspended in 0.01M sodium phosphate buffer pH 7.2 and lysed in 5% sodium-
187 deoxycholate, followed by DNase I digestion. Lysates were centrifuged and the
188 supernatant layered onto a 1.2-1.46 g/ml CsCl gradient, then spun at 23,000 rpm
189 on a Beckman Optima 100K-Ultra centrifuge using an SW28 spinning-bucket
190 rotor (Beckman-Coulter). Adenovirus bands were isolated and further purified on
191 a second CsCl gradient using an SW41.Ti spinning-bucket rotor. Resulting
192 purified adenoviral bands were isolated using a 18.5G needle and twice-dialyzed
193 against 4% sucrose. Adenovirus concentrations were measured at 10^{12} times the
194 dilution factor times the OD260 reading on a FLUOstar Omega plate reader
195 (BMG Labtech). Adenovirus stocks were aliquoted and stored at -80°C .

196

197 **Antibodies and Flow Cytometry Analysis.**

198 Bone marrow, spleen and thymus were harvested from mice of the indicated
199 genotypes and homogenized through a cell strainer. For isolation of splenocytes,
200 the spleen was digested in collagenase-containing medium for 30 minutes at
201 37°C previous to homogenization. Peritoneal cavity cells were obtained by
202 peritoneal lavage with ice-cold PBS. Cell suspensions were first incubated with
203 anti-CD16/CD32 mAb (BD Pharmingen, 553141, clone 2.4G2) for 15 minutes at
204 4°C . Following the blocking step cells were incubated with flouochrome- or
205 biotin-conjugated antibodies for 30 minutes at room temperature. Splenocytes,
206 bone marrow and peritoneal cavity cells were stained with FITC anti-mouse CD5
207 (BioLegend, 100605, clone 53-7.3, 1:100), PerCP-Cy5.5 anti-mouse CD19
208 (eBioscience, 45-0193-82, clone 1D3, 1:100), APC anti-human CD81 (BD
209 Pharmigen, 551112, clone JS-81, 1:100), Alexa700 anti-mouse CD45R/B220
210 (Invitrogen, RM2629, clone RA3-6B2, 1:100), APC-Cy7 anti-mouse IgM
211 (BioLegend, 406515, clone RMMM-1, 1:100), biotin anti-mouse CD81 (Novus
212 Biologicals, NBP1-28138, clone 2F7, 1:100) and PE-Cy7 anti-mouse CD11b

213 (eBioscience, 15-0112-82, clone M1/70, 1:199). Splenocytes and thymocytes
214 were stained with FITC anti-mouse CD81 (Miltenyi Biotec, 130-094-864, clone
215 EAT2, 1:50), PE-TxRed anti-mouse CD4 (Abcam, ab51467, clone GK1.5, 1:50),
216 APC anti-human CD81 (BD Pharmingen, 551112, clone JS-81, 1:100), Alexa700
217 anti-mouse CD45R/B220 (Invitrogen, RM2629, clone RA3-6B2, 1:100), APC-Cy7
218 anti-mouse CD3 (BD Pharmingen, 557596, clone 145-2C11, 1:100) and PE anti-
219 mouse CD8 (BD Pharmingen, 553089, clone 53-6.7, 1:100). Biotin-conjugated
220 reagents were counterstained with streptavidin-R-PE (Qiagen, 922721, 1:500).
221 Samples were washed and resuspended in 100 μ l PBS. Finally flow cytometry
222 was performed with BD LSRII Flow Cytometer (BD Bioscience) and FlowJo
223 Software (Tree Star, San Carlos, CA, USA) was used for data analysis.

224

225 **Histology**

226 Mice was euthanized, the liver perfused with PBS, extracted. The tissues were
227 subsequently fixed overnight in 4% paraformaldehyde at 4°C with gentle agitation
228 then dehydrated overnight in cryoprotection solution (30% sucrose solution PBS)
229 at 4°C with gentle agitation before embedded in OCT for frozen sectioning.
230 Cyrosections of 6 μ m thickness liver were cut on a microtome and treated with
231 solution containing 5% BSA and 0.5% Triton-X100 for 1h for blocking and
232 permeabilization. Liver sections were stained with anti-Claudin1(1:200,
233 Invitrogen) or anti-Occludin (1:200, Invitrogen) or anti-ZO1 (1:200, Thermo Fisher
234 Scientific) primary antibodies for 16h. After washing with PBS, the liver sections
235 were stained with secondary AlexaFluor 647-conjugated goat anti-mouse or anti-
236 rabbit antibodies (1:500, Thermo Fisher Scientific) for 2h. Nuclei were stained
237 with Hoechst dye. Liver sections were then washed three times, 5min each, with
238 PBS. Slides were mounted by mounting solution and images were acquired by
239 Nikon A1 Spectral Confocal Microscope.

240

241 **Bioluminescence Imaging**

242 EF transgenic mice Rosa26-Fluc, mCD81/hEL2[h/h] mOCLN/hEL2[h/h] Rosa26-
243 Fluc were injected intravenously with the indicated doses of HCV-CRE. Rosa26-

244 Fluc mice were injected with 10^{11} adenovirus PFU 24 hours prior to intravenous
245 injection with with 2×10^7 TCID₅₀ HCV-CRE. At 72 hours post infection, mice
246 were anesthetized using ketamine/xylazine and injected intraperitoneally with 1.5
247 mg luciferin (Caliper Lifesciences). For the ex vivo measurements of luciferase
248 activity across different tissues, the indicated organs were extracted from
249 mCD81/hEL2[h/h] mOCLN/hEL2[h/h] Rosa26-Fluc and Rosa26-Fluc mice 72
250 hours post infection and placed in PBS containing luciferin (0.15 mg/ml) and
251 luminescent activity measured. Bioluminescence was measured using an IVIS
252 Lumina II platform (Caliper Lifesciences).

253

254 **Results:**

255 *Physiologic expression of humanized CD81 and OCLN transcripts across*
256 *multiple tissues*

257 HCV entry factors are expressed at finely controlled levels in the liver and other
258 tissues. In order to achieve more physiological expression, we undertook a
259 knock-in approach. The low efficiency of entry mediated by murine CD81 and
260 OCLN has been mapped to divergence in the respective second extracellular
261 loops (18, 19). Thus, we reasoned that minimal replacements might be sufficient
262 to permit HCV infection while preserving murine-specific intracellular domains.
263 The large extracellular loop (amino acids 115-202) of murine CD81 was replaced
264 by knocking in human exons 6 and 7 (**Figure 1A, 1C**). Similarly, exon 3 of OCLN,
265 which encodes the second extracellular loop, was replaced with the human
266 sequence (**Figure 1B, 1D**). Chimeric founder mice, termed mCD81/hEL2[h/m]
267 and mOCLN/hEL2[h/m] respectively, were identified and backcrossed to the
268 C57BL/6 background. Offspring did not show any gross phenotype and was born
269 in the expected Mendelian ratios. CD81 is expressed in all nucleated cells and
270 OCLN is an integral molecule of all tight junction complexes. To ascertain a
271 similarly broad tissue expression of the humanized allele we subjected brain,
272 skin, intestine, stomach, heart, lung, spleen, kidney and liver tissue of wild-type,
273 mCD81/hEL2[h/h] and mOCLN/hEL2[h/h] to quantitative RT-PCR analysis using
274 allele specific-primers. Both mutant mouse strains showed largely similar CD81

275 or OCLN tissue expression as to wild-type mice (**Figure 2A, B**) with slightly lower
276 transcript levels in liver, spleen and lung tissue. We did not observe any
277 difference in the level of expression in mice homozygous or heterozygous (data
278 not shown) for the respective chimeric alleles.

279

280 *mCD81/hEL2[h/h] and mOCLN/hEL2[h/h] mice do not show any overt*
281 *pathophysiological phenotype*

282 Besides its role as entry factor for human hepatotropic pathogens such as HCV
283 and *Plasmodium falciparum* (29), CD81 is a cell surface molecule expressed on
284 many cell types and associated with the CD19/CD21/Leu13 signal-transducing
285 complex on B cells. Conceivably, humanizing the second extracellular loop of
286 mouse CD81 may interfere with its endogenous functions and possibly could
287 resemble phenotypically CD81 deficient (CD81^{-/-}) mice. CD81^{-/-} are reported to
288 have decreased expression of CD19 and reduced numbers of peritoneal B-1
289 cells (30-32). Thus, we compared lymphocyte frequencies in wild-type and
290 mCD81/hEL2[h/h] mice. CD8 and CD4 T cells frequencies were unaltered in the
291 thymus (**Figure 3A**, top panels) and the spleen (**Figure 3A**, top panels) both
292 mutant and wild-type animals. mCD81/hEL2[h/h] mice had normal frequencies of
293 IgM⁻B220^{lo} cells (pro-B cells), IgM⁻B220^{int} cells (pre-B cells), IgM⁺B220^{int}
294 (immature B cells), and IgM⁺B220^{hi} cells (mature B cells) and CD19 expression
295 was normal (**Figure 3B**). Thus, all stages of B cell development in the bone
296 marrow appear to be normal. Furthermore, besides a slight (two fold) increase in
297 the frequency of B1a cells in the peritoneum, overall numbers of B1 and B2 cells
298 were similar wild-type and mCD81/hEL2[h/h] mice (**Figure 3C**).

299

300 OCLN is an integral membrane protein with four transmembrane domains that is
301 exclusively localized at TJ strands. The two extracellular loops form homotypic
302 interactions to stabilize TJs (33). To ensure that humanization of the second
303 extracellular loop does not affect OCLN physiological functions we subjected
304 tissue from mOCLN/hEL2[h/h] and wild-type mice to histological analysis. It was
305 previously shown that OCLN deficient (OCLN^{-/-}) mice exhibit histological

306 abnormalities in several tissues, i.e., chronic inflammation and hyperplasia of the
307 gastric epithelium, calcification in the brain, testicular atrophy, loss of cytoplasmic
308 granules in striated duct cells of the salivary gland, and thinning of the compact
309 bone (34). In contrast mOCLN/hEL2[h/h] mice developed normally, nursed their
310 offspring and did not show any of the histopathological features of OCLN
311 deficient mice. Staining of OCLN, claudin-1 (CLDN1) and ZO-1 in liver sections,
312 all of which are TJ components, was indistinguishable between
313 mOCLN/hEL2[m/m] and mOCLN/hEL2[h/h] mice (**Figure 3D**). Overall, these
314 data demonstrate that humanization of the second extracellular loop of both
315 CD81 and OCLN does not seem to impair the endogenous functions.

316

317 *Dose dependent uptake of HCV into mCD81/hEL2[h/h] mOCLN/hEL2[h/h] mice*

318 Next we aimed to test whether the minimal humanization of the murine CD81 and
319 OCLN alleles would be sufficient to facilitate viral uptake into murine hepatocytes
320 *in vivo*. We have previously shown that adenoviral delivery or transgenic
321 expression of full length human CD81 and OCLN is sufficient to enable HCV
322 glycoprotein mediated uptake (21, 22, 35). To assess the ability to
323 mCD81/hEL2[h/h] mOCLN/hEL2[h/h] double knock-in mice to support HCV
324 uptake *in vivo* we employed a cellularly encoded reporter which can be activated
325 by co-expression of Cre recombinase (21, 35, 36). mCD81/hEL2[h/h]
326 mOCLN/hEL2[h/h] were intercrossed with the Gt(ROSA)26Sor^{tm1(Luc)Kaelin}
327 (Rosa26-Fluc) mouse strain (26) harboring a loxP-flanked luciferase reporter.
328 Resultant offspring was infected with increasing doses tissue culture infectious
329 doses (TCID) of a bicistronic HCV genome expressing Cre recombinase (BiCre-
330 Jc1, abbreviated HCV-Cre), which is designed to activate a luminescent reporter.
331 *In vivo* luminescence imaging demonstrated a significant increase in relative
332 photon flux in the entry factor double knockin (EFKI) mice 72 hours post infection
333 (**Figure 4A, B**). The signal increased roughly 3 fold over background following
334 infection with the highest dose tested (3x10⁷ TCID) (**Figure 4B**), demonstrating
335 that uptake efficiency is dose dependent. This dose dependency is consistent
336 with what has been previously reported in HCV entry factor transgenic mice (22)

337 or animals expressing CD81 and OCLN (21) in their livers after adenoviral
338 delivery. Of note, reporter activity was largely limited to the liver and we only
339 observed a slight activation of the reporter in the spleen and kidney of the
340 reporter in but not in other, non-hepatic tissues, were the humanized alleles may
341 also be expressed (**Figure. 4C**).

342

343 *mCD81/hEL2[h/h] mOCLN/hEL2[h/h] double knock-in mice support HCV entry at*
344 *similar levels as mice expressing adenovirally-delivered or transgenically CD81*
345 *and OCLN*

346 Lastly, we aimed to compare whether the efficiency of HCV into murine
347 hepatocytes would differ across animals in which human(ized) CD81 and OCLN
348 is (over-)expressed by different means. *mCD81/hEL2[h/h] mOCLN/hEL2[h/h]*
349 *mice and animals expressing full length human CD81 and OCLN adenovirally off*
350 *a cytomegalovirus (CMV) promoter or transgenically off a mouse albumin*
351 *promoter (all on the Rosa26-Fluc background) were injected with 2x10E7 TCID*
352 *of BiCre-Jc1 and the bioluminescence signal quantified after 72 hours (Figure 5).*
353 The bioluminescence signal increased 2-3 fold over background and was largely
354 equivalent across all three entry models. Collectively, these data demonstrate
355 that minimal humanization of the second extracellular loops is sufficient to
356 facilitate entry of HCV harboring diverse envelope proteins into hepatocytes but
357 presumably not in extrahepatic tissues.

358

359 **Discussion:**

360 Use of great apes in biomedical research is heavily scrutinized and either banned
361 or federal funding has ceased. In search for alternative models a variety of
362 approaches have been taken (reviewed in (4)). Some studies suggested that tree
363 shrews (*Tupaia belangeri*) can become chronically infected with HCV (37) (38,
364 39) and even develop fibrosis (39) but this model has not found wide spread use
365 yet. HCV-like viruses have been more recently identified in a variety of species
366 including horses, dogs, rat and mice but it is unclear whether such related
367 hepaciviruses cause clinical symptoms similar to hepatitis C and thus could be

368 used as surrogate models (40). A variety of other approaches have been
369 pursued focusing on modifying the (murine) host environment in a way that it
370 comes more conducive to HCV infection. Mice engrafted with human
371 hepatocytes have been shown to be susceptible HCV (25, 41-43). Despite their
372 utility for studying a variety of human hepatotropic pathogens, such human liver
373 chimeric mice have not found wide-spread use as they can only be produced at
374 low throughput, and their generation is expensive and requires considerable
375 technical skills. Alternatively, systematic analysis and identification of some of
376 the barriers on interspecies transmission of HCV to classically non-permissive
377 species, in particular mice, has spurred genetic humanization efforts. After the
378 discovery that human CD81 and OCLN comprise the minimal set of human
379 specific factors requires for viral entry into mouse cell lines (12) it was
380 demonstrated that adenovirally-mediated (21) or transgenic overexpression (22)
381 of these factors enabled HCV entry *in vivo*. Caveats of these two systems are the
382 non-physiologically high and the exclusive expression in hepatocytes.
383 Adenovirally mediated expression of human CD81 and OCLN can exceed
384 endogenous expression levels of mouse 100-1000 fold (21) and transgenic
385 expression under the control of a mouse albumin promoter still results in 10-fold
386 overexpression (10). Furthermore, the intracellular domains of the full-length
387 human orthologues may interact less efficiently with the murine proteins that
388 usually bind to their respective adaptor proteins. To address the shortcomings
389 mice whose second extracellular loops of CD81 and OCLN were humanized.
390 mCD81/hEL2 mOCLN/hEL2 double knock-in mice develop normally and the
391 minimal loop humanization appears to be sufficient to facilitate HCV uptake into
392 murine hepatocytes. Humanization of the second extracellular loops does not
393 impair the respective endogenous functions of CD81 and OCLN *in vivo*.
394 Conceivably, the phenotype of these mutant mice would have resembled animals
395 with targeted disruptions of the CD81 (30-32) and OCLN (34), which were
396 previously reported. However, our analysis demonstrates that the loop
397 replacements do not alter noticeably B lymphocyte or tight junction biology.

398 Based on several clinical conditions it has previously been speculated that
399 extrahepatic sites of HCV infection may exist. For example a potential
400 involvement of the central nervous system (CNS) was based on observations
401 that chronic HCV carriers frequently become encephalopathic and develop
402 neuropsychiatric disorders (44). HCV RNA has been detected brain tissue from
403 HCV infected individuals (45), but contamination of samples collected post
404 mortem after the blood-brain-barrier has broken down is a confounding problem.
405 Additional, evidence for the CNS as a putative HCV reservoir stemmed from *in*
406 *vitro* studies showing that HCV can enter human peripheral neuro-blastoma and -
407 epithelioma cells *in vitro* (46, 47). However, currently there is no direct evidence
408 of active HCV RNA replication patient-derived cells of the CNS. HCV RNA has
409 also been shown to be associated with various hematopoietically derived cells,
410 such as B and T lymphocytes, monocytes, and dendritic cells. This led to the
411 hypothesis that HCV may infect these populations, triggering lymphoproliferative
412 disorders which are frequently observed in chronic HCV carriers. However,
413 attempts to infect human peripheral blood mononuclear cells (PBMCs) largely
414 with cell-culture derived HCV failed (48), suggesting that HCV particles and/or
415 RNA may adhere to these cells but not efficiently enter. HCV proteins have also
416 been detected in epithelial cells of intestinal specimens collected from HCV
417 infected patients (49) and *in vitro* experiments provided evidence that can enter
418 the epithelial-derived colorectal adenocarcinoma Caco-2 cell line (50).
419 It remains controversial whether HCV infect non-parenchymal cells *in vivo* such
420 putative extrahepatic sites have any clinical relevance. CD81 is ubiquitously
421 expressed in almost all nucleated cells and OCLN is part of all tight junction
422 complexes present in polarized cell layers. Interestingly, alternative splice forms
423 of OCLN have been described which are differentially expressed across tissues
424 and thus might contribute to HCV tissue tropism (51). However, while CLDN1
425 and SCARB1 are expressed in a variety of non-hepatic tissues the combination of
426 both at high levels is only present in the liver, which may contribute largely to
427 HCV's tissue specificity at the entry level. Consistently, we observed HCV uptake
428 primary in the liver and to a much lesser extend in the spleen and kidneys of

429 HCV infection double knock-in mice. The limited evidence for HCV uptake in non-
430 hepatic tissues may, however, be owed to the limits of detection of the assays
431 employed here. In future studies, our model may lend itself further explore HCV
432 tissue tropism further.

433

434

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450

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628
629

630 **Figure legends:**

631 **Figure 1: Schematic representation of humanized CD81 and OCLN alleles**

632 **and native tissue expression profiles of humanized CD81 and OCLN alleles**

633 Schematic representation of the mCD81/hEL2 (A.) and mOCLN/hEL2 (B.)

634 proteins and alignments of sequences of the second extracellular loops of

635 mouse, human and humanized proteins. Differences between the human and

636 mouse sequences are bolded, the residues that were shown to be critical for

637 HCV uptake are underlined. Schematics detailing the targeting strategy to

638 generate the mCD81/hEL2[h/h] (C.) or mOCLN/hEL2[h/h] knock-in mice(D.).

639

640 **Figure 2: Expression humanized CD81 and OCLN alleles in entry factor**

641 **knock-in mice.** Quantification of the wild-type and humanized transcripts (A.

642 mCD81/hEL2[h/h], B. mOCLN/hEL2[h/h]) in different tissues. Shown as mean \pm

643 SD of at least 3 experiments. Statistical analysis was performed using a one-

644 tailed Student t test. (* $p < 0.05$, ** $p < 0.01$ and *** $p < 0.001$), n.s. = not statistically

645 significant.

646

647 **Figure 3: Humanization of the second extracellular loops of CD81 and**

648 **OCLN does not interfere with the endogenous functions of these molecules**

649 **A.** Flow cytometry analysis of thymocytes (*top panels*) and splenocytes (*bottom*

650 *panels*) from wild-type (WT) and mCD81/hEL2[h/h]. **B.** Flow cytometry analysis

651 of bone-marrow cells (**B.**) and peritoneal lavage cells (**C.**) from wild-type (WT)

652 and mCD81/hEL2[h/h]. Shown are representative flowcytometric plots and

653 frequencies (mean \pm SD). **D.** Confocal microscopy images of thin sections (6 μ m)

654 of livers from mOCLN/hEL2[m/m] (wild-type) or mOCLN/hEL2[h/h] stained with

655 antibodies against mouse OCLN (top), CLDN1 (middle) or ZO1 (bottom). To

656 visualize nuclei (blue) sections were stained with Hoechst dye.

657

658 **Figure 4: Activation of the bioluminescent reporter in HCV entry factor**

659 **knock-in mice is dependent on HCV dose.** **A.** Representative images of mock

660 (left) or HCV-Cre injected mOCLN/hEL2[m/m] mOCLN/hEL2[h/h] Rosa26-Fluc

661 mice. **B.** mOCLN/hEL2[m/m] mOCLN/hEL2[h/h] Rosa26-Fluc mice (n=3)) were
662 injected with the indicated various doses of HCV-Cre. Data represent mean \pm
663 SD. Statistical significance was calculated by One-way ANOVA with Bonferroni's
664 multiple comparison test. **C.** Luminescent reporter activation across different
665 tissues. The indicated tissues from Rosa26-Fluc or mOCLN/hEL2[m/m]
666 mOCLN/hEL2[h/h] Rosa26-Fluc mice were extracted and luminescent activity
667 measured *ex vivo*. All bioluminescent signals were quantified 72 hours following
668 infection. Data represent mean \pm SD. Statistical analysis was performed using a
669 one-tailed Student t test. (* $p < 0.05$, ** $p < 0.01$ and *** $p < 0.001$), n.s. = not
670 statistically significant.

671

672

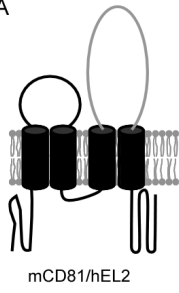
673 **Figure 5: Similar uptake efficiency of HCV into different mouse models**
674 **expressing HCV entry factors.** Rosa26-Fluc mice were injected 1×10^{11}
675 adenovirus (AdV) particles CD81 and OCLN and 24 hours later these mice, Alb-
676 hCD81/hOCLN entry factor transgenic (EFT) Rosa26-Fluc and
677 mOCLN/hEL2[m/m] mOCLN/hEL2[h/h] entry factor knock-in (EFKI) Rosa26-Fluc
678 mice were infected with 2×10^7 TCID of HCV (n=3). The bioluminescent signal
679 quantified 72 hours following infection. Data represent mean \pm SD. Statistical
680 significance was calculated by One-way ANOVA with Bonferroni's multiple
681 comparison test. (* $p < 0.05$, ** $p < 0.01$ and *** $p < 0.001$), n.s. = not statistically
682 significant.

683

684

Fig.1

A

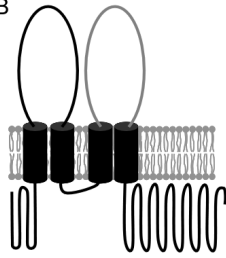


mCD81/mEL2 112 GFVNKDQIAKDVKQFYDQALQQAVMDDDDANNAKAVVKT FHETLNC 156
 hCD81/hEL2 112 GFVNKDQIAKDVKQFYDQALQQAVVDDDDANNAKAVVKT FHETLDC 156
 mCD81/hEL2 112 GFVNKDQIAKDVKQFYDQALQQAVMDDDDANNAKAVVKT FHETLDC 156

mCD81/mEL2 157 CGSNAL TLT TTTILRNSLCPSSGGNILTPLLQQDCHQKIDELFSGK 201
 hCD81/hEL2 157 CGS **STL** TALT TSVLKNNLCPSSGSI **ISNL** **FKE** DCHQKID **DL**FSGK 201
 mCD81/hEL2 157 CGS **STL** TALT TSVLKNNLCPSSGSI **ISNL** **FKE** DCHQKID **DL**FSGK 201

mCD81/hEL2

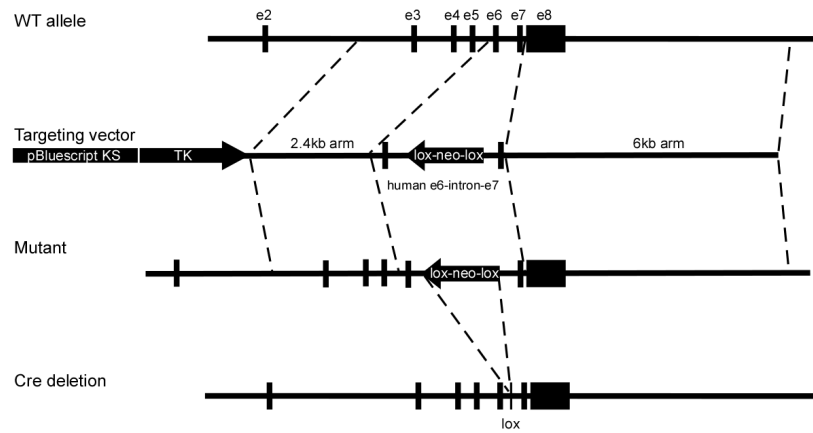
B



mOCLN/mEL2 197 PTAQASGS MYGSQIYMIC NQFYTPGGTGLYVDQYLYHY C VVDPQEA 242
 hOCLN/hEL2 199 PTAQSSGSL YGSQIY **ALC** NQFYTP **AAT** GLYVDQYLYHY C VVDPQEA 244
 mOCLN/hEL2 199 PTAQSSGSL YGSQIY **ALC** NQFYTP **AAT** GLYVDQYLYHY C VVDPQEA 244

mOCLN/hEL2

C



D

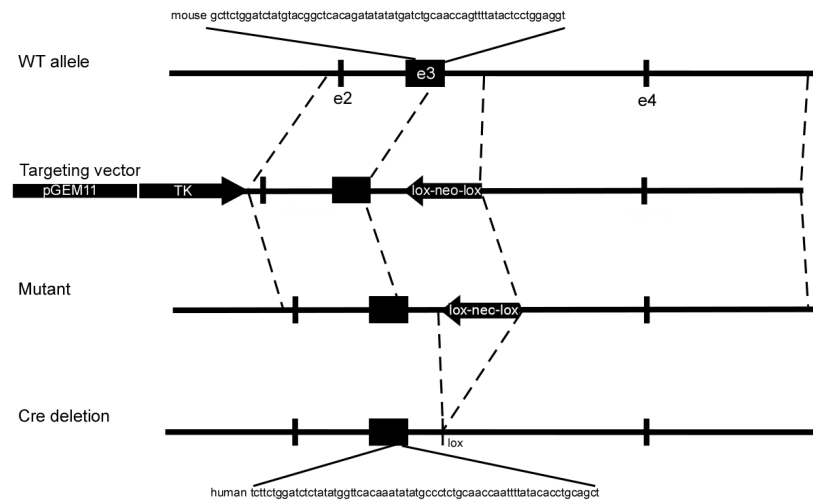


Fig.2

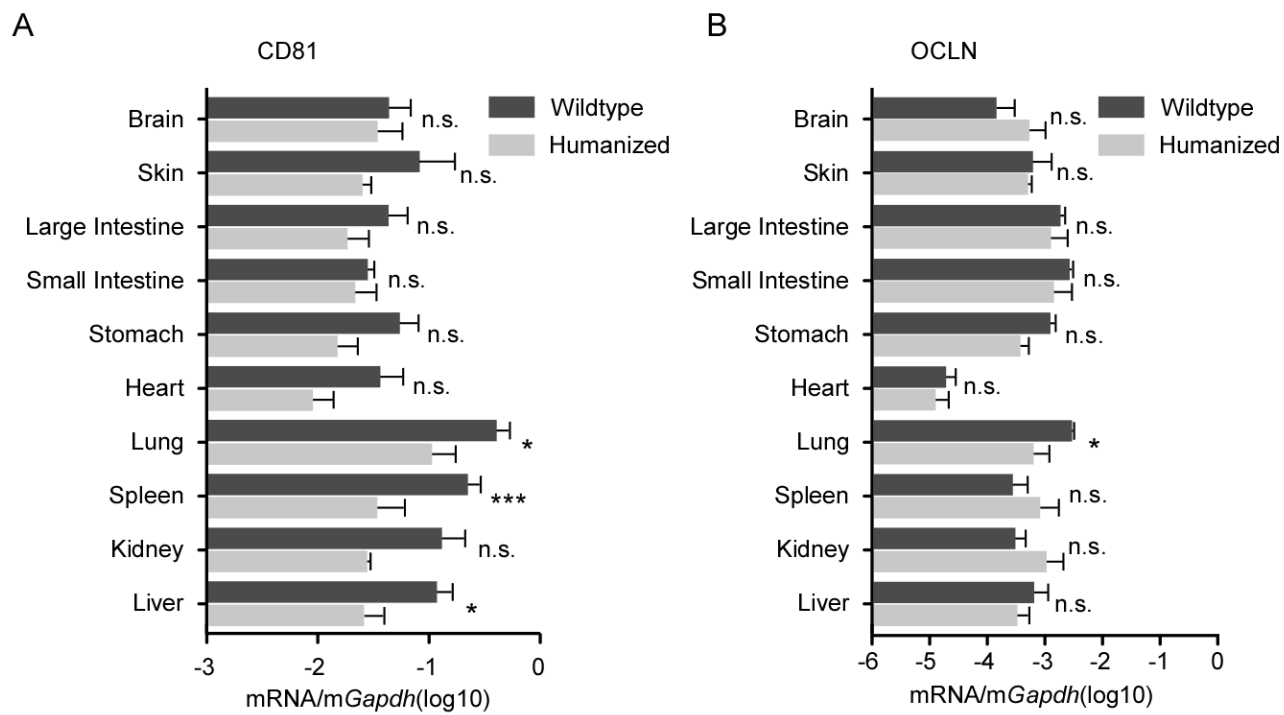


Fig.3

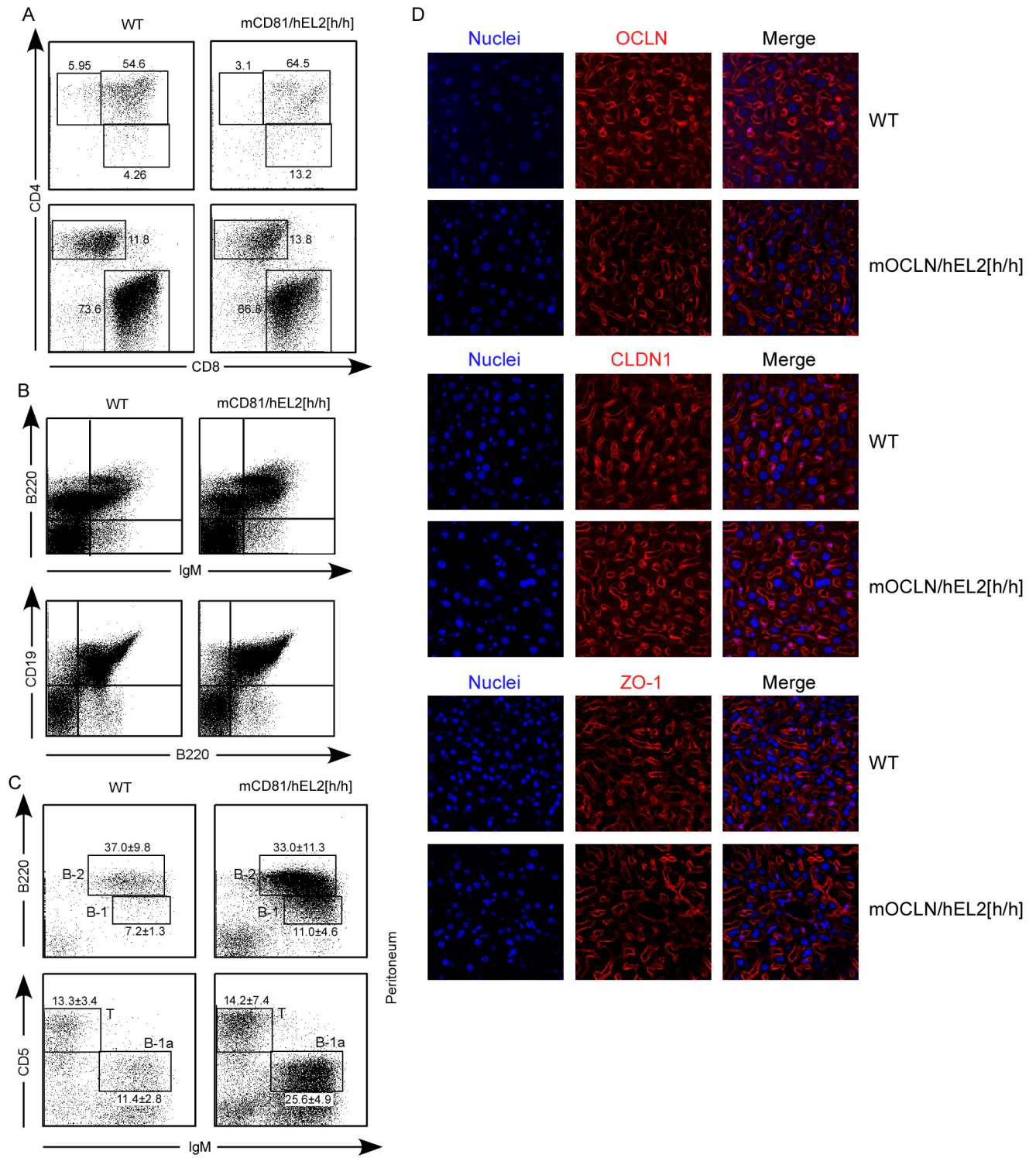


Fig.4

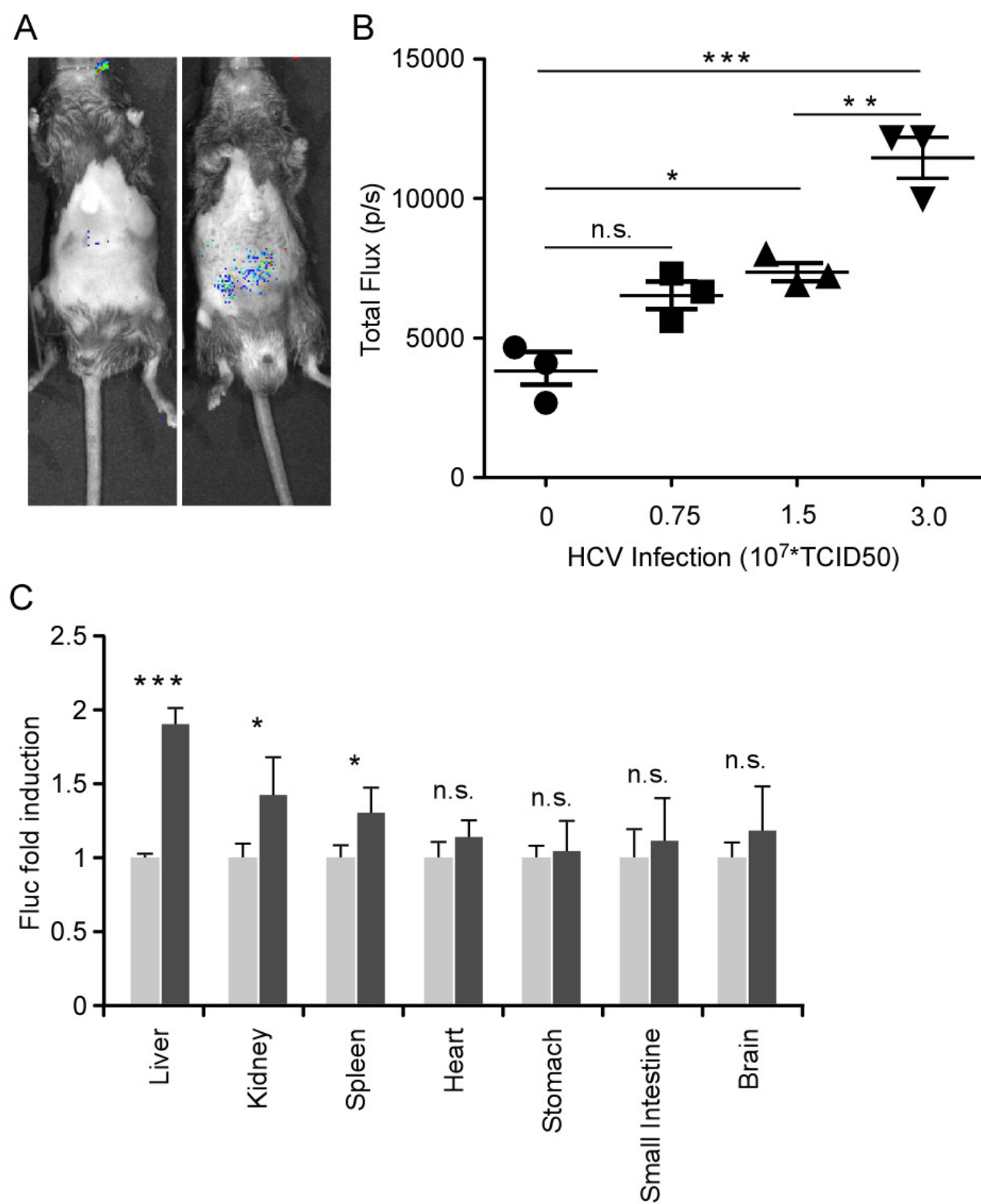
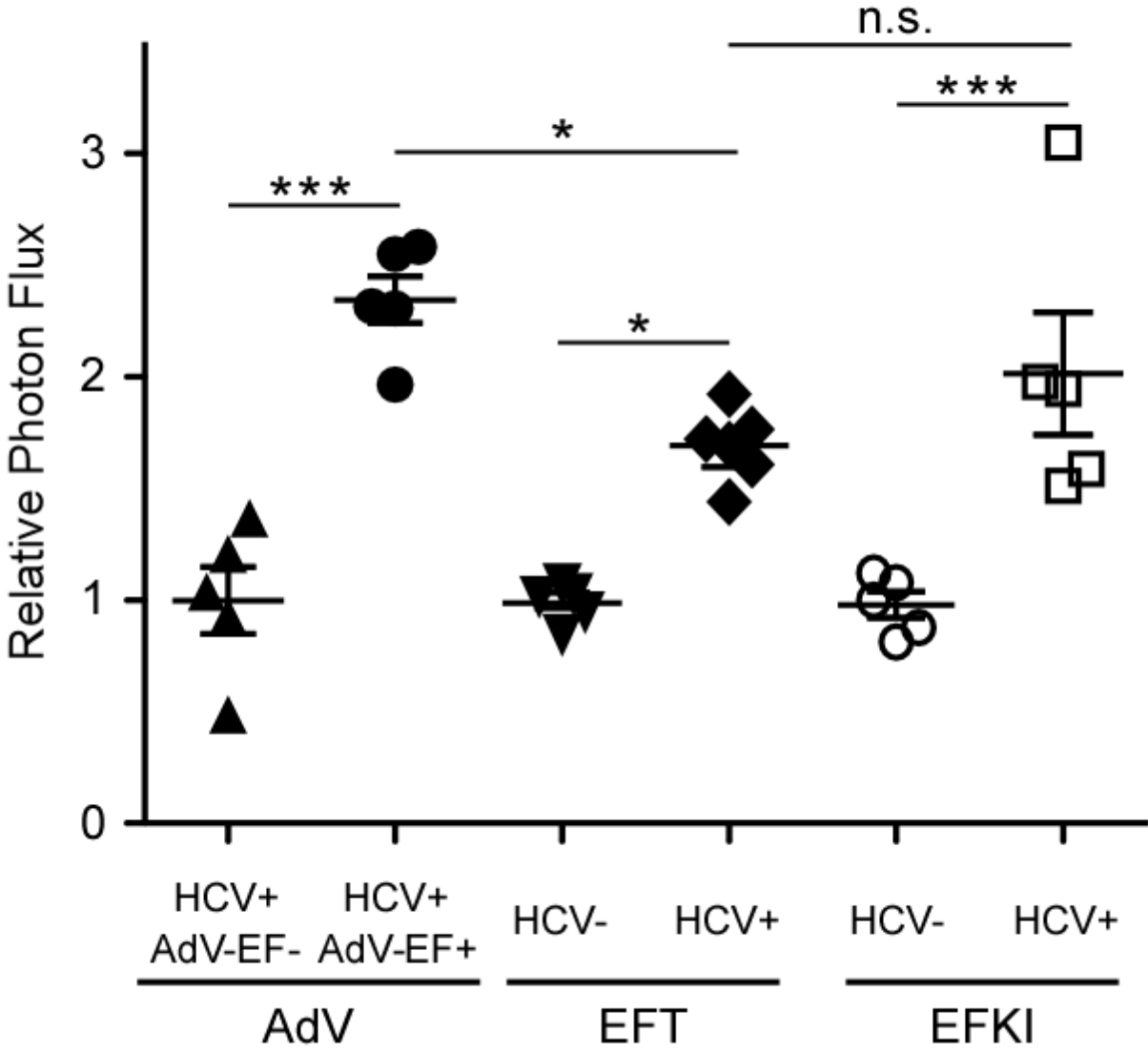


Fig.5



Gene	Forward Primer	Reverse Primer
<i>mCD81/hEL2</i>	CCAAGGCTGTGGTGAAGA CTTTC	TGTTCTTGAGCACTGAGGTGG TC
<i>mCD81/mEL2</i>	CCAAGGCTGTGGTGAAGA CTTTC	GGCTGTTCCCTCAGTATGGTGG TAG
<i>mOCLN/hEL2</i>	AAATTGGTTGCAGAGGGC ATAT	GTGTTTATTGCCACGATCGTGT
<i>mOCLN/mEL2</i>	AAACTGGTTGCAGATCATA TAT	GTGTTTATTGCCACGATCGTGT
<i>gapdh</i>	ACGGCCAAATCCGTTCACAC C	ACGGCCGCATCTTCTTGTGCA

Table 1: Primers used for the RT-qPCR analysis