## A biophysical limit for quorum sensing in biofilms

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This manuscript was compiled on November 1, 2020

Bacteria grow on surfaces in complex immobile communities known as biofilms, which are composed of cells embedded in an extracel-2 lular matrix. Within biofilms, bacteria often interact with members of their own species, and cooperate or compete with members of 4 other species via quorum sensing (QS). QS is a process by which mi-5 crobes produce, secrete, and subsequently detect small molecules 6 called autoinducers (Als) to assess their local population density. We explore the competitive advantage of QS through agent-based simu-8 lations of a spatial model in which colony expansion via extracellular matrix production provides greater access to a limiting diffusible nu-10 trient. We note a significant difference in results based on whether AI 11 production is constitutive or limited by nutrient availability: If AI pro-12 duction is constitutive, simple QS-based matrix-production strate-13 gies can be far superior to any fixed strategy. However, if AI pro-14 duction is limited by nutrient availability, QS-based strategies fail to 15 provide a significant advantage over fixed strategies. To explain this 16 dichotomy, we derive a novel biophysical limit for the dynamic range 17 of nutrient-limited AI concentrations in biofilms. This range is re-18 markably small (less than 10-fold) for the realistic case in which a 19 growth-limiting diffusible nutrient is taken up within a narrow active 20 growth layer. This biophysical limit implies that for QS to be most ef-21 fective in biofilms, AI production should be a protected function not 22 directly tied to metabolism. 23

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quorum sensing | biofilms | agent-based modeling | nutrient-limited communication

any species of bacteria form immobile communities of densely packed cells called biofilms (1). Cells in biofilms 2 are embedded in an extracellular matrix composed of biopoly-3 mers, including polysaccharides, proteins, nucleic acids, and 4 lipids. Advantages provided by the matrix include adhering 5 cells to each other and to a substrate, creating a protective 6 barrier against chemicals and predators, and facilitating hori-7 zontal gene transfer. Formation of biofilms both relies on and promotes cell-cell chemical communication – a process known as quorum sensing (QS). QS depends on the secretion and 10 detection of small, diffusible molecules known as autoinduc-11 ers (AIs), whose concentration increases with increasing cell 12 density. QS has been demonstrated to be critical to proper 13 biofilm formation (2–7). For example, Pseudomonas aerug-14 inosa mutants that do not synthesize AIs terminate biofilm 15 formation at an early stage (8). 16

How might cells benefit from QS regulation of matrix pro-17 18 duction? In simple models of biofilms that incorporate realistic reaction-diffusion effects, Xavier et al. (9) found that matrix 19 production allows cells to push descendants outwards from 20 a surface into a more  $O_2$ -rich environment. Consequently, 21 they found that matrix production provides a strong com-22 petitive advantage to cell lineages by suffocating neighboring 23 non-producing cells (9). Building upon this work, Nadell et 24 al. (10) showed that strategies that employ QS to deactivate 25 matrix production in mature biofilms can yield a further ad-26

vantage by redirecting resources into reproduction, and this 27 scenario has been replicated and further developed (11–14). 28 Notably, all these models assume constitutive AI production 29 with no dependence on nutrient availability (10-14). Yet in 30 many cases AI production relies on central metabolic com-31 pounds. For example, a substrate for synthesis of ubiquitous 32 acyl-HSL AIs is produced by one-carbon metabolism, which 33 is highly dependent on nutrient availability (15-17). Thus, 34 we sought to understand whether QS regulation of matrix 35 production is still advantageous if AI production depends on 36 cells' access to nutrients. 37

To this end, we simulated competitions among biofilmforming cells, comparing strategies that employ QS with strategies that do not. While QS cells that constitutively produce AI could outcompete all fixed strategies, we found, surprisingly, that nutrient-dependent QS provided essentially no advantage over non-QS cells. We trace this result to a novel biophysical limit on the dynamic range of nutrient-limited AI concentrations.

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## Results

Agent-based Model. For simplicity and ease of visualization, 47 we performed simulations with agent-based models (ABMs) 48 on a two-dimensional square lattice (Fig. 1A). ABMs represent 49 a system as an ensemble of autonomous agents which interact 50 with one another according to predefined behaviors (18). In our 51 simulations, a square can be occupied by a cell, an equivalent 52 volume of matrix, or be unoccupied. Cells start at the bottom 53 of the simulation domain, which is taken as the substrate to 54

## Significance Statement

Biofilms are a ubiquitous form of bacterial community. Since biofilm growth is generally limited by access to diffusible nutrients, bacteria compete by producing matrix which allows rapid colony expansion towards the nutrient source. Within biofilms, bacteria communicate via chemical signals in a process called quorum sensing (QS). It has been suggested that QS allows bacteria to tune matrix production, e.g. to first outgrow competitors but then devote more resources to population growth. However, if signal production is nutrient-limited, then the nutrient-deficient interior of a biofilm cannot contribute to QS. Indeed, we report a novel biophysical limit on the efficacy of QS under nutrient limitation, which suggests that such communication must be a prized function that is not metabolically slaved.

A.V.N. and N.S.W. designed research; A.V.N. and N.S.W. performed research; A.V.N., D.B., and N.S.W. developed computational tools; A.V.N. and N.S.W. analyzed data; and A.V.N. and N.S.W. wrote the paper.

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Fig. 1. Simulated competitions of matrix-producing biofilms that grow on a submerged surface toward an O2 source. For details please see Materials and Methods and SI Appendix. (A) All simulations are performed on a 2D square lattice of width 128 sites and height 256 sites. Red squares are bacterial cells, yellow squares are extracellular matrix, and cyan squares are unoccupied. White arrows indicate diffusion of O2 from above. (B) Schematic of cell division and matrix production, shown for a blue cell surrounded by red cells. Cell division results in an identical cell being placed in an adjacent site. If no adjacent site is available, cells are shoved out of the way to make room for the new cell. Similarly, matrix production results in filling of an adjacent site with matrix. (C) Snapshot of a pairwise competition after 500 simulation timesteps. Red cells have matrix bias of 0.6 while blue cells have matrix bias of 0.5. Shade of cyan squares indicates normalized O2 concentration (normalized by the highest O2 concentration recorded for the entire simulation). (D) Snapshot of the same competition in C after 1,500 timesteps. (E) Mean of the natural logarithm of the final ratio of number of cells with matrix bias A to number of cells with matrix bias B. Between 75 and 350 simulations were performed for each competition.

which the biofilm adheres. Cells may reproduce and form 55 identical copies of themselves or produce matrix (see Fig. 1B56

and C). Matrix itself performs no actions, but fills space. 57

Both reproduction and matrix production may require shov-58 ing to make an adjacent site available. Shoving is performed 59 by first choosing a nearest vacant site and a shortest path to 60 the chosen vacant site (both of which may not be unique); 61 then, all occupants of the squares in the path are displaced 62 along the path towards the vacant site. In our simulations, 63 cells are assumed to be immotile and thus only move when 64 shoved. Thus, the biofilm, composed of cells and the matrix 65 they produce, increases in biomass and grows upward. Each 66 simulation ends when 50% of the lattice sites become occupied 67 or a cell reaches the top of the simulation domain. 68

Biomass production in biofilms requires nutrients. For 69 example, aerobic biofilms depend on oxygen  $(O_2)$  which usually 70 diffuses in from a source located far away (9, 19-21). In our 71 simulations, we consider a single limiting nutrient, taken to be 72  $O_2$ , which diffuses from the top boundary of the simulation 73 domain at a constant flux, mimicking a distant source (SI)74 Appendix). We assume strong  $O_2$  uptake by bacterial cells 75 to allow for a well-defined surface-growth layer within our 76 small simulation domain. Since the timescale for the  $O_2$ 77 concentration to come to a quasi-steady state (~20s for our 78 simulation domain) is much shorter than the timescale of 79 biomass production ( $\sim 1$  hour), we assume a separation of the 80 two timescales. 81

Biomass production in the simulated biofilm is limited by 82

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 $O_2$  uptake, which we assume to be proportional to local  $O_2$ 83 concentration. Thus, if the uptake of  $O_2$  is rapid, only cells in 84 the upper layers of the biofilm have access to  $O_2$  and produce 85 biomass. We define the fraction of  $O_2$  uptake used for matrix 86 production to be the *matrix bias*. For the same amount of  $O_2$ 87 taken up, a bacterium can produce a much greater volume of 88 matrix than of new cells (we take the cost of matrix production 89 to be 1/14 of the cost of reproduction on a per volume basis 90 (22)).91

**Bacterial Competitions.** To estimate the optimal matrix bias for bacteria in our model, we performed pairwise competitions 93 between different matrix-bias strategies (Fig. 1D-F). We compared the cell counts of the different strategies at the end of the simulations, and found that a matrix bias of approximately 0.7 (Fig. 1F) performs better on average than any other constant matrix bias. Although the value of this "optimal" matrix bias depends on the simulation conditions (e.g., for a lower propor-99 tional cost of matrix, a higher matrix bias would be optimal), 100 the non-zero value indicates that matrix production affords 101 bacteria a fitness advantage in the presence of competition (a 102 similar conclusion was reached by Xavier et al. (9) who used 103 a realistic geometry for their simulations; Xavier et al. also 104 utilized two limiting reactants, oxygen and a carbon substrate, 105 and assumed Michaelis-Menten kinetics for their uptake by 106 the bacteria (9, 23)). 107

As seen in Fig. 1*E*, after some time the cells of one strategy 108 may overshadow their competitors and subsequently consume 109

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**Fig. 2.** Simulated biofilm competitions between QS and non-QS cells. (*A*) QS cells shown in green produce autoinducer (AI) at a constant rate; arrows indicate AI diffusion. QS cells adjust their matrix bias based on local AI concentration. (*B*) Snapshot of a pairwise competition after 1,000 simulation timesteps. Green QS cells produce and detect AI and adjust their matrix bias from  $b_{max} = 0.9$  at zero AI down to  $b_{min} = 0.1$  at high AI (see Eq. 1). Non-QS cells (red) do not produce AI and have a fixed matrix bias of 0.4. O<sub>2</sub> diffuses from above as in Fig. 1, but color shade now indicates local AI concentration (in arbitrary units as described in the *SI Appendix*). (*C*) Snapshot of the same competition in *B* after 3, 000 timesteps. (*D*) Mean of the natural logarithm of the final ratio of number of QS cells to number of fixed-matrix-bias cells (green curve). For comparison, the results of the pairwise competitions for the optimal fixed-strategy matrix bias of 0.7 are also shown. The error bars indicate standard deviations of log ratios. 42-65 simulations were performed for each competition.

the entire flux of O<sub>2</sub>. Because after this time there is no further 110 competition between strains, continued production of matrix 111 by the "winning" strain would not increase access to  $O_2$ , and 112 could be viewed as a waste of resources. Thus switching to a 113 low matrix bias strategy in the absence of competition could 114 allow bacteria to increase their integrated reproductive rate. 115 Following (9, 10), we hypothesized that bacteria could use 116 intercellular communication (such as QS) to switch from a 117 high matrix bias to a low matrix bias after having gained a 118 monopoly over the nutrient and so perform better than any 119 strategy with a fixed matrix bias. 120

To test this hypothesis, we incorporated QS into our simulations (Fig. 2). We performed pairwise competitions between strategies that employed QS and strategies that did not. We assumed QS bacteria constitutively produce diffusible AI, and detect local AI concentration to regulate their matrix bias. We modeled the matrix bias, b, of the QS bacteria as a Hill function,

$$b([\mathrm{AI}]) = b_{\min} + (b_{\max} - b_{\min}) \frac{[\mathrm{AI}]^h}{K^h + [\mathrm{AI}]^h}, \qquad [1]$$

where K is the AI concentration at which b attains the value 129  $\frac{1}{2}(b_{\min}+b_{\max})$ , halfway between its minimum and maximum. 130 We chose h = 10 to yield a near switch-like response to AI. 131 Indeed, by varying  $b_{\min}$ ,  $b_{\max}$ , and K we found multiple QS 132 strategies that performed better than all fixed-matrix-bias 133 strategies. A similar conclusion was reached by Nadell et al. 134 (10) by employing a framework similar to Xavier et al. (9)135 and assuming constitutive AI production. 136

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But what if AI production is nutrient-dependent, i.e. is 137 QS still beneficial in a nutrient-limited environment? To in-138 vestigate this question, we let AI production depend linearly 139 on local  $O_2$  concentration. As shown in Fig. 3, we performed 140 pairwise competitions between fixed-matrix-bias cells and QS 141 cells, now with nutrient-dependent AI production. Strikingly, 142 we found that nutrient-limited QS did not provide a sub-143 stantial competitive benefit. Specifically, nutrient-limited QS 144 strategies had to be highly fine-tuned to ever perform better 145 overall than fixed strategies, and at best they did not perform 146 nearly as well as QS strategies with constitutive AI production. 147 Notably, though the nutrient-limited QS strategies initially 148 switched from high matrix bias to low matrix bias, the cells 149 later switched back to a high matrix bias and thus failed to 150 capitalize on the lack of competition. 151

What is it that prevents nutrient-limited QS bacteria that 152 have achieved dominance from switching to a low matrix bias? 153 We observed that only the cells at the edge of the biofilm 154 produce substantial amounts of AI (as  $O_2$  penetration into the 155 biofilm was designed to be low) and so the total AI production 156 remains nearly constant. Thus, despite the increasing total 157 population of QS bacteria, the AI concentration at the growing 158 front of the biofilm does not increase over time. (Note that we 159 assume a slow decay of AI, yielding a decay length of  $\sim 100 \mu m$ , 160 to avoid artifacts associated with the finite simulation domain 161 size.) As a result, the nutrient-limited QS bacteria are not able 162 to distinguish between being at the edge of a large "successful" 163 biofilm, and being part of the initial seeding density of bacteria, 164 still in competition with other species. This contrasts with 165



**Fig. 3.** Simulated biofilm competitions between nutrient-limited QS cells that produce AI proportional to local  $O_2$  concentration and non-QS cells. (*A*) QS cells shown in green produce AI at a rate proportional to local  $O_2$  concentration (arrows highlight AI diffusion). Bacterial cells shown in red do not produce any AI. (*B*) Snapshot of a pairwise competition after 200 simulation timesteps. Green QS cells adjust their matrix bias based on local AI concentration as in Fig. 2. Red cells do not produce AI and have a fixed matrix bias of 0.7. Shade of squares indicates local AI concentration (in arbitrary units as described in the *SI Appendix*, and  $O_2$  diffuses from above. (*C*) Snapshot of the same competition in *B* after 1,000 timesteps. (*D*) Mean of the natural logarithm of the final ratio of number of  $O_2$ -dependent QS cells to number of fixed-strategy cells. The error bars indicate standard deviations of log ratios. Over 60 simulations were performed for each competition.

the case of constitutive AI production where the total AI
production and concentration both increase with the total
population of QS bacteria.

A Novel Biophysical Limit. In our simple 2D simulations we 169 found that nutrient-limited QS strategies provided little or 170 no benefit to cells competing for a diffusible resource. Does 171 this conclusion apply in more realistic settings? Perhaps 172 surprisingly, we found that the answer is yes: There exists a 173 corresponding biophysical limit for the efficacy of QS in 3D 174 175 for bacteria whose AI production is limited by uptake of a diffusible nutrient (derivation in SI Appendix). Specifically, 176 there is an upper limit on the dynamic range, DR, of possible 177 AI concentrations experienced by cells for a given source of 178 diffusible nutrient. For a diffusible, non-decaying AI, the 179 minimum AI concentration, [AI]<sub>min</sub>, is that experienced by a 180 single isolated cell, which senses only its own AI production. 181 182 We prove that no matter how cells are arranged in 3D, the maximum AI concentration that any cell can experience has 183 an upper bound specified by 184

$$\mathrm{DR} \equiv \frac{[\mathrm{AI}]_{\mathrm{max}}}{[\mathrm{AI}]_{\mathrm{min}}} = \frac{4\pi D_{\mathrm{O}_2} r_0}{\gamma} + 1,$$
 [2]

where  $D_{O_2}$  is the diffusion constant for  $O_2$  (which we take 186 to be the limiting nutrient),  $r_0$  is the cell radius, and  $\gamma$  is 187 the rate of intake of  $O_2$  per cell per concentration of  $O_2$ . 188 Intuitively, the biophysical limit expressed by Eq. 2 comes from 189 recognizing that in and around a biofilm the  $O_2$  concentration 190 and AI concentration are effectively mirror images. This 191 follows because  $O_2$  is linearly converted to AI, so local  $O_2$ 192 consumption translates to local AI production, and both O<sub>2</sub> 193 and AI satisfy corresponding diffusion equations. This means 194

that the local AI concentration can never be higher than a limit set by the minimum local O<sub>2</sub> concentration, which is zero. Since a single isolated cell already experiences a finite AI concentration due to its own AI production, this upper limit on AI concentration implies an absolute upper bound on the dynamic range DR. 200

Under what conditions can DR be large? Intuitively, large 201 DR requires a small [AI]<sub>min</sub> so a cell on its own must be a 202 relatively weak producer of AI, i.e. it must be a weak con-203 summer of O<sub>2</sub>. Indeed, the combination of parameters  $D_{O_2}r_0/\gamma$ 204 in Eq. 2 is large if a single cell only weakly perturbs the local 205  $O_2$  concentration, by a combination of large values of  $D_{O_2}$  and 206  $r_0$  and a small uptake rate  $\gamma$ , which implies fast replenish-207 ment of local  $O_2$  by diffusion. But these conditions are not 208 consistent with a narrow growth layer, which is precisely the 200 case for which modeling studies have found an advantage for 210 QS-mediated matrix production. 211

What then does the biophysical limit on the DR of AI concentrations imply for the efficacy of QS as a regulator of matrix production in biofilms? To answer this question, note that the penetration depth of a limiting nutrient, say  $O_2$ , into a biofilm is  $\lambda = \sqrt{D_{O_2}/\gamma\rho}$ , where  $\rho$  is the local cell density. The limit on AI dynamic range can therefore be rewritten as 217

$$DR = 4\pi\rho\lambda^2 r_0 + 1.$$
 [3] 218

To estimate this DR, a typical bacterial cell has a radius of approximately  $1\mu$ m (24), typical cell densities of bacteria are around  $5 \times 10^8$  cells/ml for *Escherichia coli* in biofilms (25), and the O<sub>2</sub> penetration depth for *Pseudomonas aeruginosa* was found by microelectrode studies (26) to be 30  $\mu$ m. For these values, we obtain the DR to be approximately 6. We



**Fig. 4.** Schematic illustrating the limited dynamic range of AI concentrations. (*A*) A single bacterial cell, consuming oxygen and secreting AI. The AI concentration in the vicinity of the cell is proportional to the difference between the O<sub>2</sub> concentration at infinity,  $[O_2]_{\infty}$ , and the O<sub>2</sub> concentration in the vicinity of the cell,  $[O_2]_0$ . (*B*) A bacterial colony, consuming O<sub>2</sub> and secreting AI. If the O<sub>2</sub> concentration inside the colony is close to zero, the AI concentration approaches a maximum value  $\propto [O_2]_{\infty}$ . This relation between the AI concentration and O<sub>2</sub> concentration leads to the upper limit on the dynamic range of AI described in Eqs. 2 and 3.

stress that Eq. 3 is the *theoretical upper bound* for the dynamic range in such a system, and in real biological settings, the actual value may be lower. Indeed, the DR is smallest when the limiting nutrient is most efficiently taken up by the outermost layers of cells, i.e. for a narrow active growth layer.

**Discussion.** We find that when production of a non-decaying 230 AI is limited by a diffusible nutrient from a remote source, 231 there exists a biophysical limit on the dynamic range of AI 232 concentrations that cells can experience. Using agent-based 233 234 simulations of biofilm growth, we demonstrate an illustrative 235 case in which QS-based matrix-production strategies can provide a large competitive advantage- but not if AI production 236 is limited by nutrient availability. Importantly, the biophysical 237 limit is independent of the diffusivity of the autoinducer. Fur-238 ther, the result is essentially independent of the size, shape, 239 or detailed distribution of cells, or of the diffusion rate of the 240 241 growth-limiting nutrient.

In principle, nutrient-limited AI production could still be 242 exploited by bacteria in several ways. For example, in a biofilm 243 where the density of cells is high, bacteria could employ QS to 244 infer the concentration of the diffusible nutrient at its source. 245 This is because, for a non-decaying AI, the local AI concen-246 247 tration mirrors the nutrient concentration, so that locally 248 depleted nutrient but a high AI concentration would imply a large nutrient source. Further, even at lower cell densities, 249 nutrient-limited AI could act as a single consolidated chemo-250 tactic signal that would indicate, via its gradient, the direction 251 of the source of the currently growth-limiting nutrient. 252

Autoinduction, i.e. positive feedback of AI production 253 from AI sensing is a well-established feature of many quorum-254 sensing systems (27-31). However, it is not fully understood 255 why autoinduction per se is necessary for cells to sense their 256 local density. It could be presumed that a higher density of 257 cells would necessarily result in a higher AI concentration, 258 259 obviating the need for positive feedback on AI production. However, this presumption would not be correct if AI produc-260 tion were nutrient limited – above a threshold cell density AI 261 concentration would hit its maximum, and provide no further 262 information. From this perspective, autoinduction may simply 263 represent one way of breaking the dependence of AI produc-264 tion on nutrient availability, in order to evade the biophysical 265 limit on the dynamic range of AI concentrations (Eqs. 2 and 266 3). (We note that our derivation is for non-decaying AI, and 267

the minimum AI concentration in the case of decaying AI may be arbitrary low for a cell deep in a biofilm where all AI is produced at the boundary and decays before reaching the deep interior. However, such a reduction of AI concentration is irrelevant to the growth strategy, since cells deep in the interior are nutrient-starved and so cannot produce substantial biomass.) 274

Our main conclusion is that for bacterial cells to reliably 275 infer local cell density via quorum sensing, AI production must 276 not be metabolically slaved. We believe that despite the strong 277 links between metabolism and AI production (15, 16, 32, 33), 278 and the substantial cost of AI production (34, 35), cells are 279 able to decouple the two processes and regulate AI production 280 largely independent of cell metabolism. This is consistent with 281 the prevailing understanding in the literature that cells use 282 cheap AI signals to assess the efficacy of costlier cooperative 283 behaviors (36). Thus, we identify AI production and quorum 284 sensing as a privileged bacterial function that is prioritized by 285 bacteria, even when a lack of nutrients limits other functions. 286

## **Materials and Methods**

All simulations were performed via agent-based modeling using 288 Nanoverse (18). At each timestep, the reaction-diffusion equations 289 for the  $O_2$  and AI concentrations specified by their production, con-290 sumption, and decay (if any) are solved to obtain their steady-state 291 concentrations. This steady-state concentration determines the 292 matrix production strategy and the probabilities in each timestep 293 of matrix production and/or reproduction. If a cell produces ma-294 trix and/or reproduces in a timestep, then the positions of some 295 surrounding matrix and bacterial cells are "shoved" as necessary 296 to allow the newly produced matrix/cell to occupy a lattice site 297 adjacent to the cell that produced it. After all matrix production 298 and reproduction has taken place, the reaction-diffusion equations 299 are again solved for the next timestep. This alternating procedure is 300 repeated until the simulation halts at a pre-specified halt condition. 301 For additional details, see SI Appendix. 302

ACKNOWLEDGMENTS. We thank Bonnie Bassler, Farzan Beroz, 303 Daniel Greenidge, Matthew Jemielieta, Ameya Mashruwala, Yigal 304 Meir, and Jing Yan for stimulating discussions and input. A.V.N. 305 acknowledges support from the Princeton University Physics Depart-306 ment, Office of the Dean of Research at Princeton University, UCSD 307 Physics Department, and the qBio program at UCSD. This research 308 was supported, in part, by National Institutes of Health Grant R01-309 GM082938 (to N.S.W.). This work was supported in part by the 310 National Science Foundation, through the Center for the Physics of 311 Biological Function (PHY-1734030). This work was done on land 312 considered part of the ancient homelands of the Lenni-Lenape and 313 the Kumeyaay peoples. 314

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